

# LHCb upgrades

Fabio Ferrari on behalf of the LHCb collaboration  
University of Bologna and INFN  
11th Edition of the Large Hadron Collider Physics Conference  
Belgrade, 22th - 26th May 2023

*Pobednik the Victor, Kalemegdan park*



# Outline

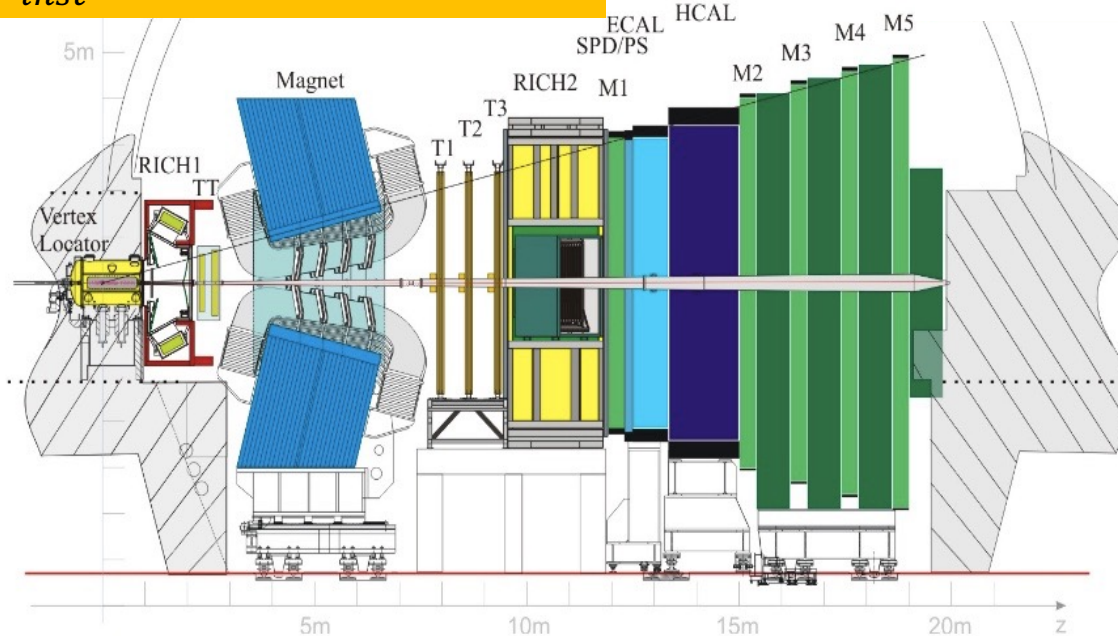
- LHCb in Run 1 and 2: what has been achieved so far
- LHCb Upgrade I (Run 3 and 4): what we are accomplishing
  - Motivations
  - New detector technologies
  - Commissioning
- LHCb Upgrade II (Run 5 and 6): what we dream of at the HL-LHC
  - Prospects
- Conclusions

# The LHCb detector

2008 JINST 3 S08005

*Single-arm forward spectrometer dedicated to beauty and charm decays*

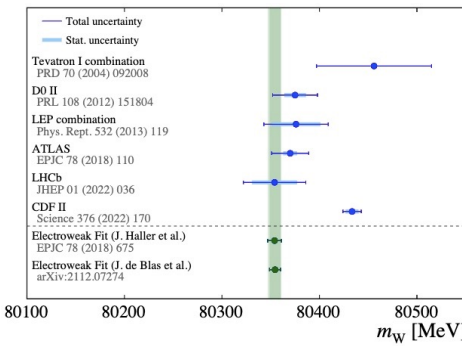
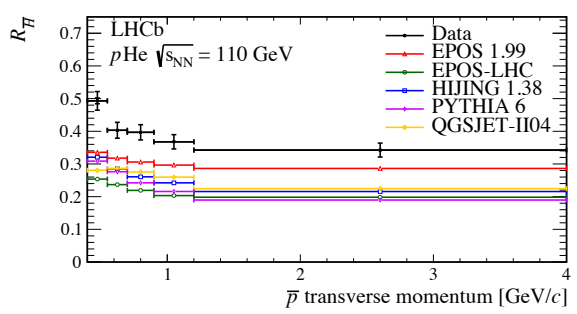
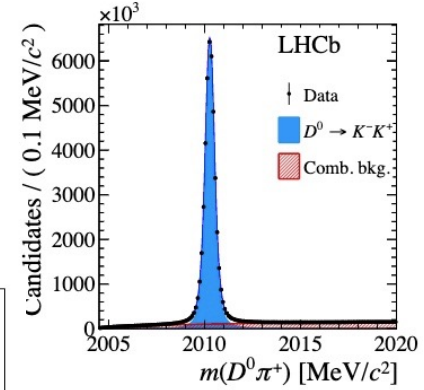
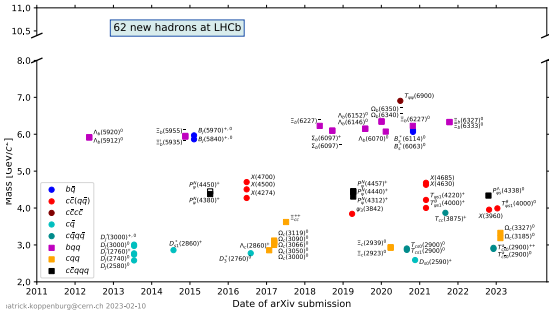
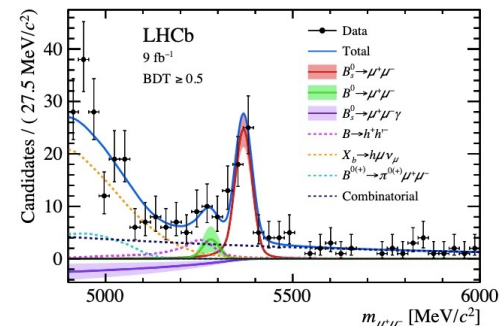
$$L_{inst} = 2 - 4 \cdot 10^{32} \text{ cm}^{-2} \text{ s}^{-1}$$



- Decay-time resolution: 45 fs for  $B_s^0 \rightarrow J/\psi\phi$  and  $B_s^0 \rightarrow D_s^- \pi^+$
- Very good momentum resolution:  $\Delta p/p = 0.5\%$  at  $< 20 \text{ GeV}/c$  to  $1.0\%$  at  $200 \text{ GeV}/c$
- Excellent PID capabilities: Kaon ID  $\sim 95\%$  for  $\sim 5\%$   $\pi \rightarrow K$  mis-id probability
- Good ECAL resolution:  $1\% + 10\%/\sqrt{E[\text{GeV}]}$
- Very good muon ID: Muon ID  $\sim 97\%$  for  $1-3\%$   $\pi \rightarrow \mu$  mis-id probability

# LHCb: a history of success

- More than 600 papers
- Series of significant discoveries
  - Rare decays
  - Spectroscopy
  - CPV in charm and beauty
- Physics program well beyond what originally planned



- LHCb-PAPER-2021-007
- LHCb-FIGURE-2021-001
- LHCb-PAPER-2019-006
- LHCb-PAPER-2022-006
- LHCb-FIGURE-2022-003

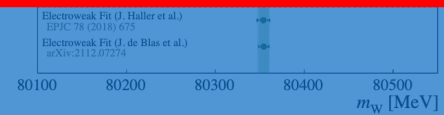
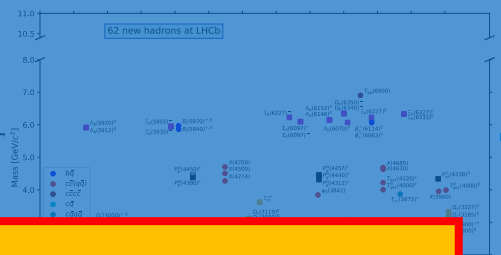
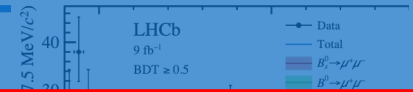
# LHCb: a history of success

- More than 600 papers

• S

• P

LHCb can be considered as a general purpose detector in the forward region!

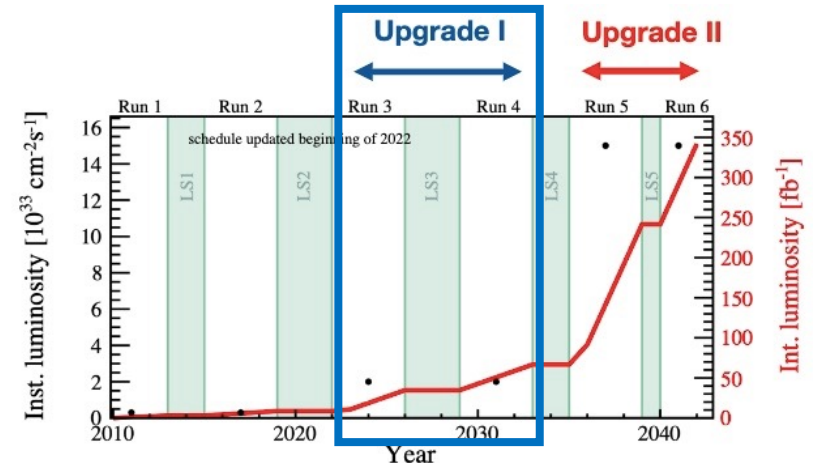


LHCb-PAPER-2022-006  
LHCb-FIGURE-2022-003

# Motivations for LHCb Upgrade I

- New Physics still hiding somewhere, no direct observations yet
  - Flavour physics can probe energy scales well beyond the reach of current (and future) accelerators
- Physics program limited by LHCb, not by LHC
- More precision needed to push current measurements down to SM precision
  - $BR(B_S^0 \rightarrow \mu\mu)$  down to 10% of SM
  - CKM angle  $\gamma$  down to  $1^\circ$
  - $2\beta_S$  to precision  $< 20\%$  SM value
  - Charm CPV below  $10^{-4}$

 **LHCb Upgrade I**



# The LHCb detector – Run 3-4

Check G. Cavallero talk for more details on LHCb Run 3 performance

[CERN-LHCC-2012-007](#)

[arXiv:2305.10515](#)

