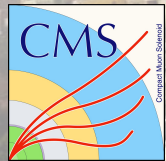


Higgs / Top / EWK and combined EFT results at CMS

Davide Valsecchi
for the CMS collaboration

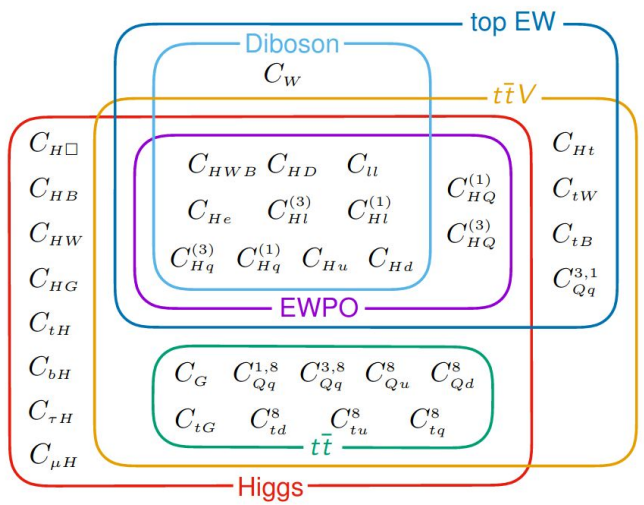
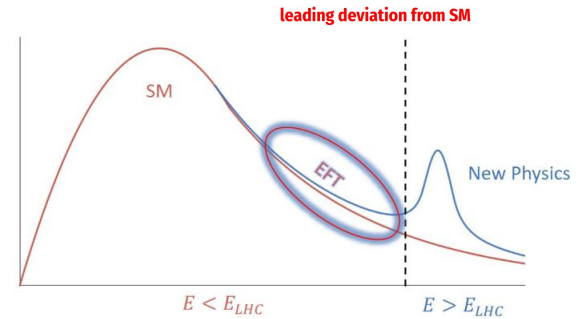
ETH zürich



- SMEFT: Probe indirect signals of new physics in an **agnostic & systematic way**
- SMEFT operators have a **global effect**
 - need a **global measurement strategy** from experiments
 - different channels have sensitivity on subsets of operators ([sensitivity study from LHC-EFT-WG](#))
 - flat directions (operators with similar effect) can be ruled out with **combination**

$$\mathcal{L}_{\text{SMEFT}} = \mathcal{L}_{\text{SM}} + \frac{1}{\Lambda} \mathcal{L}_5 + \frac{1}{\Lambda^2} \mathcal{L}_6 + \frac{1}{\Lambda^3} \mathcal{L}_7 + \frac{1}{\Lambda^4} \mathcal{L}_8 + \dots$$

Violates B,L



- **Common set of recommendations** fundamental ([LHC EFT WG Report](#)):
 - Choice of basis and input parameters
 - Best-practice for simulation and reweighting
 - Choice of parametrization for observables
 - Choice of analysis phase-spaces to avoid double-counting

EFT analyses can follow an **indirect** or **direct** approach

Indirect approach

- **Reinterpretation** of fiducial (differential) cross-sections measurements (e.g STXS in the Higgs)
 - More re-interpretable, easier to preserve
- Access to **more operators** but with limited sensitivity (not optimized for EFT)
 - constraint power from combination
 - flat directions can be disentangle with PCA → challenge for theory usage
- **Acceptance effects** difficult to model for some channels



Direct approach

- BSM effect **fully simulated** to detector-level. Analyses optimized for EFT parameters sensitivity
- More difficult to preserve (MVAs, full detector reco)
- May be **optimal for a set of operators**, but can be computationally expensive
- Combination possible but more difficult (overlaps)
- Optimal observables:
 - Based on Matrix Element Method (MELA)
 - Based on parameterized classifiers optimized with ML → learns the structure of the likelihood ratio



EFT in Top Physics

Analysis approaches:

- unfolded cross-sections
- direct measurements using optimal observables or ML discriminators

Parametrizations:

