

Experimental results on Lepton Flavor Universality

Keith Ulmer

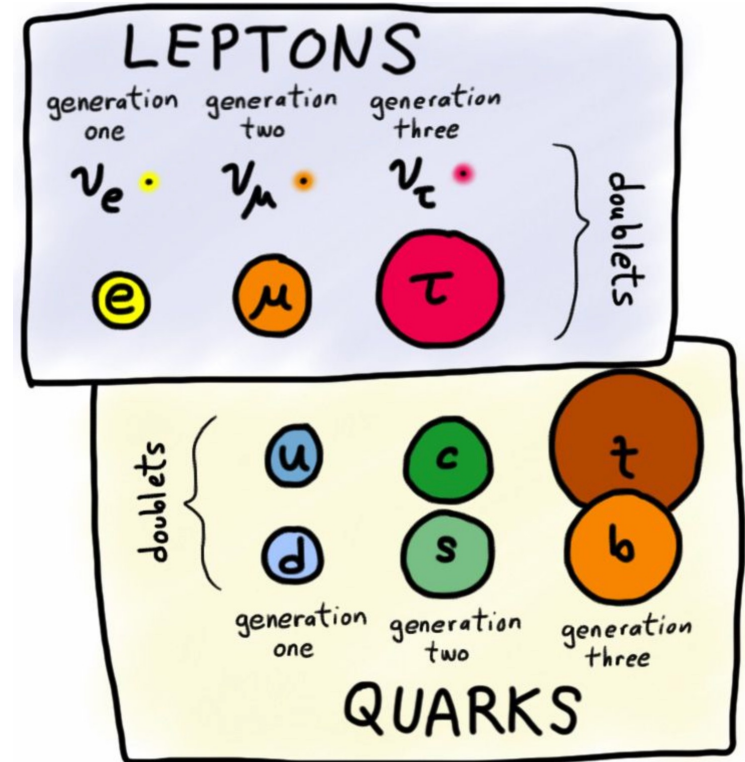
University of Colorado Boulder

on behalf of the CMS and ATLAS Collaborations



Lepton Flavor Universality

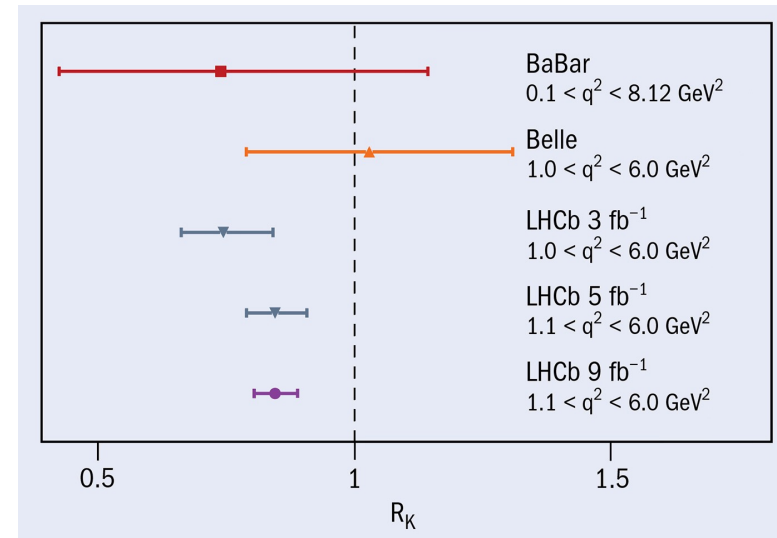
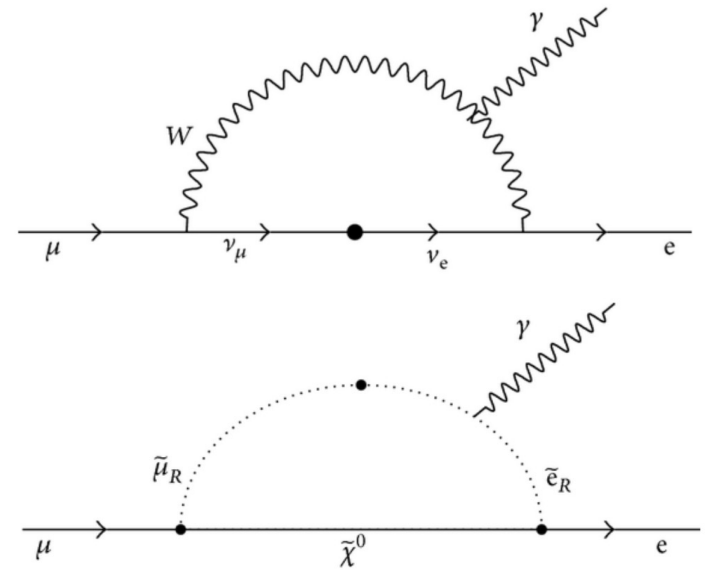
- ◆ No observed transitions coupling charged leptons from different generations (ex. $H \rightarrow e\mu$)
- ◆ But why not?
 - ◆ Weak force mixes quarks of different generations
 - ◆ Neutrino mixing shows lepton flavor violation in neutral leptons



Lepton Flavor Violation

- ◆ Neutrino mixing does allow LFV in SM
 - ◆ But at rates far below current experimental sensitivity
- ◆ New physics contributions can significantly enhance LFV rates
- ◆ Recent “flavor anomalies” have driven renewed interest in searches for LFV
 - ◆ Many related high-mass searches (Z' , leptoquarks, etc.) not covered here

(see G. Padovano, H. Saka, E. Vourliotis)