## *A brand new detector!*

**New Upstream Tracker (UT)  
Silicon strips**

**New dedicated luminometer (PLUME)**

Vertex Locator

**New VELO  
Pixel detector**

**New SMOG2  
system**

**New RICH 1 optics  
New photodetectors and  
readout for RICH 1 and 2**

**New SciFi Tracker (SciFi)  
Scintillating fibres**

ECAL HCAL

SciFi Tracker RICH2

**Calorimeters:  
removed PreShower (PS) and  
Scintillating Pad Detector (SPD),  
new readout**

**Muon: Removed M1 and new  
readout**

$$L_{inst} = 2 \cdot 10^{33} \text{ cm}^{-2} \text{ s}^{-1} \text{ (x5 w.r.t. Run 1)}$$



# The LHCb detector – Run 3-4

*A brand new detector!*

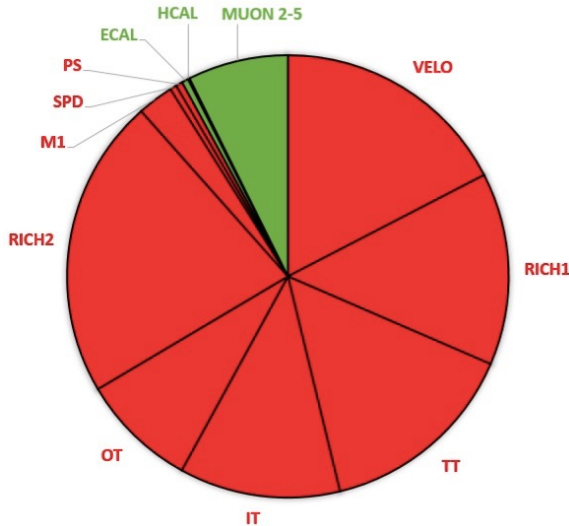
[CERN-LHCC-2012-007](#)

[arXiv:2305.10515](#)

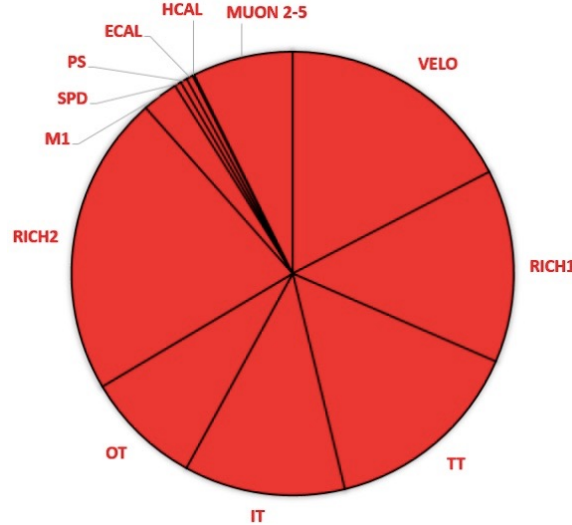
To be upgraded

To be kept

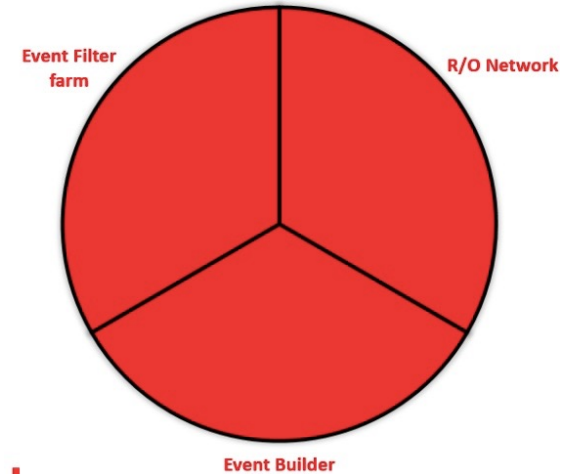
Detector channels



R/O electronics



DAQ



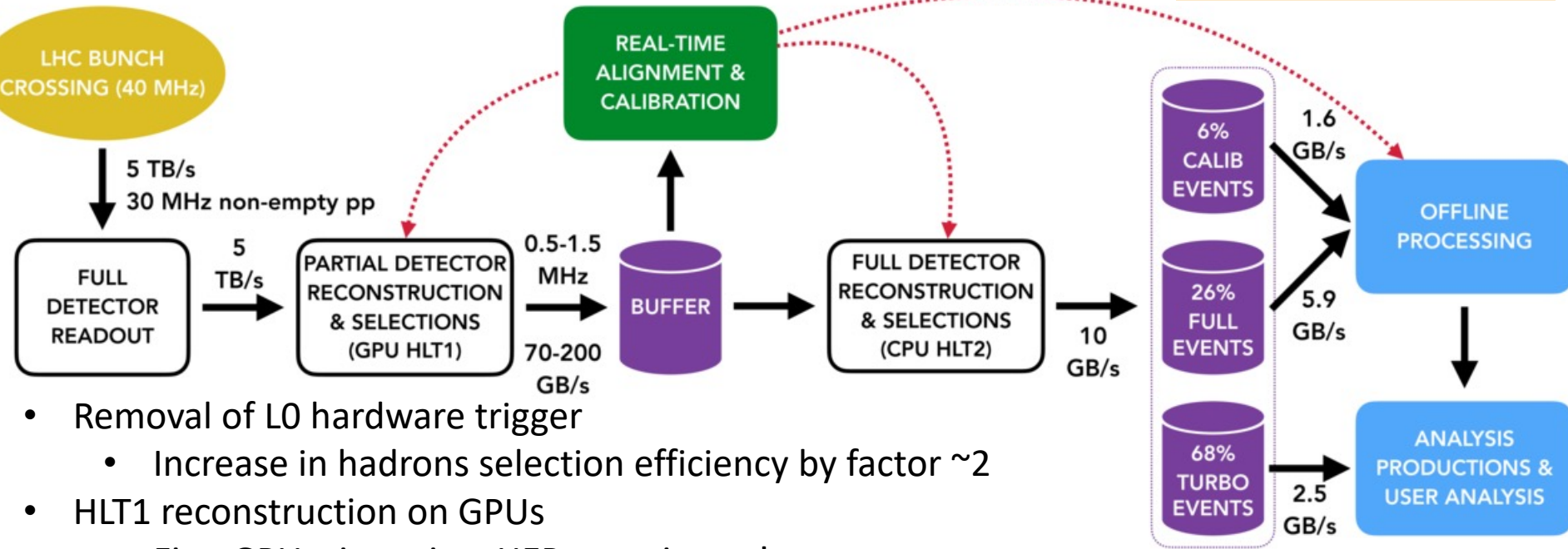
**Upgrade I is a BIG upgrade for LHCb**



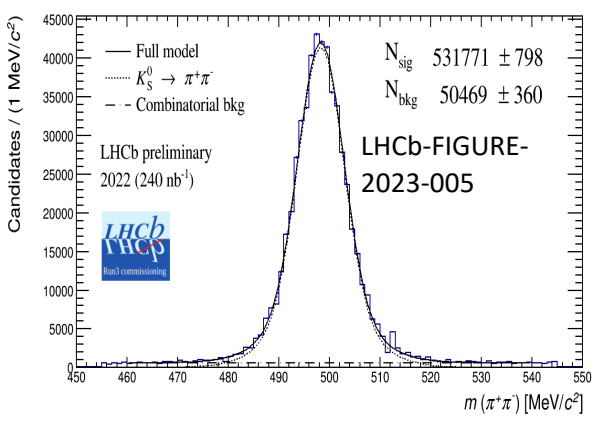
# Data processing and trigger

Check M. Fontana talk for more details about online reconstruction and trigger

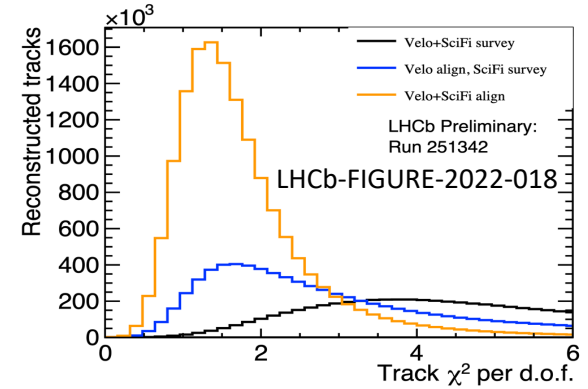
CERN-LHCC-2018-007



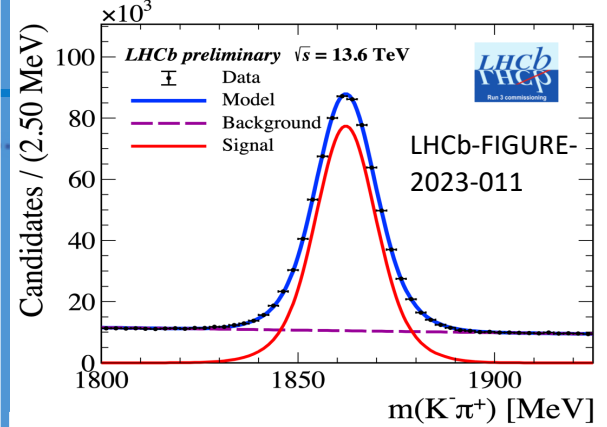
- Removal of L0 hardware trigger
  - Increase in hadrons selection efficiency by factor  $\sim 2$
- HLT1 reconstruction on GPUs
  - First GPU trigger in a HEP experiment!
- Real time alignment and calibration
- Offline quality reconstruction in HLT2