- Warsaw basis: $dim6_{top}$ or SMEFT@NLO

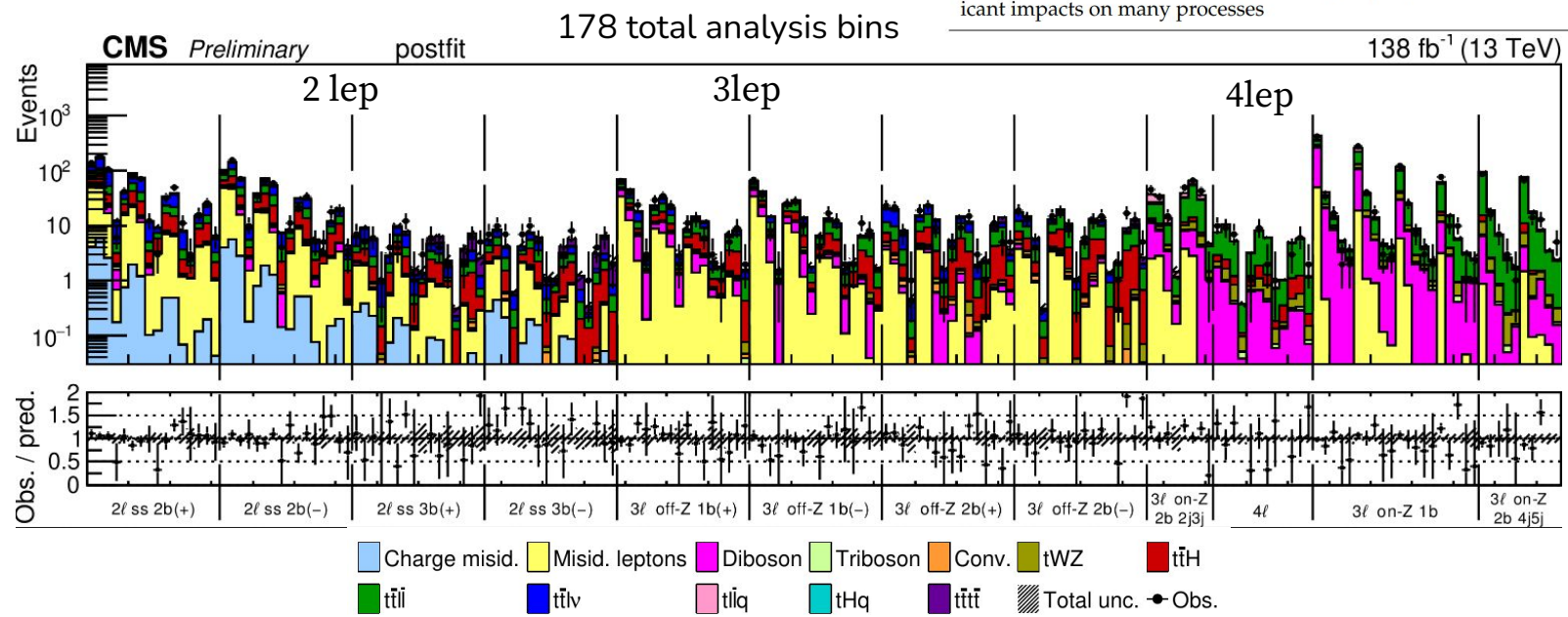
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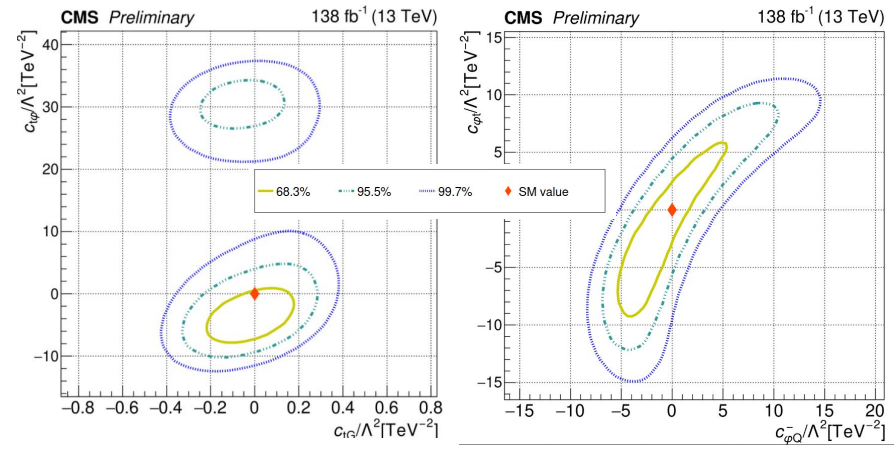