LFV in Higgs and Z Decays

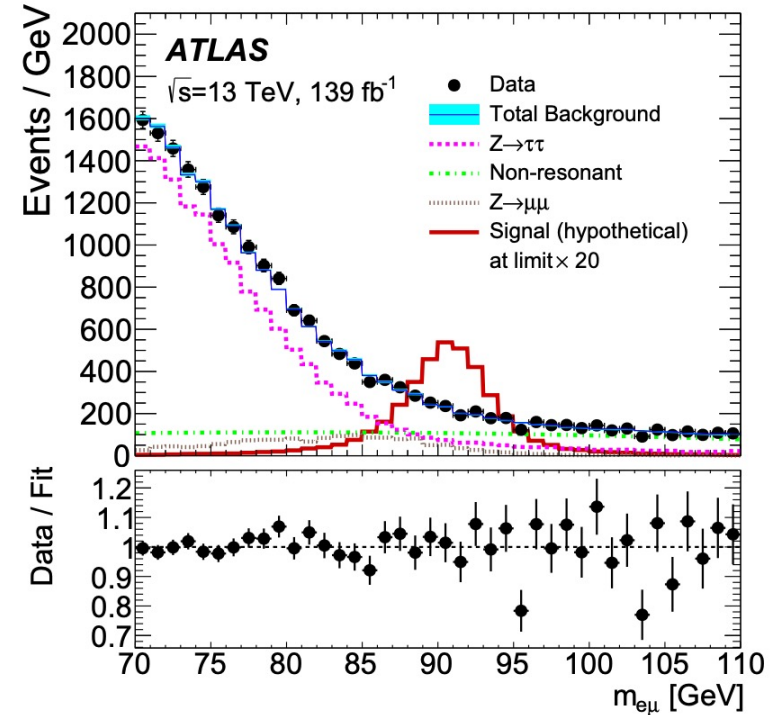
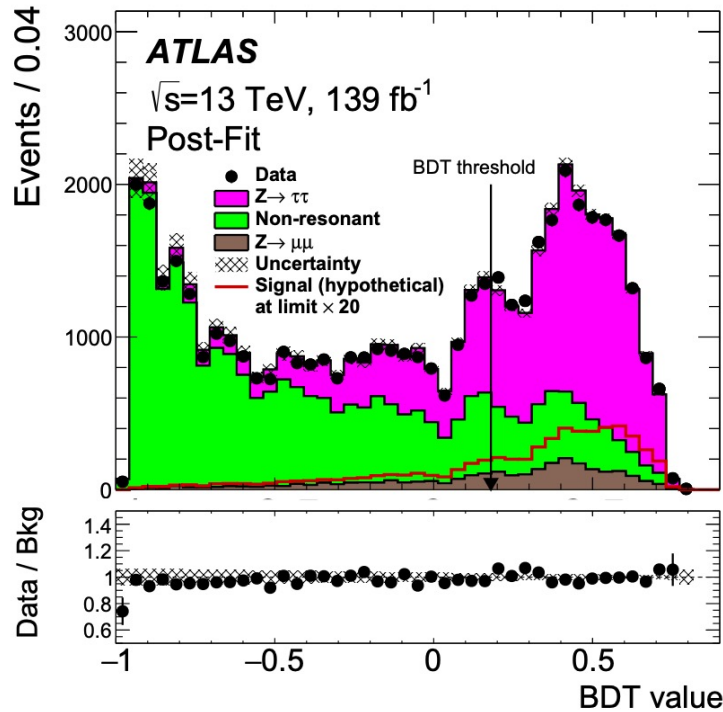
- ◆ Classic charged LFV search at the LHC
 - ◆ Z and Higgs decays in $e\mu$, $e\tau$, and $\mu\tau$ final states
 - ◆ Clean signature with triggerable high p_T leptons
 - ◆ Similar searches from CMS and ATLAS



- ◆ Select events with two opposite charge leptons with different flavor
 - ◆ Include hadronic and leptonic tau decays
- ◆ Separate templates for different production channels for Higgs

$Z \rightarrow e\mu$ Search

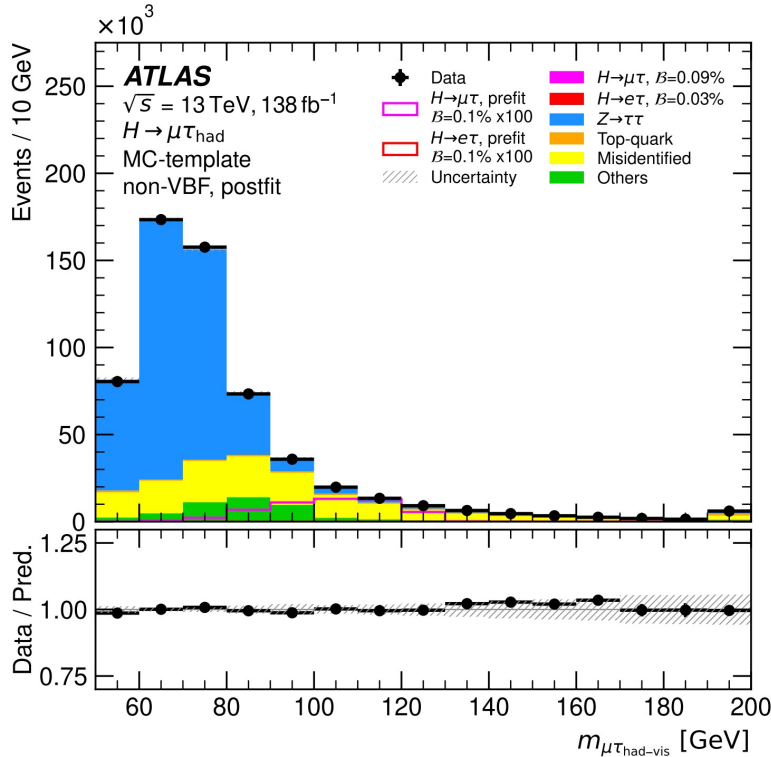
arXiv:2204.10783



- ◆ Boosted Decision Tree from kinematic and lepton ID variables
- ◆ Fit to $e\mu$ mass to extract signal
- ◆ Normalize $e\mu$ search to Drell Yan events

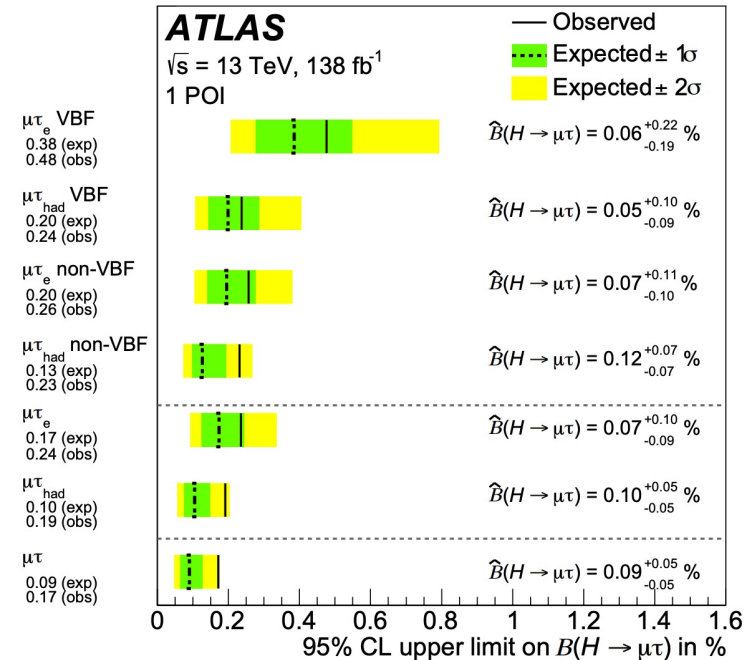
Higgs $\rightarrow \tau\ell$ Search

arXiv:2302.05225



- ◆ Mass peak less sharp due to neutrinos in tau decays
- ◆ MVA-based tau ID with fake rate measured in data control regions
- ◆ Multiple background methods used to cross check