$K_S^0$  candidates reconstructed directly in HLT1

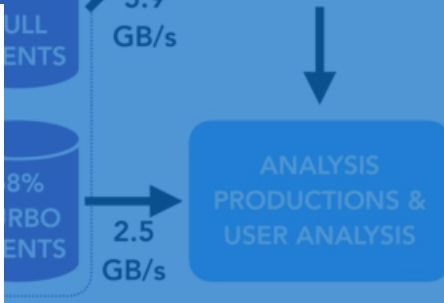
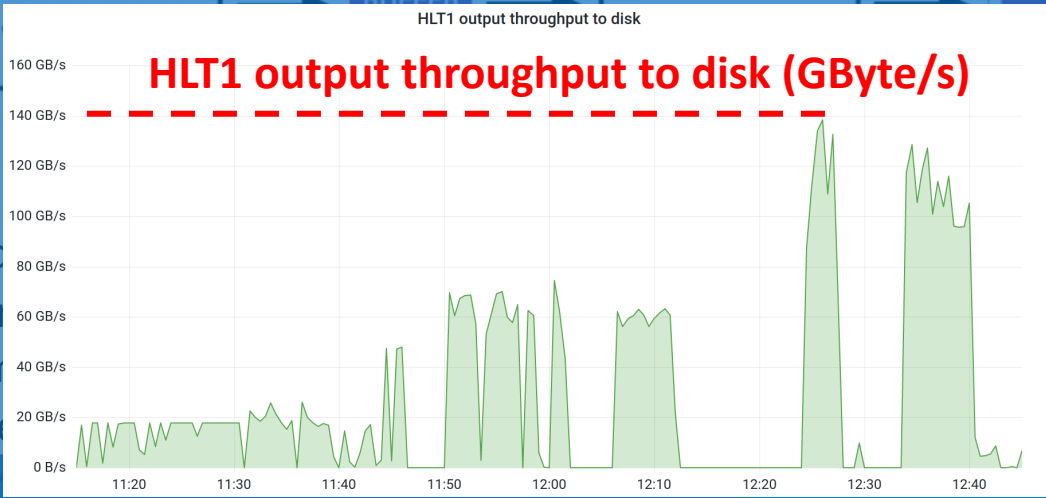


Distribution of  $\chi^2$  per degree of freedom for long tracks improves after alignment procedure

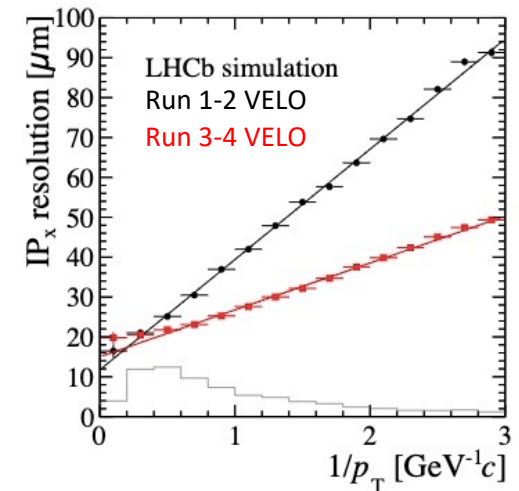
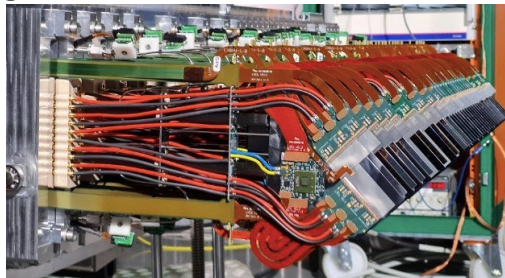
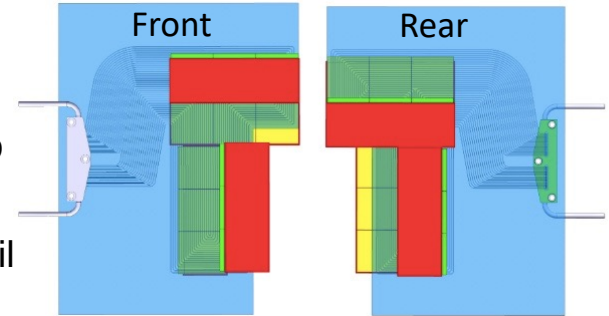


$D^0$  candidates reconstructed by HLT2

- Removal of L0 hardware
- Increase in HLT1 throughput
- HLT1 reconstruction
- First GPU based alignment
- Real time alignment
- Offline quality reconstruction



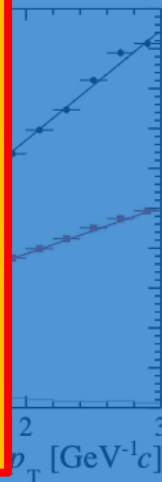
- 52 modules for a total of 41M pixels
  - Area  $\sim 1.2 \text{ m}^2$
- Two movable halves  $\rightarrow$  get as close as 3.5 mm to the beam to improve IP resolution
  - Separation from primary vacuum achieved with  $150 \mu\text{m}$  thick RF foil
- Silicon substrate built with micro channels that will carry  $\text{CO}_2$  for evaporative cooling
  - Designed to cool a load of up to 30W from each module
- New ASIC VeloPix,  $\sim 20 \text{ Gbit/s}$  in hottest ASIC and total of  $\sim 2 \text{ Tbit/s}$



- 52 modules
  - Area ~ 1.2
- Two movable
  - improve IP
  - Separati
- Silicon subs
  - for evapora
  - Designed
- New ASIC
  - Tbps



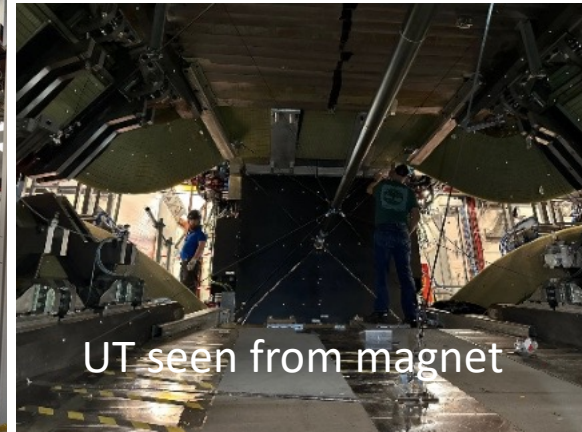
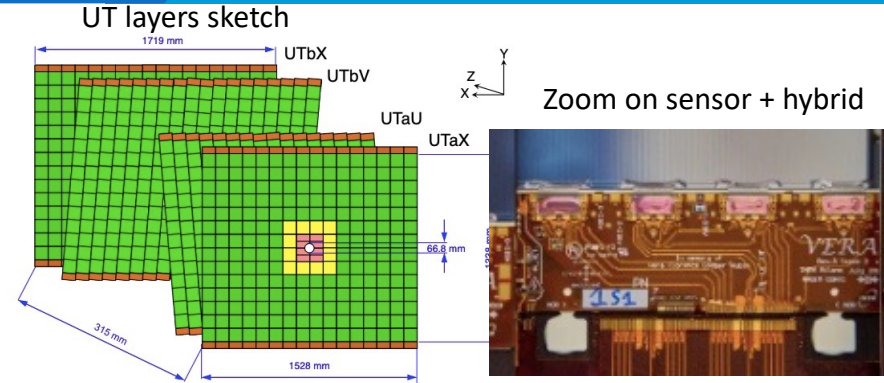
- On 10th January 2023 an incident happened due to a failure of the LHC vacuum system of the VELO
- RF foils have suffered plastic deformation and have to be replaced (YETS 2023/24), but no damage on detector modules and cooling
- Physics programme of 2023 is significantly affected, commissioning of Upgrade I systems can proceed as planned
- Final checks to be performed in June technical stop and running configuration decided



# Upstream Tracker (UT)

CERN-LHCC-2014-001

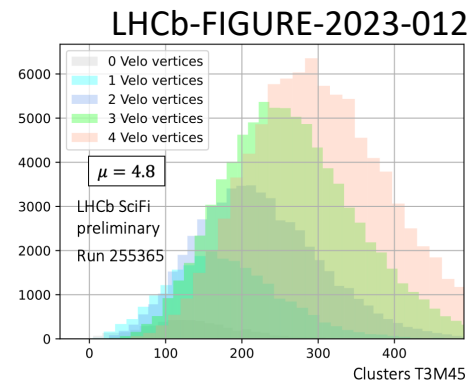
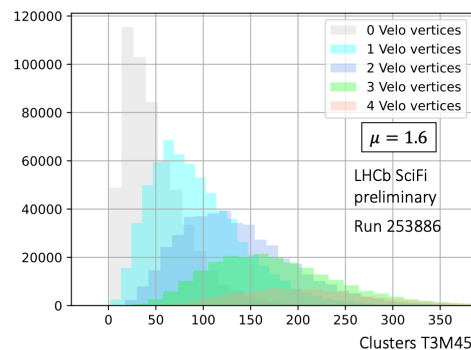
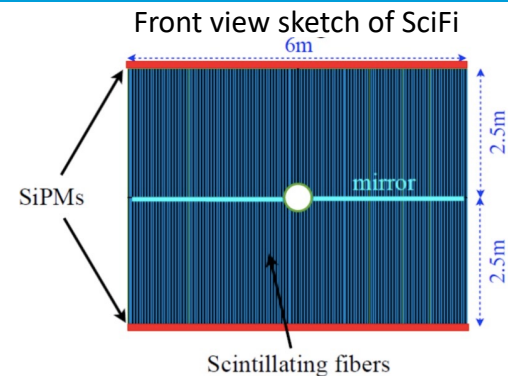
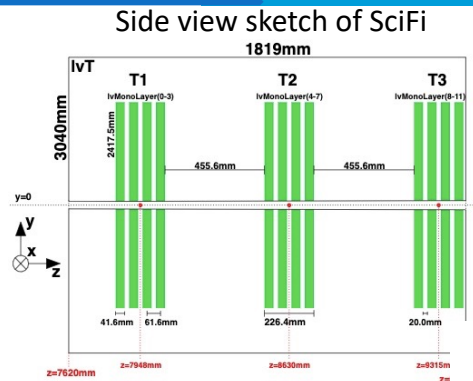
- Silicon micro-strip detector
  - Four layers (aX,aU,bV,bX)
  - Increasing granularity getting closer to the beam
- Different sensors for different regions
  - 250  $\mu\text{m}$  thickness
  - Pitch:  $\sim 95\text{-}190 \mu\text{m}$
  - Sensors mounted on staves (both sides)
  - Maximum occupancy:  $< 1\%$
- Sensors need to be kept below  $-5^\circ \text{C}$ 
  - Bi-phase  $\text{CO}_2$  cooling pipe integrated in stave
- UT installed before cavern closure in 2023  
→ commissioning ongoing!