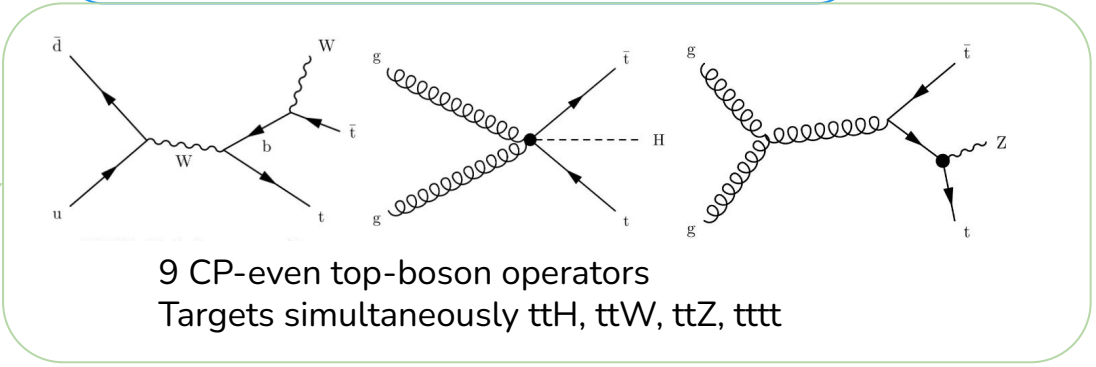
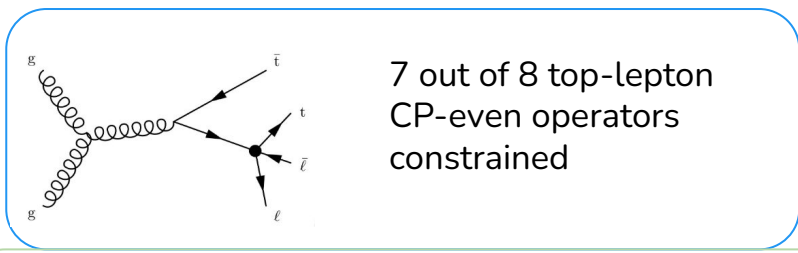
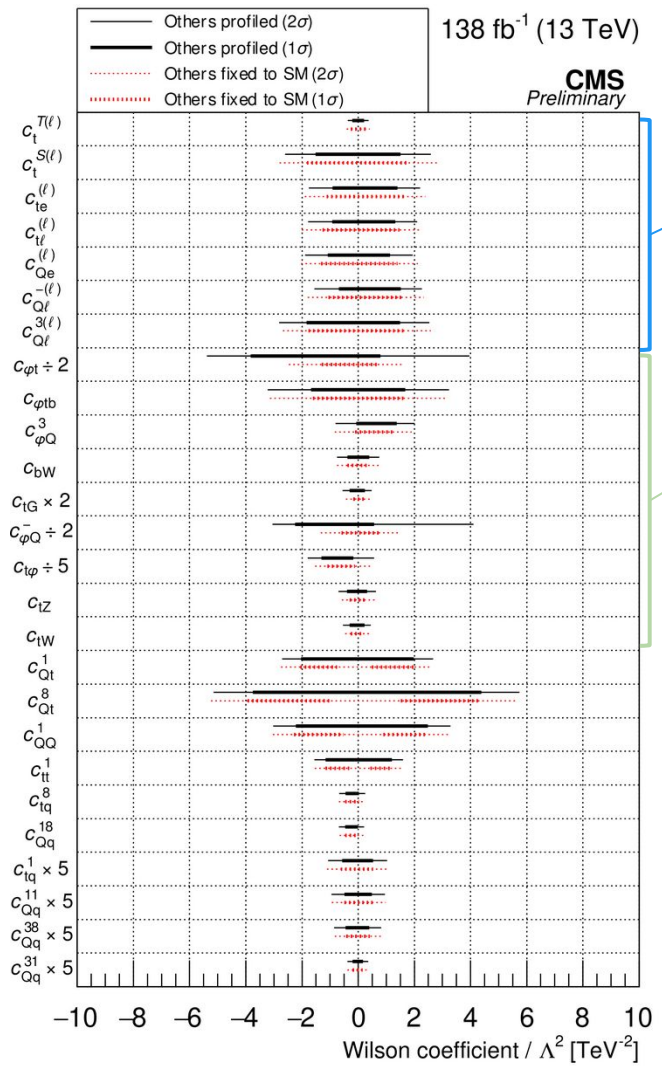
- Recent $tt+X$ analysis
- $tt+H/Z$ boosted analysis