- ◆ Separate search channels for VBF and non-VBF Higgs production
- ◆ Combine limits from all channels



Summary of H/Z limits

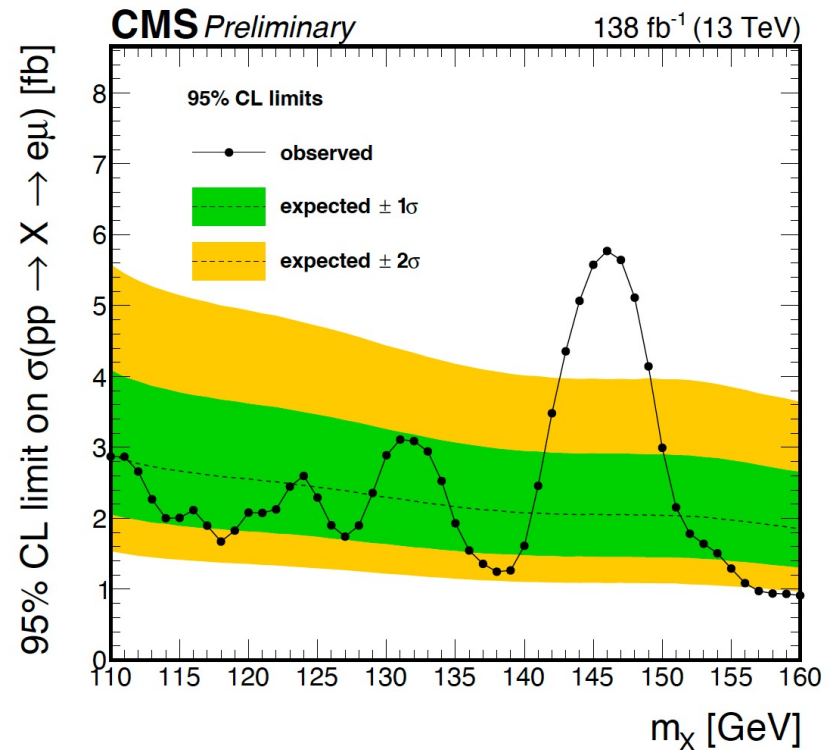
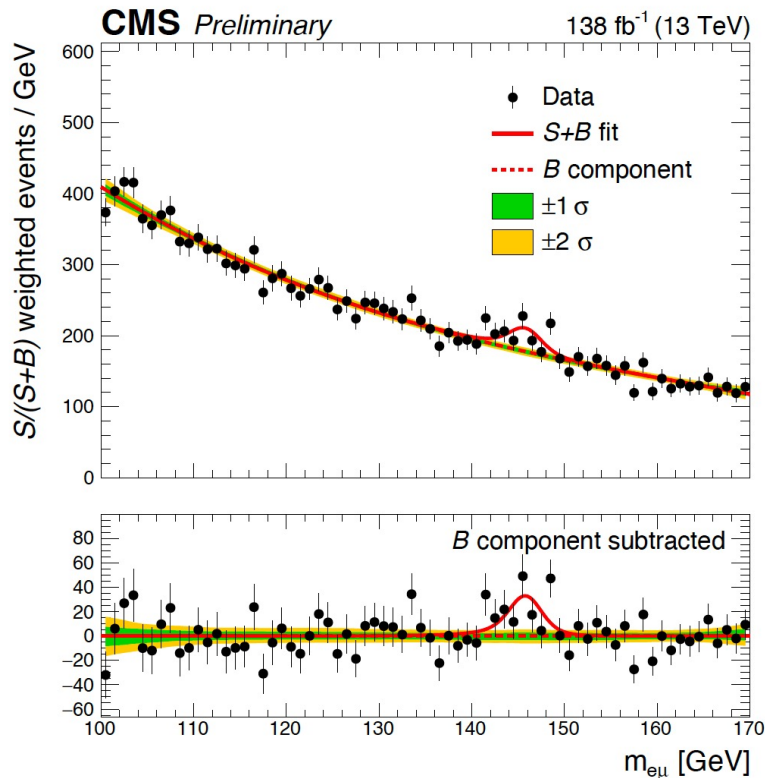
- ◆ No signal observed in any channel
- ◆ Interpret results as 95% CL upper limits

95% CL UL	ATLAS	CMS
$H \rightarrow e\mu$	$6.2 * 10^{-5}$ PLB 801 (2020) 135148	$4.4 * 10^{-5}$ PAS-HIG-22-002
$H \rightarrow e\tau$	$2.0 * 10^{-3}$ 2302.05225	$2.2 * 10^{-3}$ PRD 104, 032013 (2021)
$H \rightarrow \mu\tau$	$1.8 * 10^{-3}$ 2302.05225	$1.5 * 10^{-3}$ PRD 104, 032013 (2021)
$Z \rightarrow e\mu$	$2.6 * 10^{-7}$ 2204.10783	
$Z \rightarrow e\tau$	$8.1 * 10^{-6}$ Nature Phys 17, 819 (2021)	
$Z \rightarrow \mu\tau$	$9.5 * 10^{-6}$ Nature Phys 17, 819 (2021)	