# Scintillating fibres tracker (SciFi)

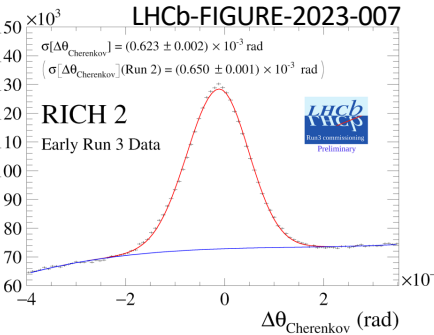
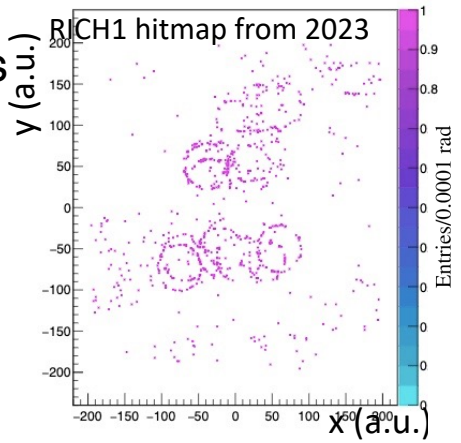
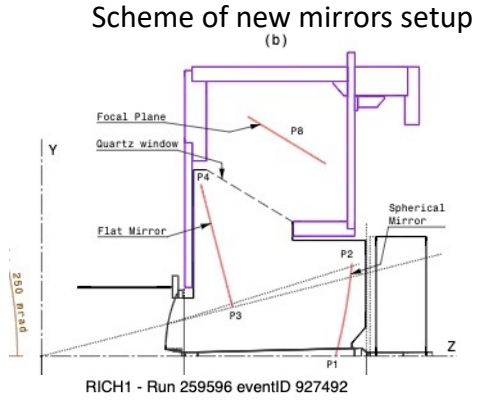
CERN-LHCC-2014-001

- Brand new detector based on scintillating fibres
  - Three stations with four detection layers each, 6 fibres / layer
  - Fibres diameter and length:  $250\ \mu\text{m}$  / 2.4 m
  - Decay-time constant: 2.8 ns
  - Produced in total 12000 km of fibres
- Light detected by SiPMs installed at one end of the fibres
  - Temperature  $-40^\circ\text{C}$  to reduce rate of dark counts
- New ASIC, 64 channels 130 nm CMOS
  - Clusterisation of hits implemented in FPGA after signal digitisation



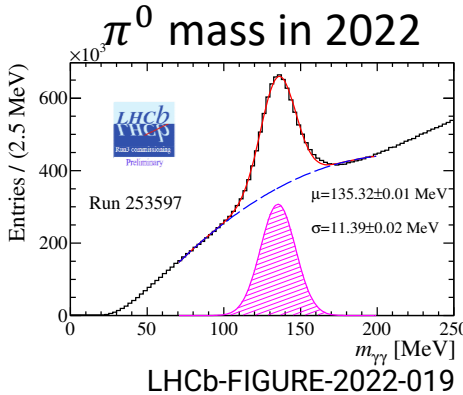
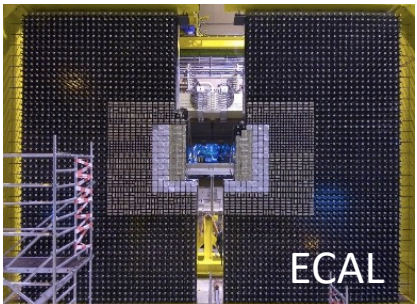
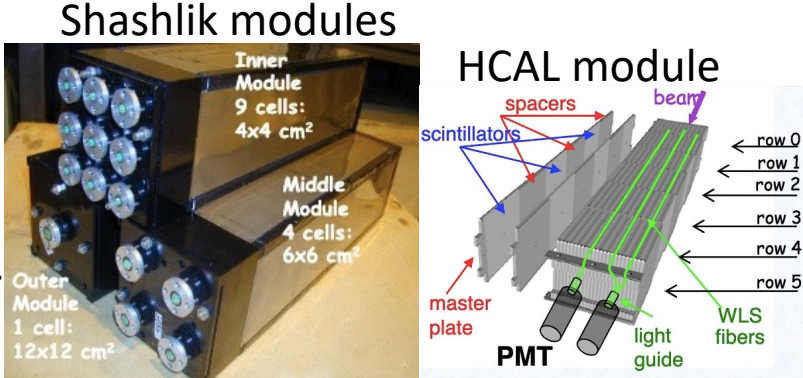
# RICH 1 and 2

- Preserve excellent performance achieved in Run 1 and 2
  - RICH1 with  $C_4F_{10}$  and RICH2 with  $CF_4$
  - Particle identification for momenta between 2.6 and 100 GeV/c
- Replace Hybrid Photon Detectors (HPDs) with Multianode PMTs (MaPMTs) in RICH1 and RICH2
  - 26.2 or 52 mm<sup>2</sup> area with 64 pixels
- Change curvature of RICH1 spherical mirrors to reduce occupancy on PMTs (factor 2 less)
- New radiation hard and fast readout ASIC developed (CLARO)
- RICH 1 and 2 performance already better than in Run 1 and 2!



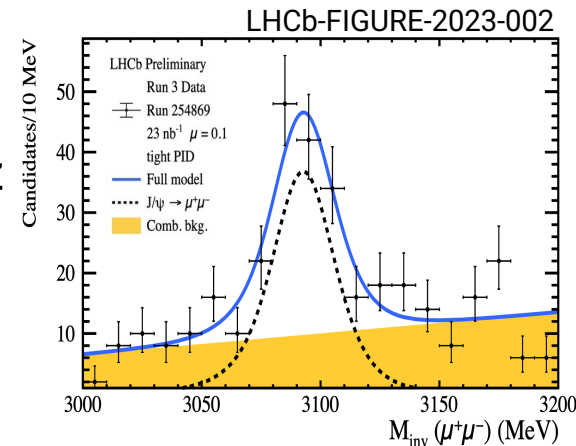
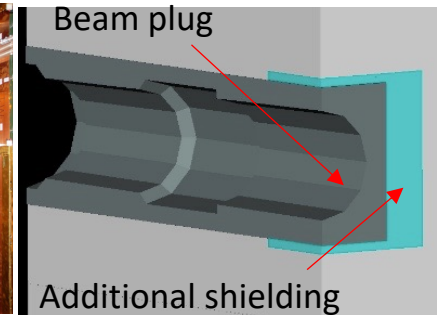
# ECAL and HCAL

- Present detector kept unchanged
  - ECAL: Shashlik modules (lead + scintillator) for a total of  $25 X_0$
  - HCAL: TileCal modules (iron + scintillator)
- PS/SPD detectors removed
  - No need anymore for fast inputs to L0 hardware trigger
- PMT gain reduced by a factor 5 to increase lifetime of detector
  - Compensated by an equal increase in the electronic amplifier gain
- Front-End electronics redeveloped
  - Trigger-less readout
  - Cope with increased instantaneous luminosity
- Some ECAL inner modules will be replaced already in LS3 to test Upgrade II prototypes in Run 4





- Present MUON detector kept as it is
  - 4 layers (M2-M5) of Multi-Wire Proportional Chambers (MWPCs)
- Remove first layer (M1) with GEMs, since L0 trigger level has been removed
- Install additional shielding around beam-pipe to reduce particle flux in M2 inner region
- Redesign electronics to cope with 40 MHz trigger-less readout
- R&D to replace inner parts of M2 and M3 with more granular detectors (triple GEMs/MWPCs)

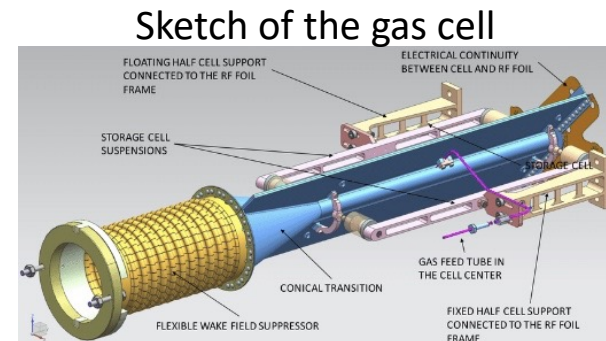


# SMOG 2

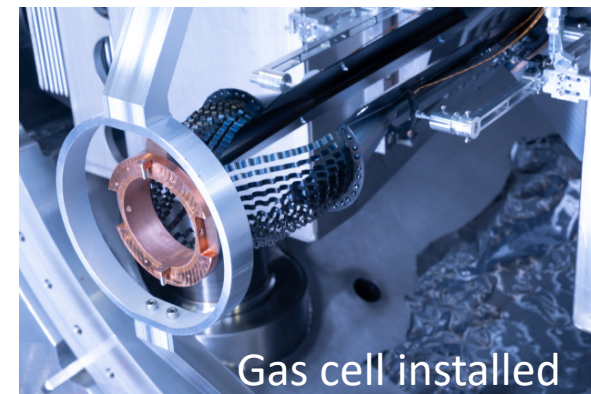
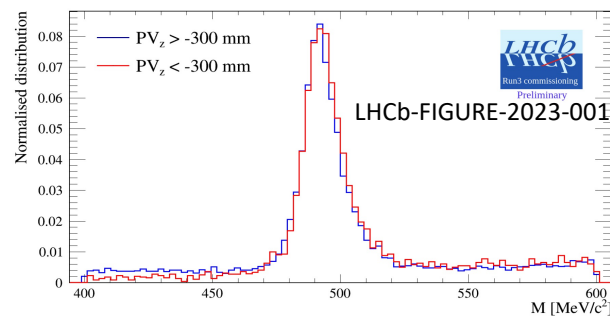
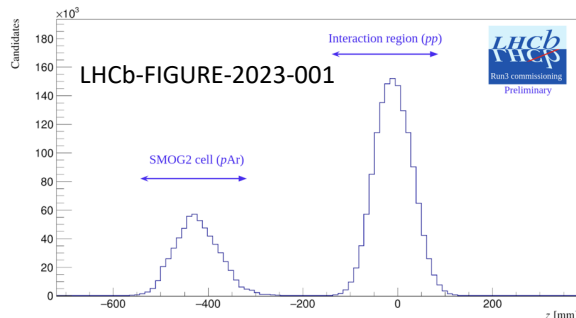
Check G. Graziani and O. Boente talks for more details about SMOG2 and physics results