- Most comprehensive CMS EFT analysis about top-related operators
 - Targeting ttH, ttZ, ttW, tHq, tZq, ttt processes
- Broad multilepton + jet multiplicity categorization
- Fit $p_T(\ell_j)_0$ or $p_T(Z)$ variable: sum of the momenta of the pair of jets/leptons with the largest p_T → high **sensitivity to tails** for most operators
- 26 WC fitted together → analyze **different processes all together**

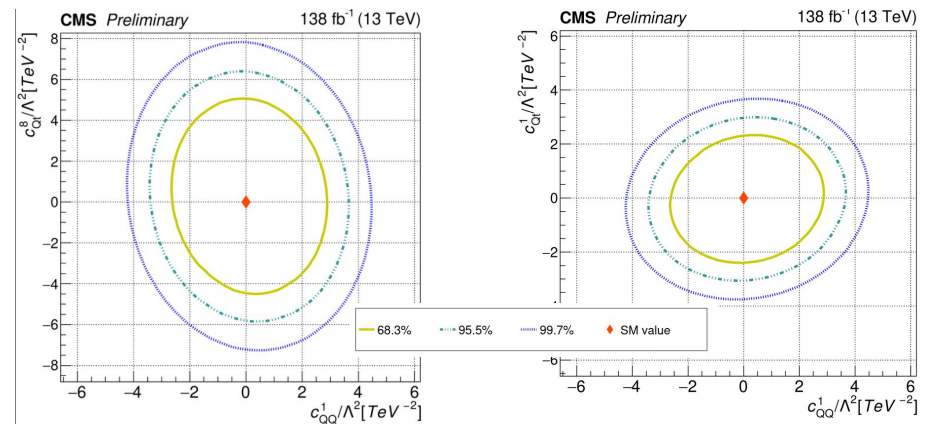
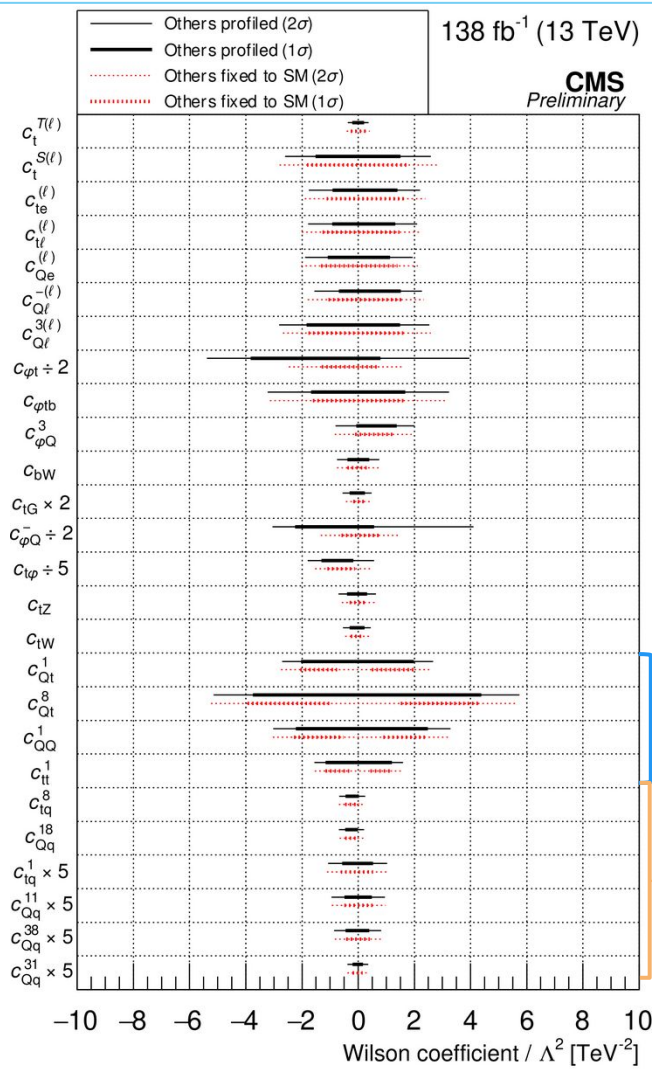
Most sensitive category for each operator