Near Higgs $e\mu$ Search

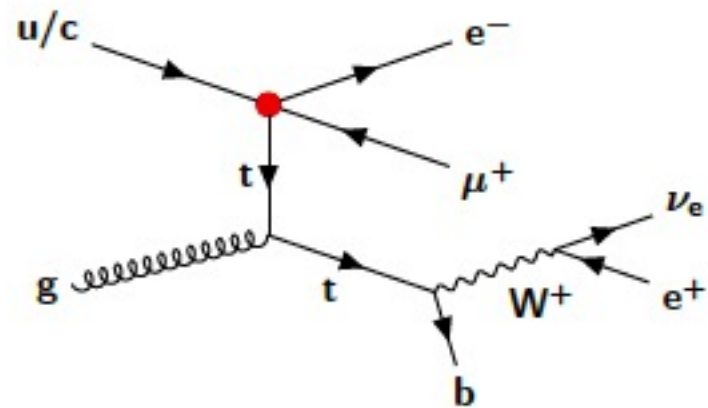
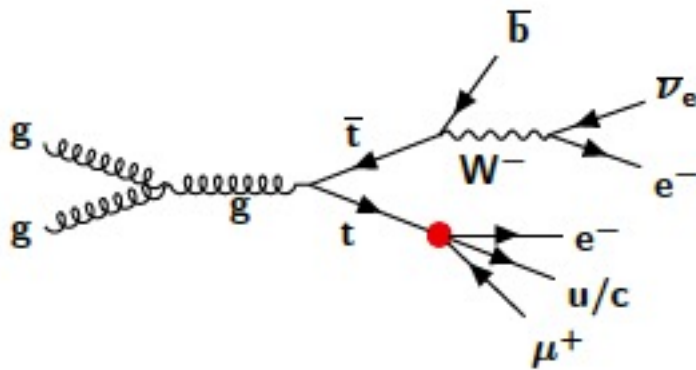
- ◆ CMS $H \rightarrow e\mu$ search also scanned around the Higgs mass
- ◆ Possible sign of structure ~ 146 GeV
 - ◆ 2.8 (3.8) σ global (local) significance

PAS-HIG-22-002



LFV in Top Decays

- ◆ Search for LFV in vertex coupling 2 flavors of leptons and quarks (ex: e , μ , t , c)
 - ◆ Possible in both production and decay of top quarks

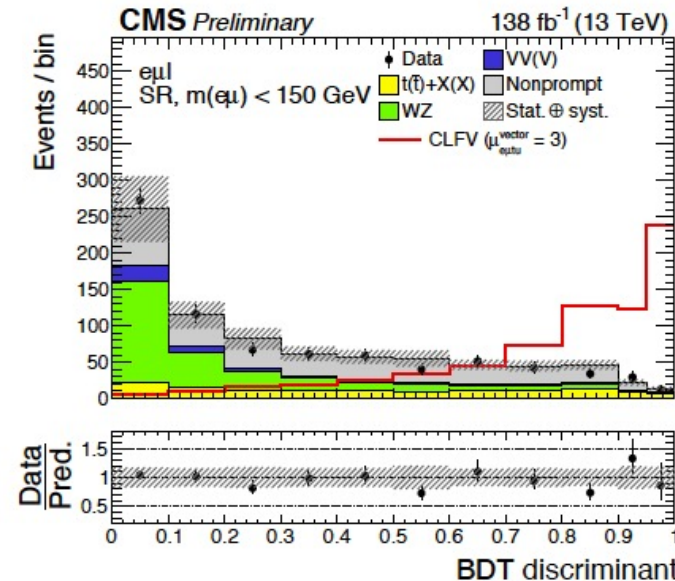
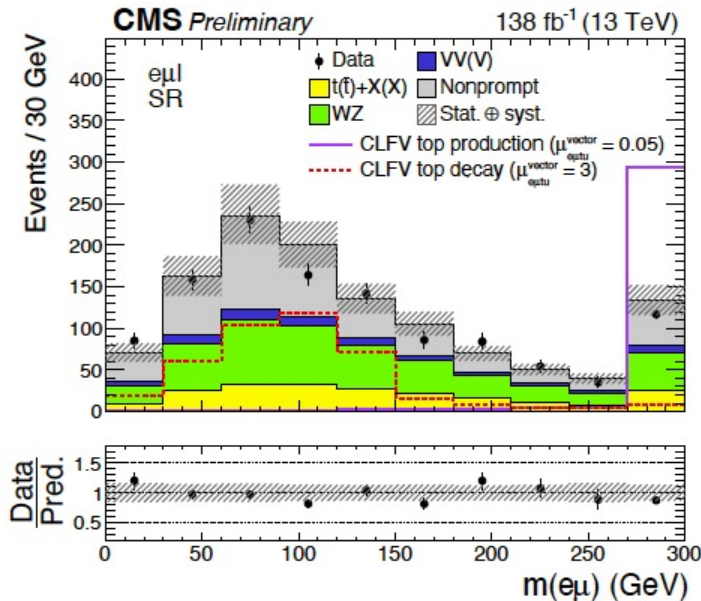


- ◆ Select events with
 - ◆ Opposite charge e/μ pair plus additional charged lepton
 - ◆ ≥ 1 jet with no more than 1 tagged as b
- ◆ Build SM top candidate from most b -like jet, extra lepton, and MET
- ◆ Build BSM top candidate from opposite charge e/μ pair and jet that gives mass closest to the top
- ◆ Divide signal region into $m(e\mu) >$ and < 150 GeV to target production/decay regions

Top Background Predictions

- ◆ Prompt backgrounds from SM processes with three real leptons (di- and tri-boson production)
 - ◆ Estimated from MC simulation
- ◆ Non-prompt backgrounds from one or more non-prompt leptons (b, c or π decays)
 - ◆ Estimated from tight-to-loose ratio measured and validated in data
- ◆ Boosted Decision Trees trained to discriminate signal from background

PAS-TOP-22-005

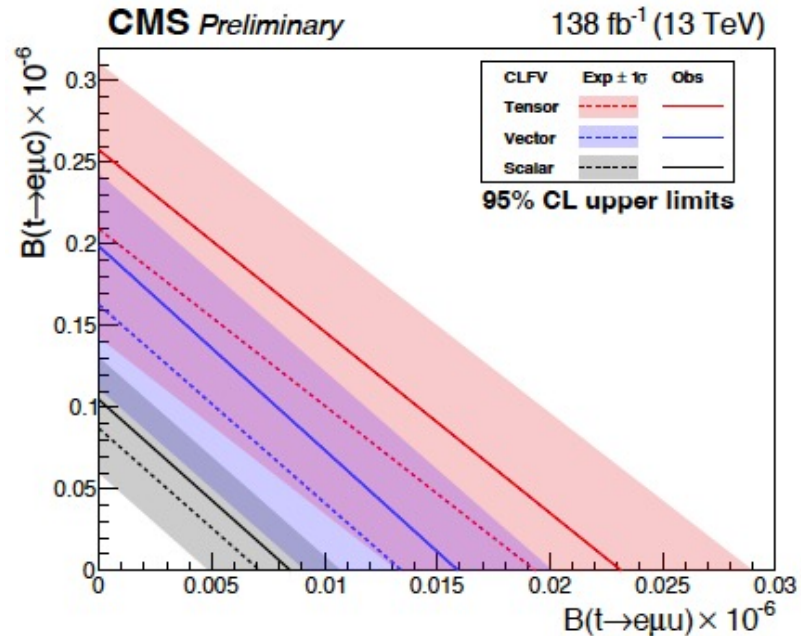


Top LFV Interpretations

- ◆ Interpret as constraints on BSM Wilson coefficients and limits on top branching fractions
- ◆ Search in similar channel with τ leptons from ATLAS