CERN-LHCC-2019-005

- SMOG2 allows to inject different gases in LHCb IP
  - Fixed target physics, in parallel with p-p data taking
  - Gas cell upstream of VELO  $\rightarrow$  p-p and p-gas vertices easily distinguishable
- Increase interaction rate by orders of magnitude compared to previous SMOG
  - x20-100 collected statistics



Distribution of reconstructed vertices for pp and pAr  $K_S^0$  reconstructed in pp and pAr collisions



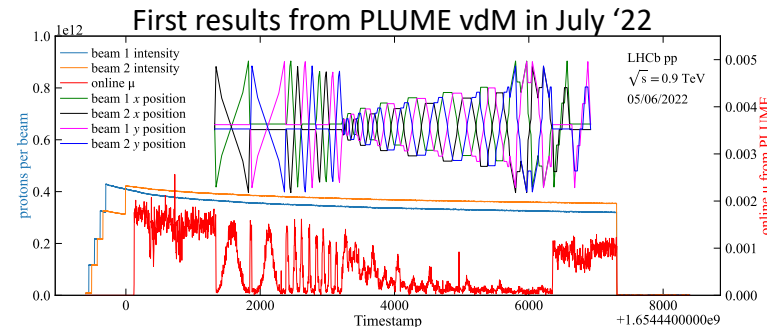
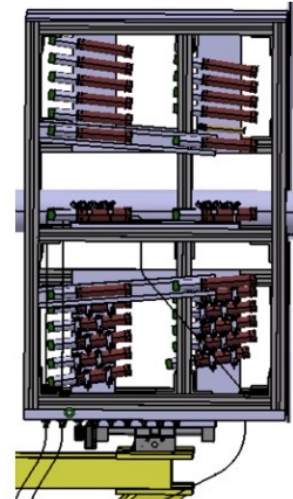
# PLUME

CERN-LHCC-2021-002

- Cross-shaped hodoscope composed by 48 PMTs, installed upstream of the VELO
  - Detect Cherenkov light from particles impinging on a quartz tablet glued to the PMTs window
- Measure rate of coincidences every 3 seconds and compute luminosity with logZero method
  - Provide real-time feedback to the LHC to level the luminosity at IP8



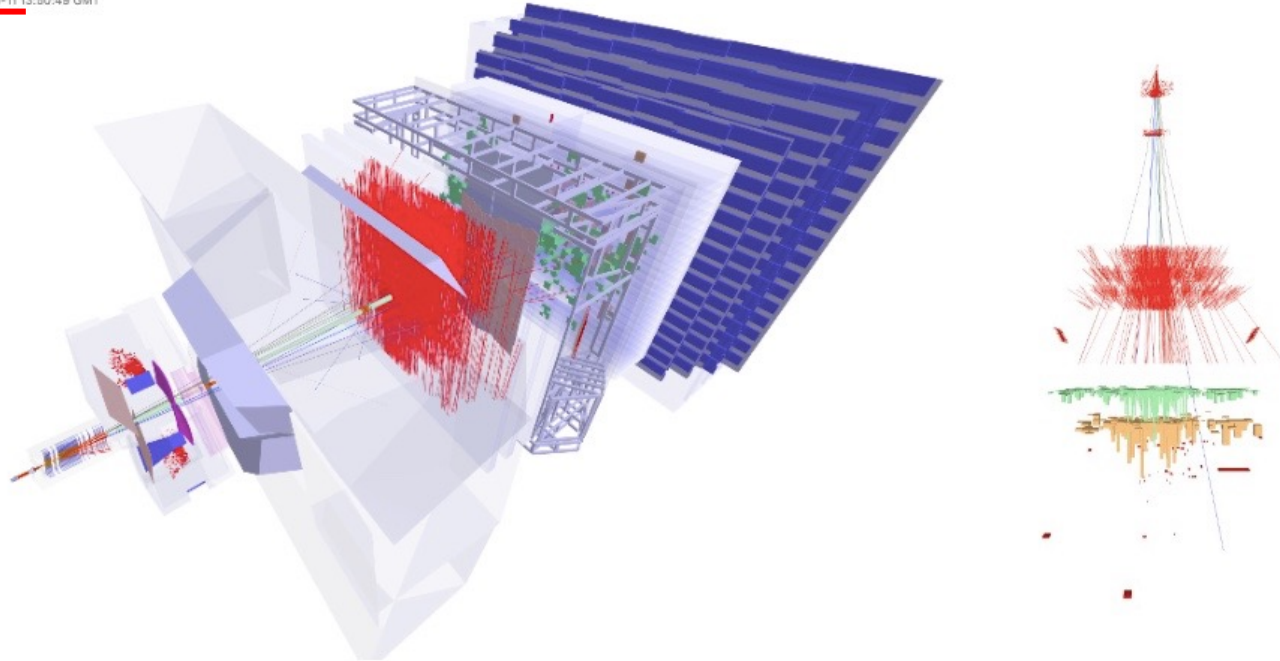
Lateral view sketch



# Event display from 2023



LHCb Experiment at CERN  
Run / Event: 263132 / 5940637  
Data recorded: 2023-05-11 13:50:49 GMT

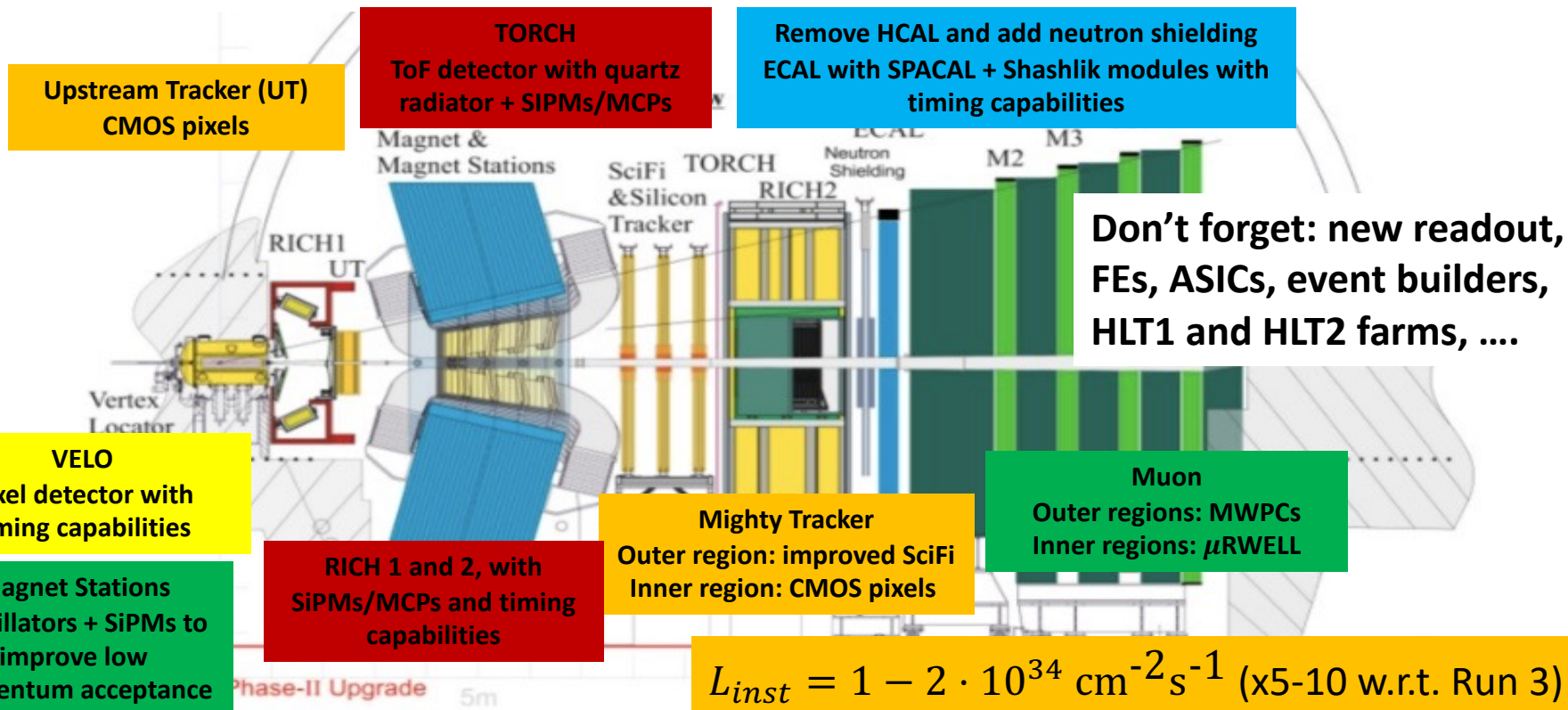


**Run 3 is happening and the LHCb detector is ready to face it**

# The LHCb detector – Run 5-6

CERN-LHCC 2021-012

*The ultimate flavour physics experiment at the HL-LHC*



# The LHCb detector – Run 5-6

CERN-LHCC 2021-012

*The ultimate flavour physics experiment at the HL-LHC*

- In general, need more granular and radiation tolerant detectors, possibly with timing capabilities, to mitigate effect of pile up
- Technology not available yet in most cases, big R&D effort needed

$$L_{inst} = 1 - 2 \cdot 10^{34} \text{ cm}^{-2} \text{ s}^{-1} \text{ (x5-10 w.r.t. Run 3)}$$

# Prospects for Upgrade I and Upgrade II

\*: Run 1 and 2

- Collect 50 fb<sup>-1</sup> by the end of Run 4 and 300 fb<sup>-1</sup> by the end of Run 6
  - Collected 9 fb<sup>-1</sup> during Run 1 and 2
  - Aim at keeping same performance (or better) with Upgrades
- Several flagship measurements still statistically dominated and with uncertainty on predictions negligible compared to the experimental knowledge → great potential!