Grouping of WCs	WCs	Lead categories
Two heavy two leptons	$c_{Q\ell}^{3(\ell)}, c_{Q\ell}^{-3(\ell)}, c_{Qe}^{(\ell)}, c_{t\ell}^{(\ell)}, c_{te}^{(\ell)}, c_t^{S(\ell)}, c_t^{T(\ell)}$	3l off-Z
Four heavy	$c_{QQ}^1, c_{Qt}^1, c_{Qt}^8, c_{tt}^1$	2lss
Two heavy two light "tflv-like"	$c_{Qq}^{11}, c_{Qq}^{18}, c_{tq}^1, c_{tq}^8$	2lss
Two heavy two light "tllq-like"	c_{Qq}^{31}, c_{Qq}^{38}	3l on-Z
Two heavy with bosons "tll-like"	$c_{tZ}, c_{\phi t}, c_{\phi Q}^-$	3l on-Z and 2lss
Two heavy with bosons "tXq-like"	$c_{\phi Q}^3, c_{\phi tb}, c_{bW}$	3l on-Z
Two heavy with bosons with significant impacts on many processes	$c_{tG}, c_{t\phi}, c_{tW}$	3l and 2lss





profiling other WCs



profiling other WCs

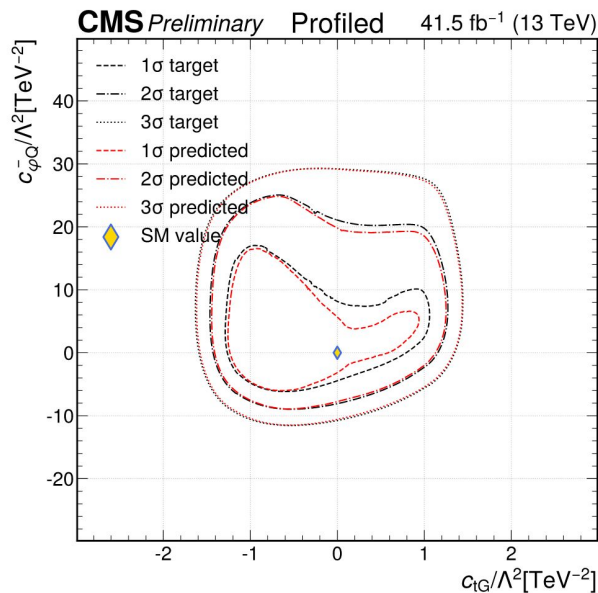
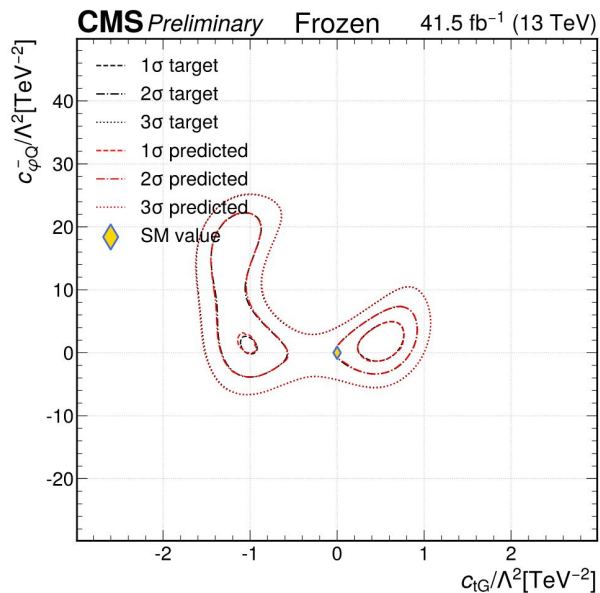
4 four-heavy quarks operators constrained from ttt and ttb production