Process	$m(e\mu) < 150 \text{ GeV}$	$m(e\mu) > 150 \text{ GeV}$
Nonprompt	351 ± 92	146 ± 38
WZ	275 ± 64	145 ± 35
ZZ	33.2 ± 6.5	13.1 ± 2.6
VVV	17.0 ± 8.5	12.0 ± 6.0
$t\bar{t}W$	47.6 ± 10.0	40.0 ± 9.1
$t\bar{t}Z$	39.1 ± 7.9	25.8 ± 5.4
$t\bar{t}H$	28.2 ± 4.5	10.0 ± 1.6
tZq	5.5 ± 1.1	2.5 ± 0.5
Other backgrounds	7.3 ± 3.7	4.5 ± 2.3
Total expected background	805 ± 123	398 ± 57
Data	783	378
CLFV	239 ± 14	6195 ± 305

PAS-TOP-22-005
138 fb⁻¹ (13 TeV)



ATLAS- CONF-2023-001

	95% CL upper limits on BR($t \rightarrow \mu\tau q$)	
	Stat. only	All systematics
Expected	8×10^{-7}	10×10^{-7}
Observed	9×10^{-7}	11×10^{-7}

High Mass LFV Decays

- ◆ Variety of New Physics models can produce heavy resonances decaying to lepton pairs (ex: Z')
 - ◆ If LFV couplings are included, can expect $Z' \rightarrow e\mu, e\tau,$ and/or $\mu\tau$
- ◆ Select different flavor lepton pairs with $p_T > 65$ GeV
 - ◆ Include taus in 1 or 3 prong hadronic channels
- ◆ Target dilepton mass > 600 GeV and exactly 2 leptons back-to-back
- ◆ For tau channels, neutrino direction assumed same as visible tau components
 - ◆ Achieves mass resolution of 4% for $e\tau$ and 12% for $\mu\tau$ for 2 TeV benchmark Z'

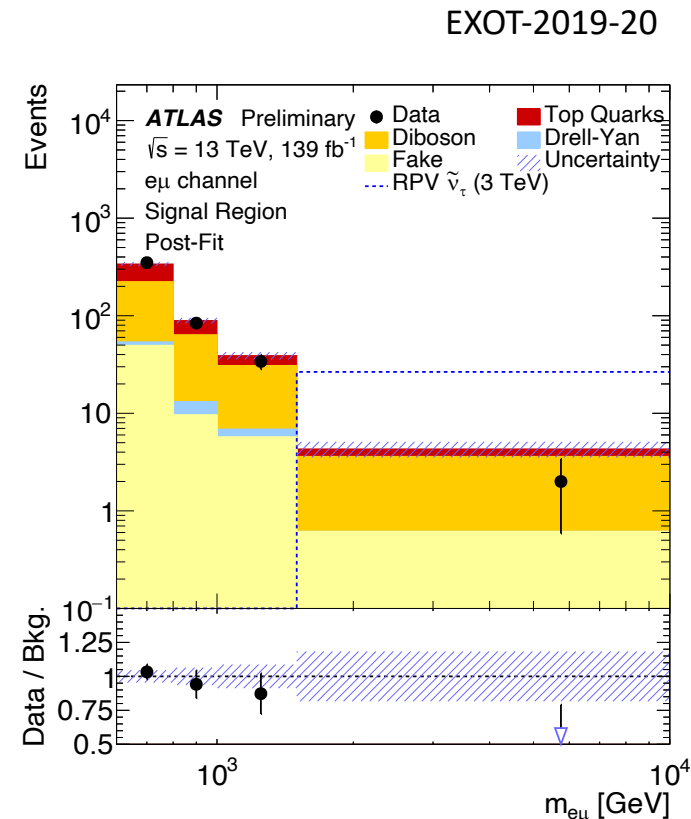
High Mass LFV Backgrounds

◆ Irreducible

- ◆ SM processes with true opposite charge different flavor leptons (diboson, $t\bar{t}$, $Z \rightarrow \tau\tau$)
- ◆ Estimated from MC simulation corrected by data in background-enriched control regions