Observable	Run 1-2	Run 3-4		Run 5-6	
	Current LHCb (up to 9 fb <sup>-1</sup> )	Upgrade I (23 fb <sup>-1</sup> )	Upgrade I (50 fb <sup>-1</sup> )	Upgrade II (300 fb <sup>-1</sup> )	
<b>CKM tests</b>					
$\gamma (B \rightarrow DK, \text{etc.})$	4°	9, 10	1.5°	1°	0.35°
$\phi_s (B_s^0 \rightarrow J/\psi\phi)$	32 mrad	8	14 mrad	10 mrad	4 mrad
$ V_{ub} / V_{cb}  (A_b^0 \rightarrow p\mu^-\bar{\nu}_\mu, \text{etc.})$	6%	29, 30	3%	2%	1%
$a_{sl}^d (B^0 \rightarrow D^-\mu^+\nu_\mu)$	$36 \times 10^{-4}$	34	$8 \times 10^{-4}$	$5 \times 10^{-4}$	$2 \times 10^{-4}$
$a_{sl}^s (B_s^0 \rightarrow D_s^-\mu^+\nu_\mu)$	$33 \times 10^{-4}$	35	$10 \times 10^{-4}$	$7 \times 10^{-4}$	$3 \times 10^{-4}$
<b>Charm</b>					
$\Delta A_{CP} (D^0 \rightarrow K^+K^-, \pi^+\pi^-)$	$29 \times 10^{-5}$	5	$13 \times 10^{-5}$	$8 \times 10^{-5}$	$3.3 \times 10^{-5}$
$A_\Gamma (D^0 \rightarrow K^+K^-, \pi^+\pi^-)$	$11 \times 10^{-5}$	38	$5 \times 10^{-5}$	$3.2 \times 10^{-5}$	$1.2 \times 10^{-5}$
$\Delta x (D^0 \rightarrow K_s^0\pi^+\pi^-)$	$18 \times 10^{-5}$	37	$6.3 \times 10^{-5}$	$4.1 \times 10^{-5}$	$1.6 \times 10^{-5}$
<b>Rare Decays</b>					
$\mathcal{B}(B^0 \rightarrow \mu^+\mu^-)/\mathcal{B}(B_s^0 \rightarrow \mu^+\mu^-)$	69%	40, 41	41%	27%	11%
$S_{\mu\mu} (B_s^0 \rightarrow \mu^+\mu^-)$	—	—	—	—	0.2
$A_\Gamma^{(2)} (B^0 \rightarrow K^{*0}e^+e^-)$	0.10	52	0.060	0.043	0.016
$A_\Gamma^{\text{Im}} (B^0 \rightarrow K^{*0}e^+e^-)$	0.10	52	0.060	0.043	0.016
$\mathcal{A}_{\phi\gamma}^{\Delta\Gamma} (B_s^0 \rightarrow \phi\gamma)$	$^{+0.41}_{-0.44}$	51	0.124	0.083	0.033
$S_{\phi\gamma} (B_s^0 \rightarrow \phi\gamma)$	0.32	51	0.093	0.062	0.025
$\alpha_\gamma (A_b^0 \rightarrow A\gamma)$	$^{+0.17}_{-0.29}$	53	0.148	0.097	0.038
<b>Lepton Universality Tests</b>					
$R_K (B^+ \rightarrow K^+\ell^+\ell^-)$	0.044	12	0.025	0.017	0.007
$R_{K^*} (B^0 \rightarrow K^{*0}\ell^+\ell^-)$	0.12	61	0.034	0.022	0.009
$R(D^*) (B^0 \rightarrow D^{*-\ell^+\nu_\ell)$	0.026	62, 64	0.007	0.005	0.002

# Conclusions

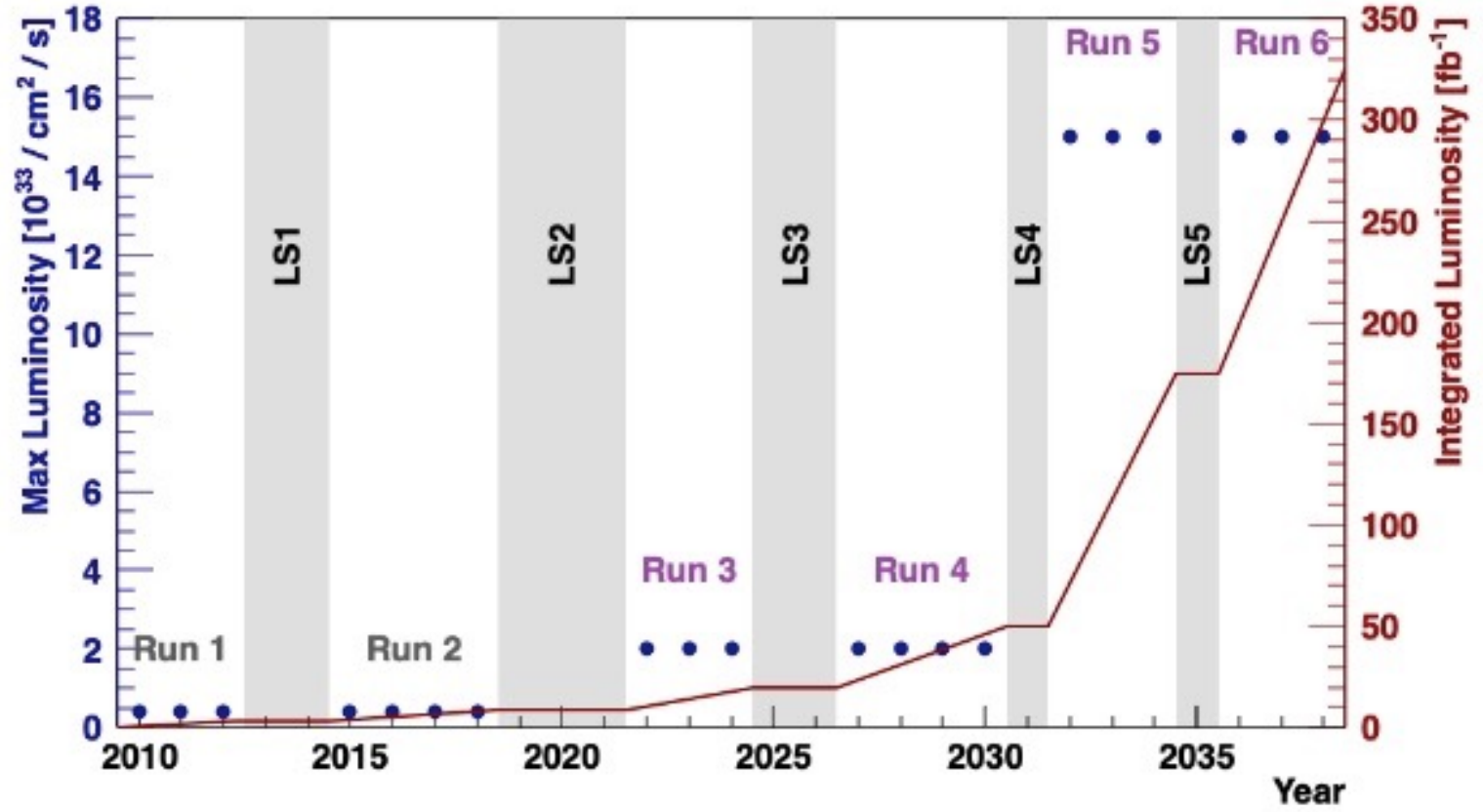
- The LHCb experiment completed its first decade of operations
  - Very successful operation lead to first class results
  - Physics programme expanded well beyond original expectations
- The LHCb detector underwent its first major upgrade during LS2 → brand new detector
  - Removal of L0 hardware trigger
  - High-level software trigger running at 30 MHz on GPUs
  - New trackers (VELO, UT, SciFi)
  - Upgraded RICH 1 and 2 with new photodetectors and readout electronics
  - ECAL, HCAL and MUON upgraded with new readout electronics
  - New fixed target system (SMOG2) to inject various gases
  - New dedicated luminometer (PLUME)
- Commissioning phase is proceeding at full steam, even after the unfortunate VELO incident
  - Trying to squeeze out everything we can from the data we are collecting → stay tuned!



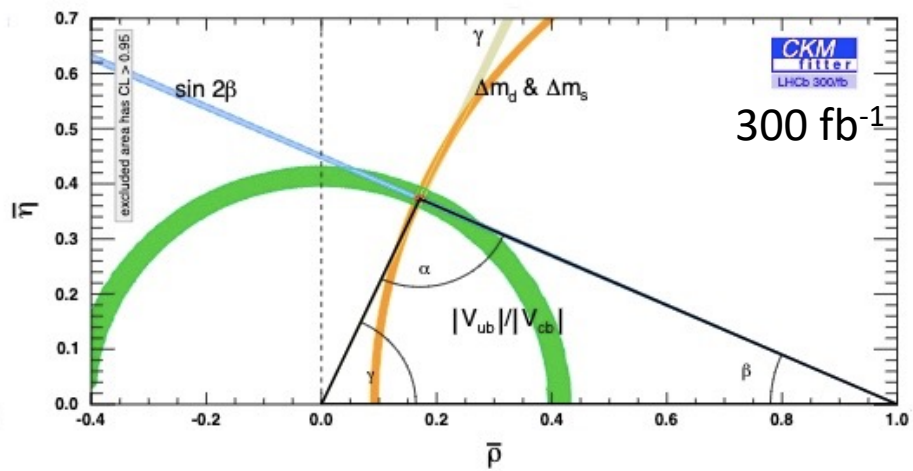
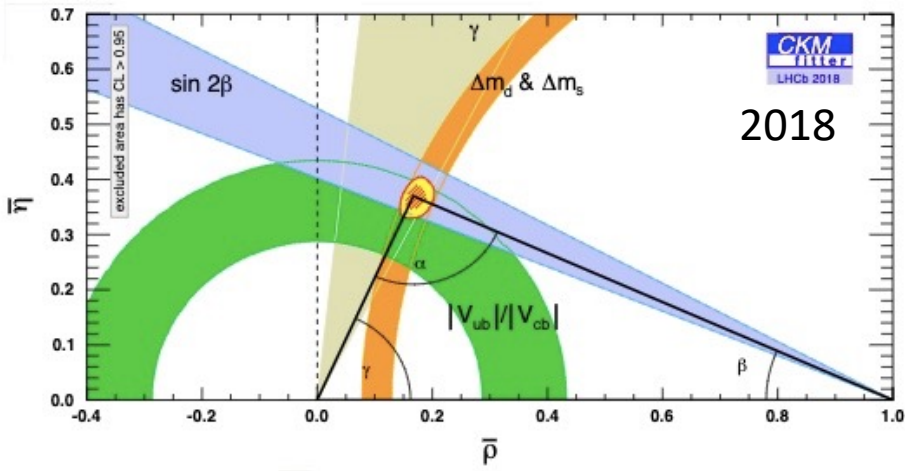
# Backup