◆ Reducible

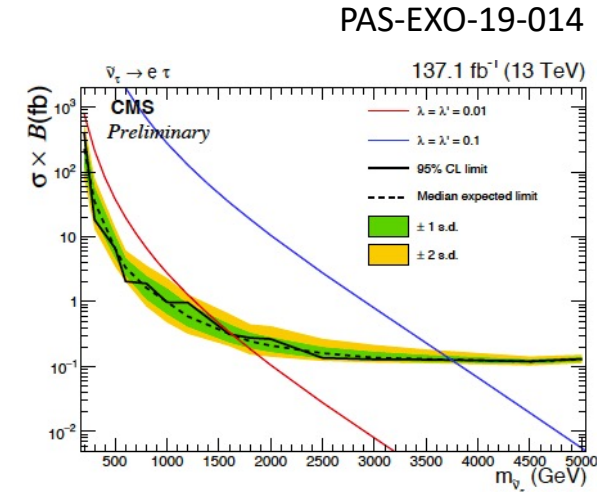
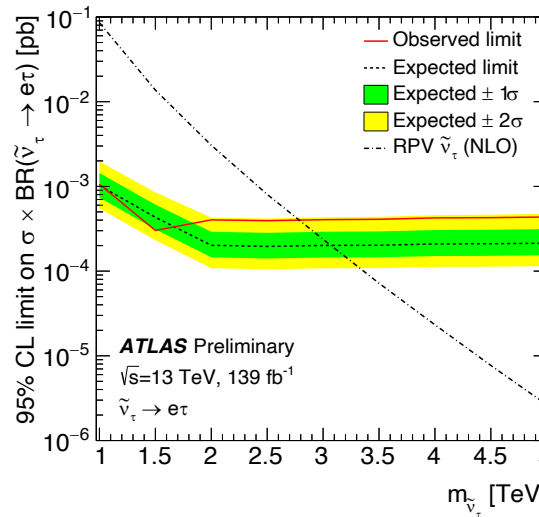
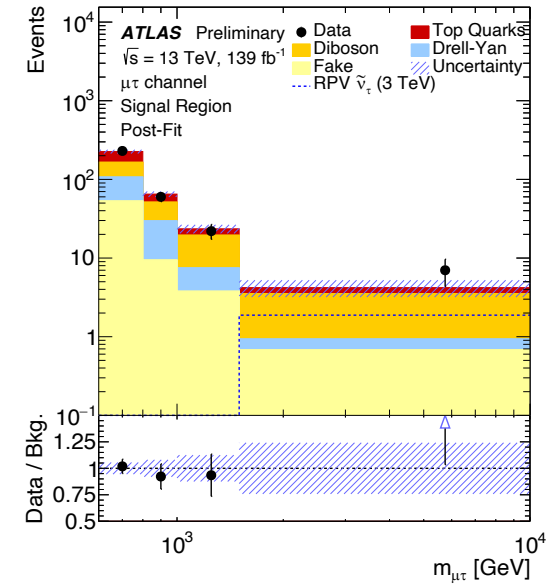
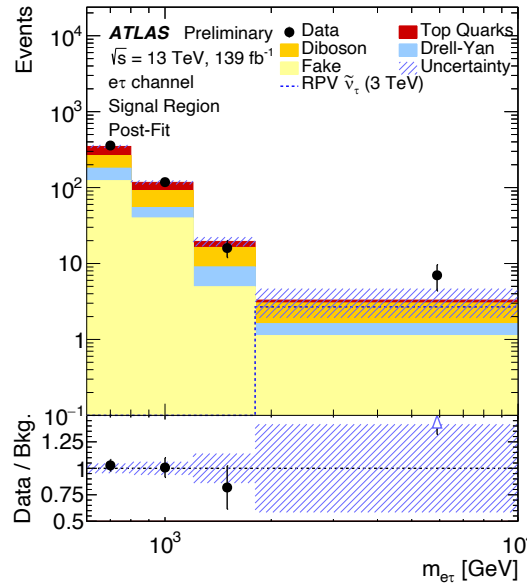
- ◆ Jets misreconstructed as leptons (W+jets, multijet)
- ◆ Non-prompt e or μ estimated from data with tight-to-loose ratio method
- ◆ Jet faking hadronic τ derived scaling background-enriched control region yield by SR/CR ratio from simulation



High Mass Results

EXOT-2019-20

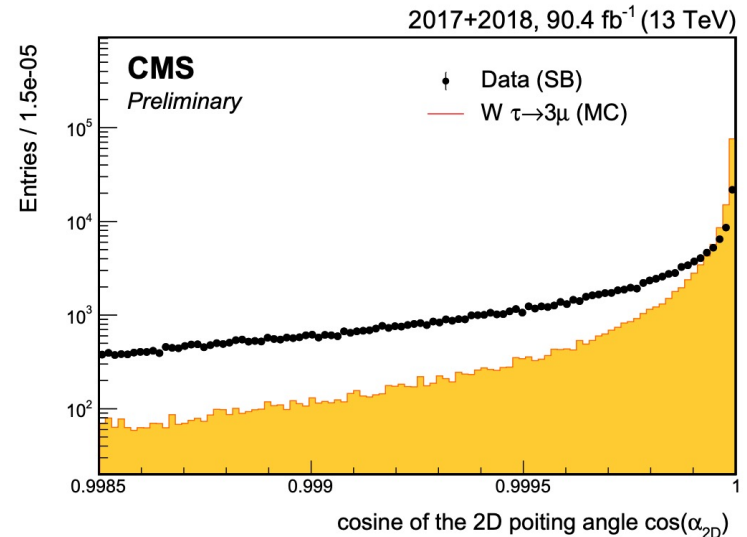
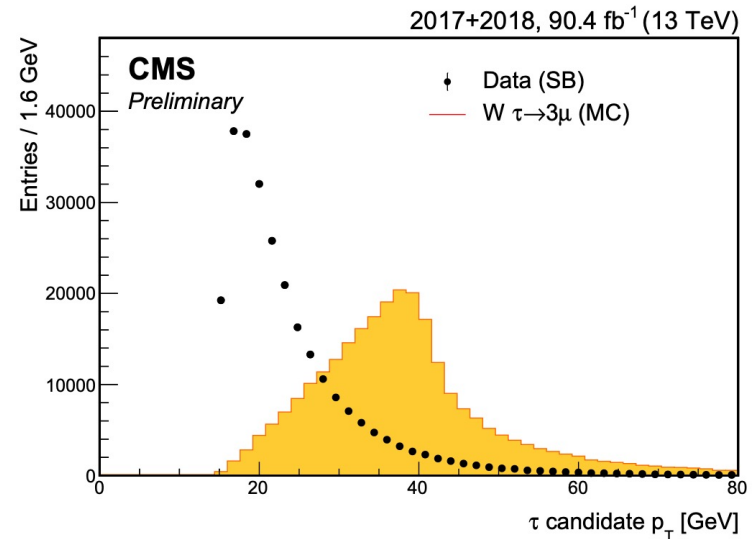
- ◆ Interpret results as limits on variety of BSM models: Z' , RPV sneutrinos, quantum black holes
- ◆ Interesting excess $\sim 2\sigma$ in highest mass bin in $e\tau$ and $\mu\tau$ channels
- ◆ Similar search performed by CMS \rightarrow no sign of excess visible in sneutrino limits



LFV in tau Decays

PAS-BPH-21-005

- ◆ Search for LFV $\tau \rightarrow 3\mu$ decays
 - ◆ New: add 2017–2018 data
- ◆ Include τ production from heavy flavor (B, D) decays and W decays
- ◆ $W \rightarrow \tau, \nu$ results in high $p_T \tau$
- ◆ Muon $p_T > 7, 1, 1$ GeV fit to common vertex
- ◆ $p_T(3\mu) > 15$ GeV
- ◆ BDT to separate signal (MC) vs background (data sidebands)
 - ◆ W decay: MET, tau p_T , etc.
 - ◆ $\tau \rightarrow 3\mu$ vertex: χ^2 , pointing angle
 - ◆ Muon identification
- ◆ Split into three categories based on 3μ mass resolution

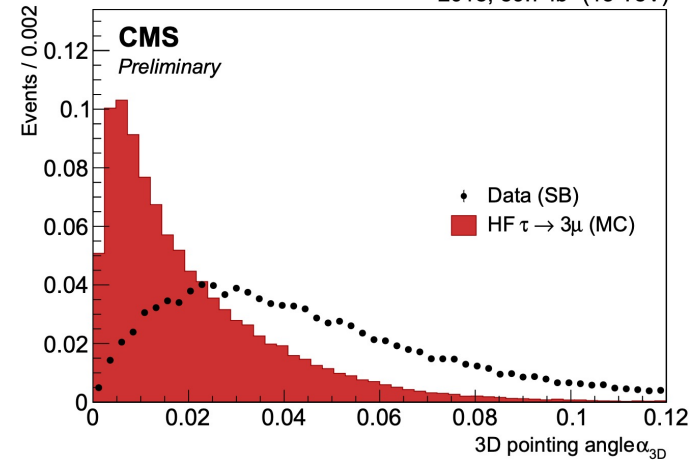


$\tau \rightarrow 3\mu$ Heavy Flavor Production

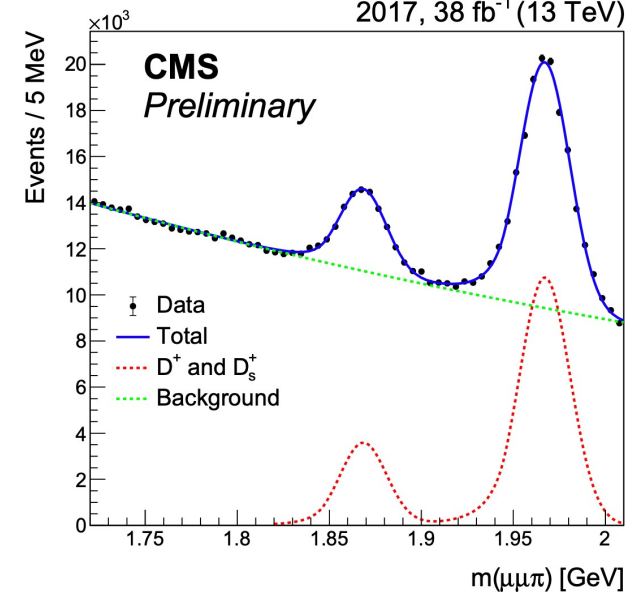
- ◆ Fit 3 low p_T muons to common displaced vertex
- ◆ Background dominated by pion or kaon decay-in-flight and hadron tracks matching muon stubs → use muon quality BDT
- ◆ Divide into three categories of 3μ mass resolution ($\sim 12, 19, 25$ MeV)
- ◆ Second event-level BDT trained to select signal vs background
 - ◆ Divide into 4 bins and fit the 3μ mass to extract signal in 3 most signal-like bins
- ◆ Signal normalized to D_S^+ → $\phi(\mu^+\mu^-)\pi^+$ to minimize dependence on B or D cross sections and muon selection efficiencies

PAS-BPH-21-005

2018, 59.7 fb⁻¹ (13 TeV)



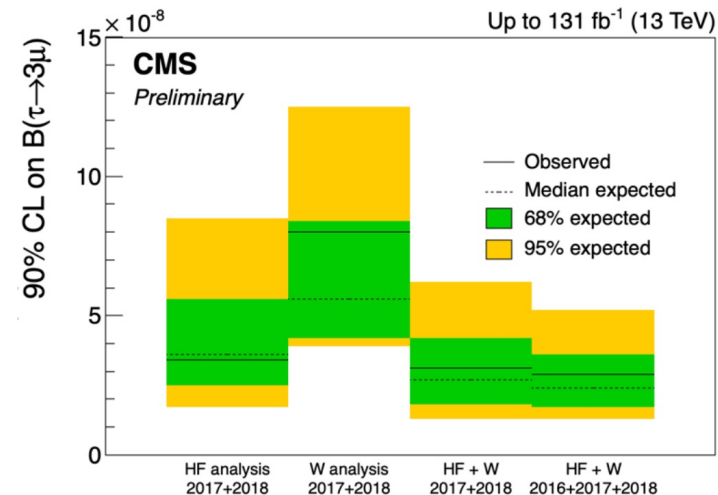
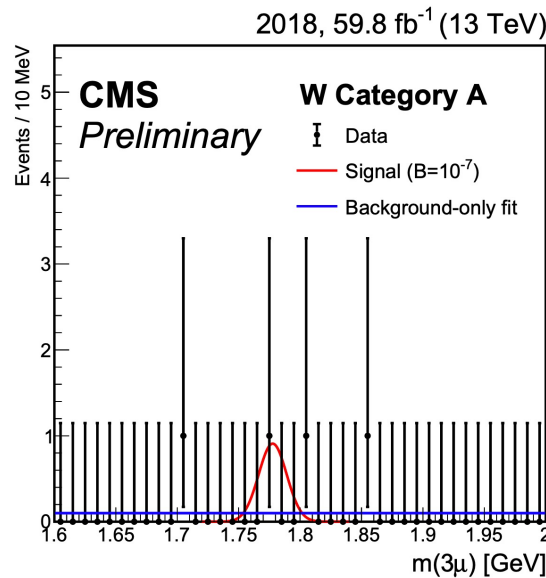
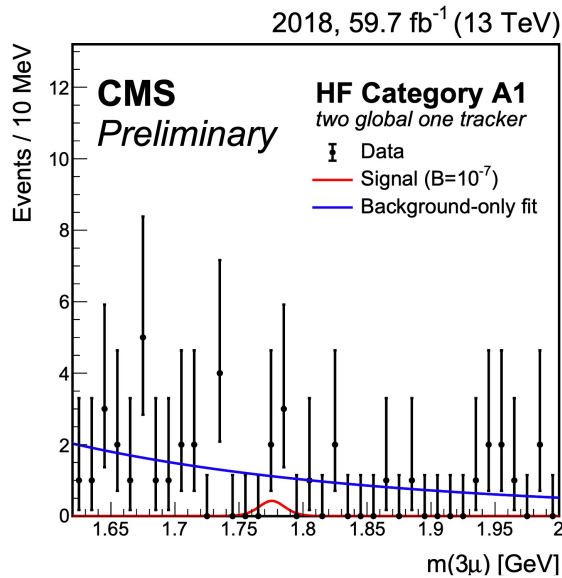
2017, 38 fb⁻¹ (13 TeV)