---

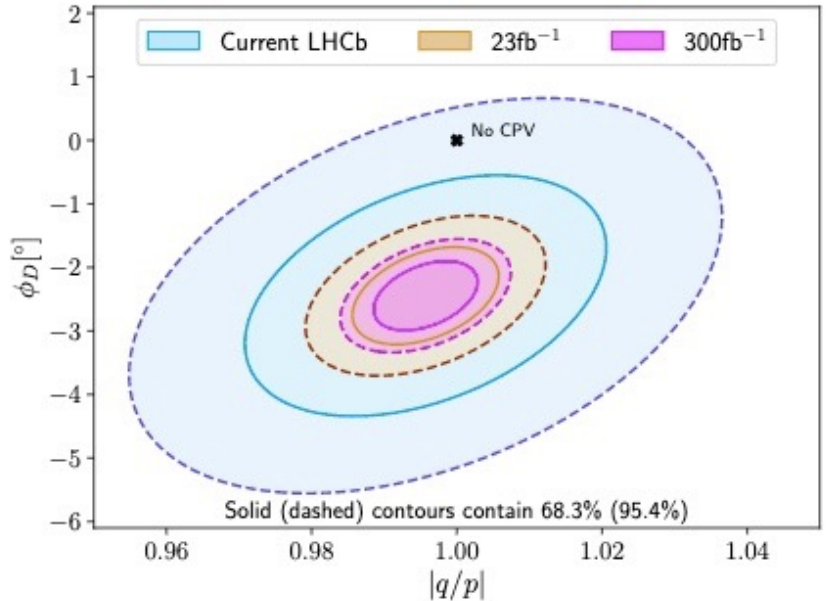
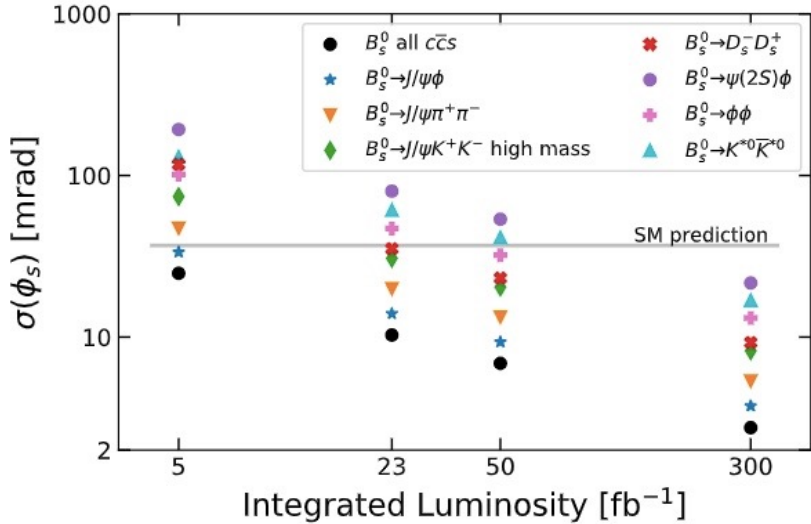
# Luminosity vs year



# CKM picture - Prospects

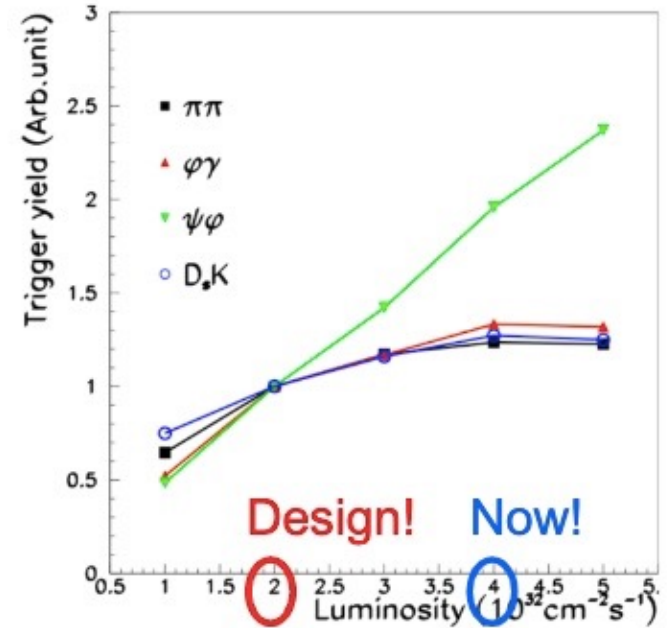


# $\phi_s$ and CPV in charm mixing - Prospects



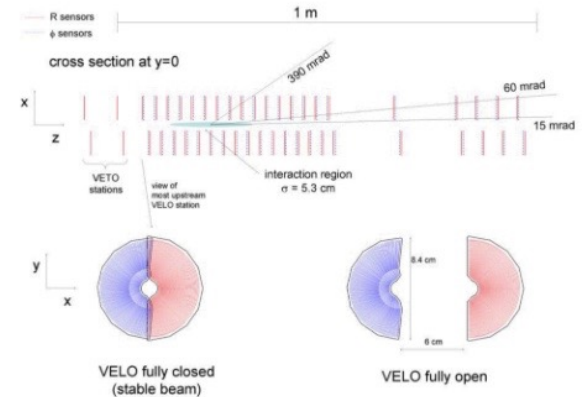
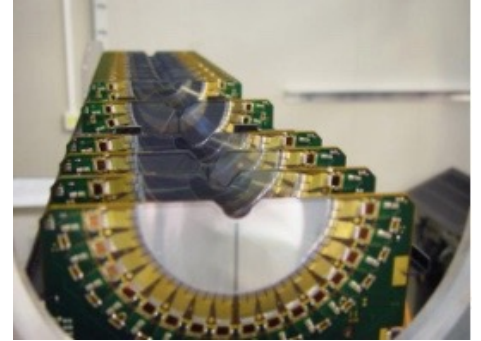
# L0 hardware trigger

- LHCb Run 1-2 L0 trigger less efficient for hadrons wrt muons
  - Factor  $\sim 2$  lower efficiency with Run 3 conditions
  - Loss of efficiency due to  $p_T$  and  $E_T$  cuts needed to keep total output bandwidth lower than 1.1 MHz (actual limit)
- At higher luminosities, loss even more important
  - Waste luminosity while not retaining amount of data
- Redesign trigger and readout to cope with 30 MHz input rate
  - Fully software trigger: flexible
  - Reconstruct higher level quantities to improve trigger efficiency and S/B



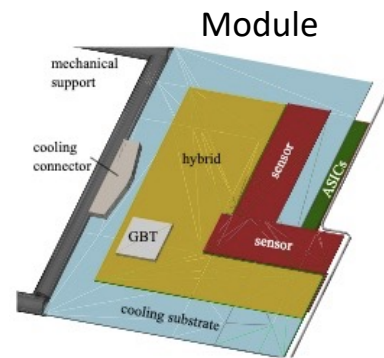
# VELO – Run 1 and 2

- Silicon strips measuring the  $r$  and  $\phi$  coordinates of the hits
- Movable device, from 50 mm (fully open) to 5.5 (mm) fully closed
  - Improve IP resolution, acceptance
  - Fully automatic system
- Total fluence:  $4 \times 10^{14}$  neq /cm<sup>2</sup>
- Total number of channels 170k
- Excellent performance, reliable, cluster efficiency >99.5%  
best hit resolution down to <4 $\mu$ m

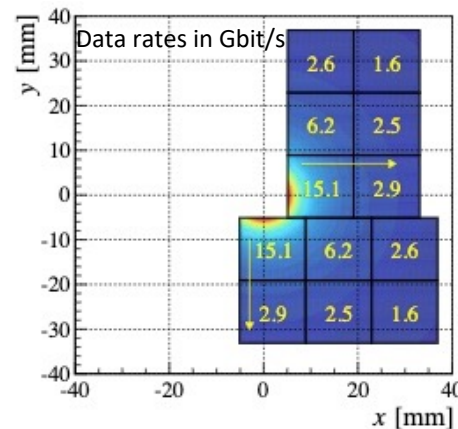
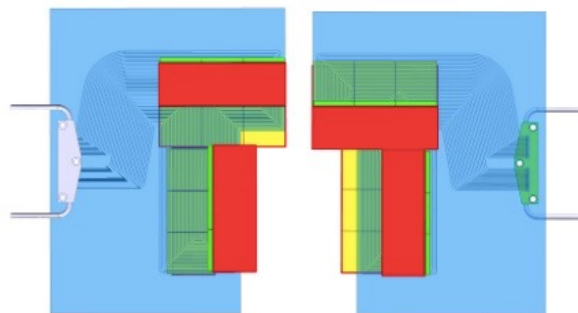
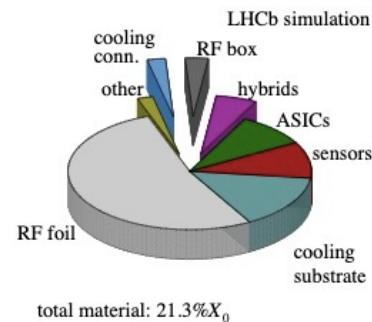