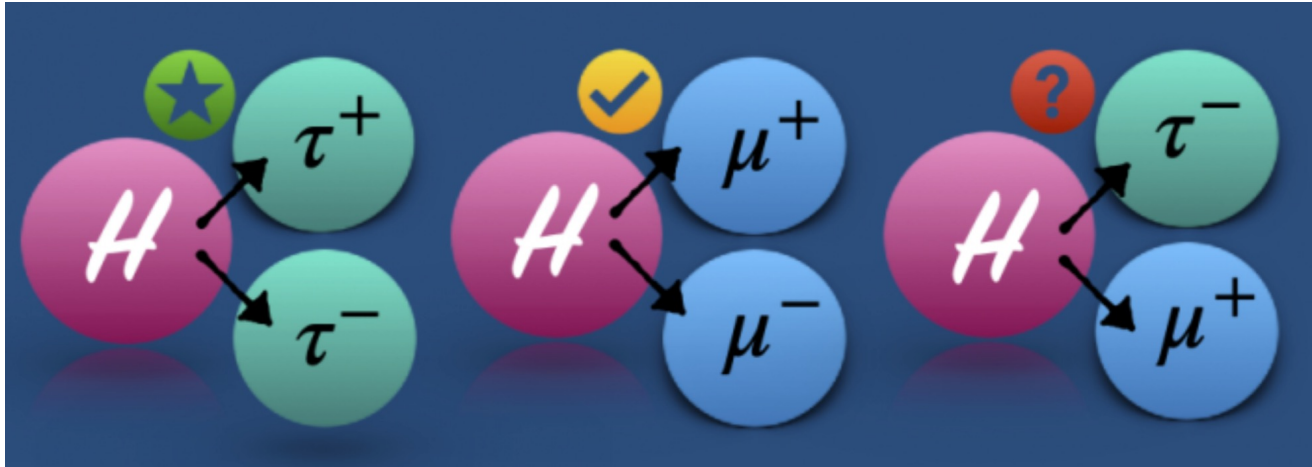
LFV in tau Decays

- ◆ No signal observed
- ◆ Final results extracted from simultaneous fit to all new signal regions, plus previous 2016 results
- ◆ $\mathcal{B}(\tau \rightarrow 3\mu) < 2.9 \times 10^{-8}$ at 90% CL
 - ◆ World's best still from B-factories: Belle $< 2.1 \times 10^{-8}$

PAS-BPH-21-005



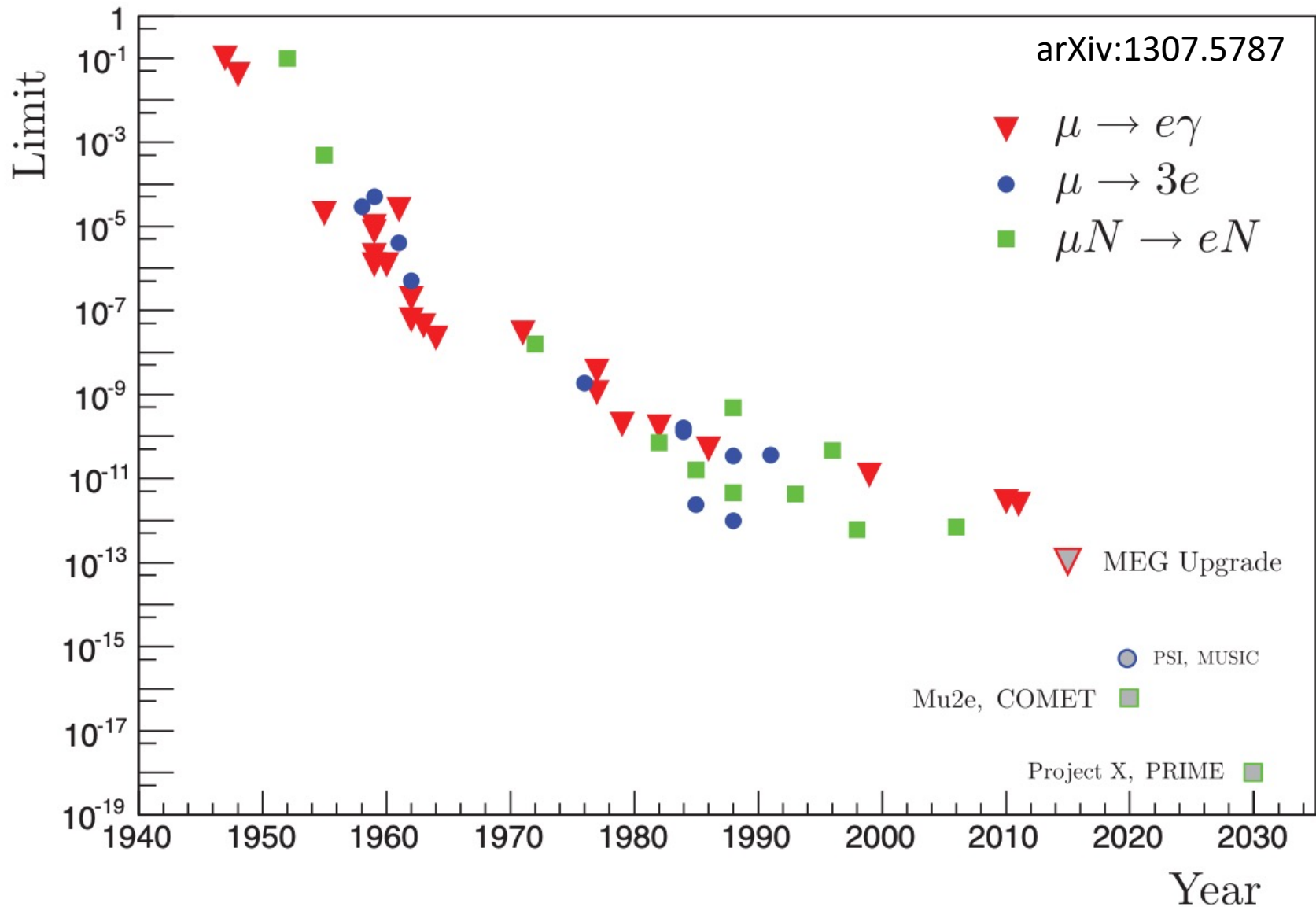
Conclusions



- ◆ Lepton Flavor Violation in charged lepton sector is an intriguing arena to search for new physics
- ◆ The LHC has world's best sensitivity to many LFV channels including Z, Higgs, and Top decays and high mass searches
- ◆ No clear signs of LFV yet, but several interesting hints to be followed up with more data
- ◆ Especially important as the picture for heavy flavor anomalies continues to become more clear

Additional Slides

History of $\mu \rightarrow e\gamma$, $\mu N \rightarrow eN$, and $\mu \rightarrow 3e$



CMS High Mass

◆ Mass plots of CMS High Mass search