# VELO – Run 3 and 4

- Pixel detector
  - Thinner sensors ( $300\ \mu\text{m} \rightarrow 200\ \mu\text{m}$ )
- Move closer to beam wrt Run 1 and 2
- New RF foil
  - Reduce material budget before first hit ( $4.6\% X_0 \rightarrow 1.7\% X_0$ )
- New ASIC (VeloPix)
  - Based on Medipix/TimePix
  - $256 \times 256$  ( $55\ \mu\text{m} \times 55\ \mu\text{m}$ )
  - 12 per module
- Extremely high data rates



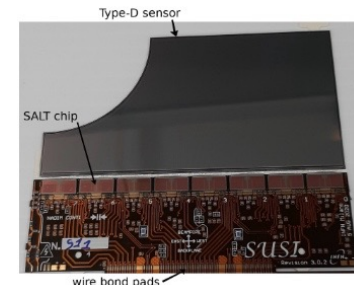
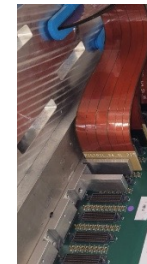
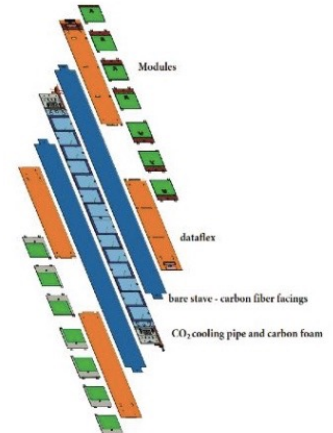
Material budget from VELO in Run 3-4



# Upstream Tracker

- Maximum occupancy below 1% in innermost regions
- Fluences up to  $4 \times 10^{14}$  neq/cm<sup>2</sup> in the inner region
- Near-detector electronics outside acceptance
  - Distributes TFC&ECS signals
  - Collects serial data from ASICs (320 Mbps)
  - Transmits optical serial data via GBTx/VTTx (~4.8 Gbps)
  - Connected to stave via pigtail flex cables
- Full read-out chain validated in system test
- CO<sub>2</sub> cooling tests at -30°C

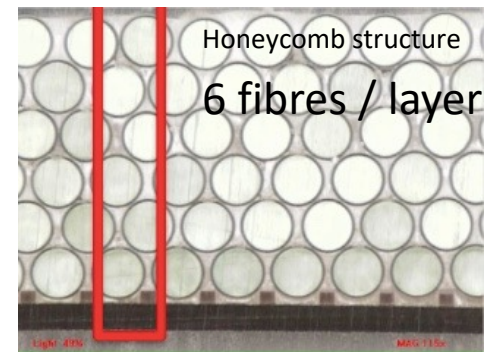
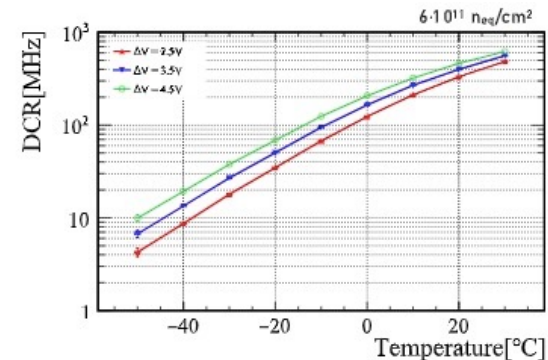
A complete stave, with an exploded view of all the elements





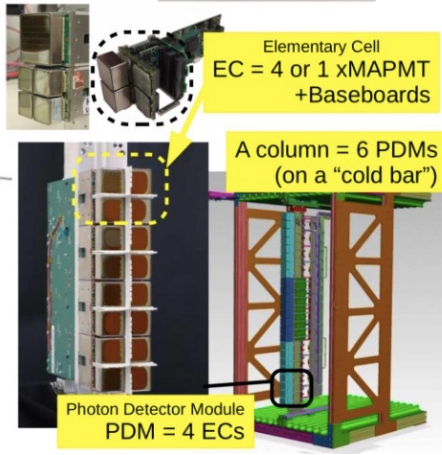
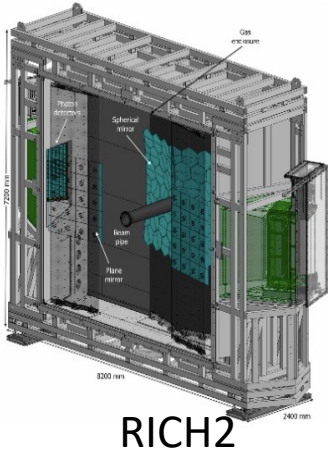
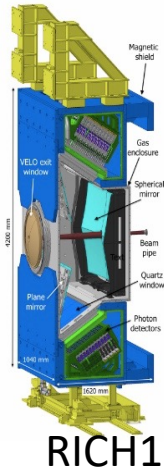
# SciFi

- Single hit reconstruction efficiency better than 99%,  $\sim 60 \mu\text{m}$  from test beams
- Less than 1%  $X_0$  per layer (12x)
- 8000 photons per MeV of ionisation energy deposited, before irradiation  $\rightarrow$  expect max. 40% loss at end of operation (inner regions)
- Expected total fluence:  $6 \times 10^{11}$  1MeV neq/cm<sup>2</sup>



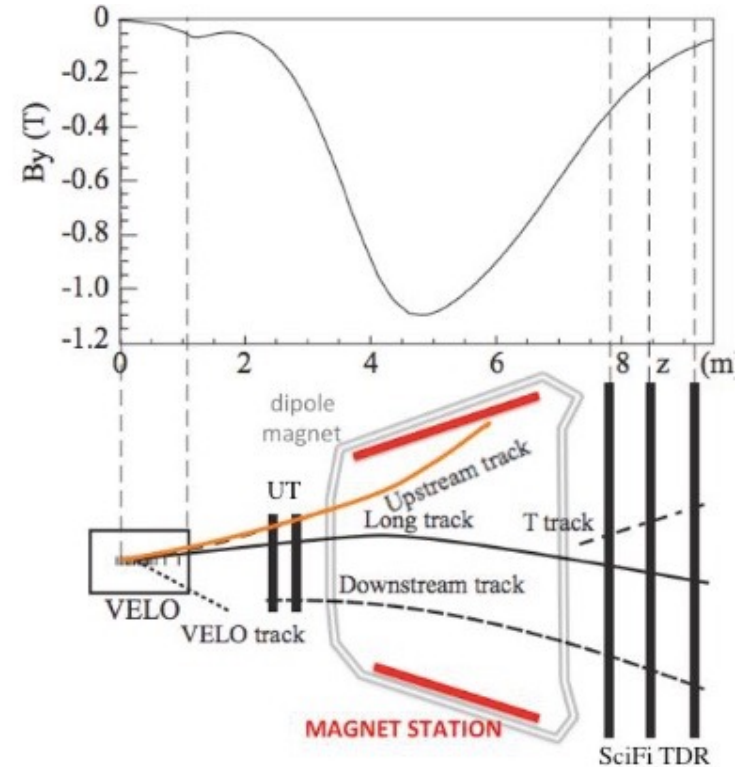
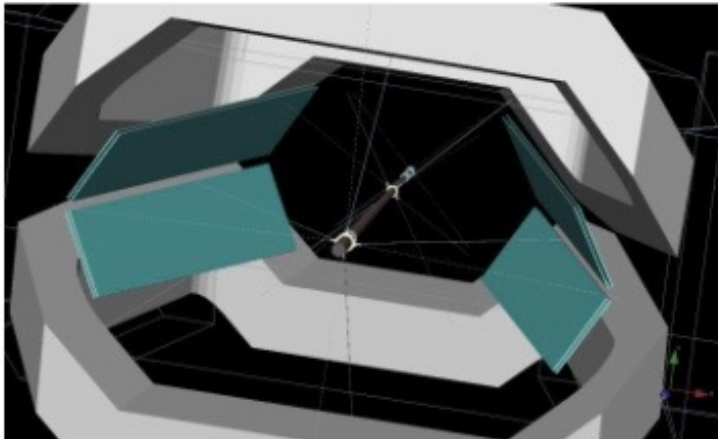
# RICH – Run 3 and 4

- Active area of MaPMTs: ~ 80%
- Average gain @ 1000V: > 1e6
- Quantum efficiency: 30% at 300 nm
- Dark count rate < 2.5 kHz/cm<sup>2</sup>
- Occupancy: ~ 1 MHz / mm<sup>2</sup> in the innermost RICH1 regions
- Shielding around RICH1 PMTs to mitigate effect of residual LHCb magnetic field (~ 2 mT)
- Hamamatsu developed special series R13742 and R1374 complying with RICH requests



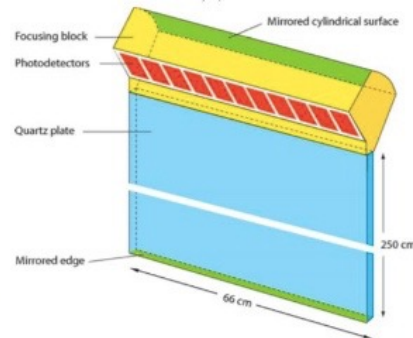
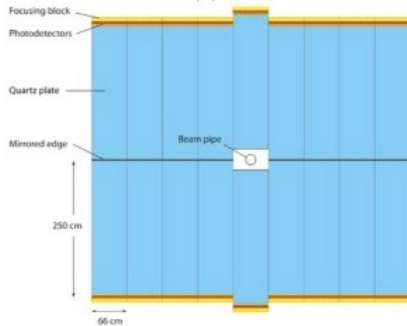
# Magnet tracking stations

- Improve acceptance for low momentum tracks that would exit LHCb acceptance
- Scintillator bars read out with SiPMs outside acceptance
- Reuse existing SciFi electronics (ASIC, read-out boards, etc)



# TORCH

- Time Of internally Reflected CHerenkov light
  - Large area time-of-flight detector
  - Provide PID in the momentum range 1-10 GeV/c
- Cherenkov light produced in quartz plates
  - Photons travel along the detector plane via total internal reflection
  - Focusing block focuses image on detection plane
  - Photodetectors: MCPs with 35 ps time resolution



**NIM A 639 (1) (2011) 173**