6 two-heavy-two-light operators constrained with qq-induced processes (ttW, tZq)

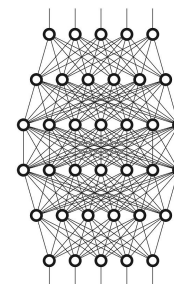
Very challenging fit given the **26 POIs** and **178** analysis bins: published 1D/2D of WCs

Towards **publication of the full profiled likelihood over the WC**:

- Proof-of-concept developed on **previous iteration of tt+X analysis** ([doi:JHEP03\(2021\)095](https://arxiv.org/abs/2012.08514))
- Trained a **neural network** to save the likelihood function around the SM point in **16D (WCs POIs)**
 - Very fast high dimensional evaluation
- It would allow **theorists to use the full profiled likelihood** instead of 1D/2D scans

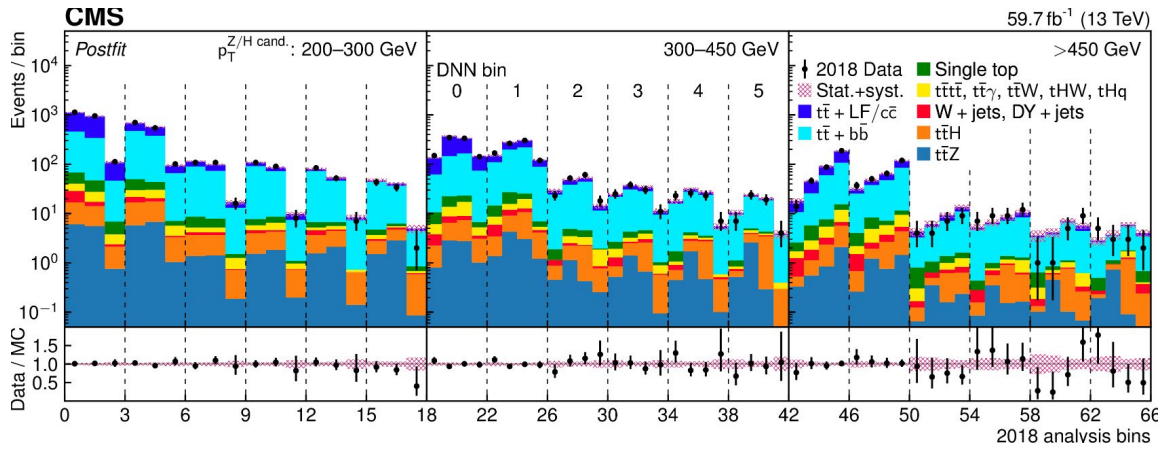
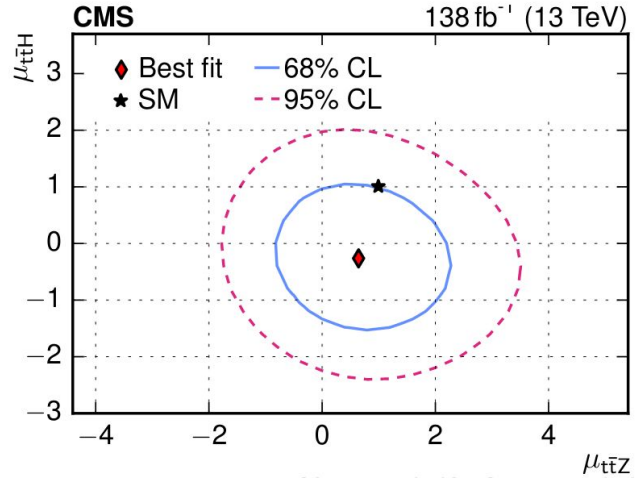
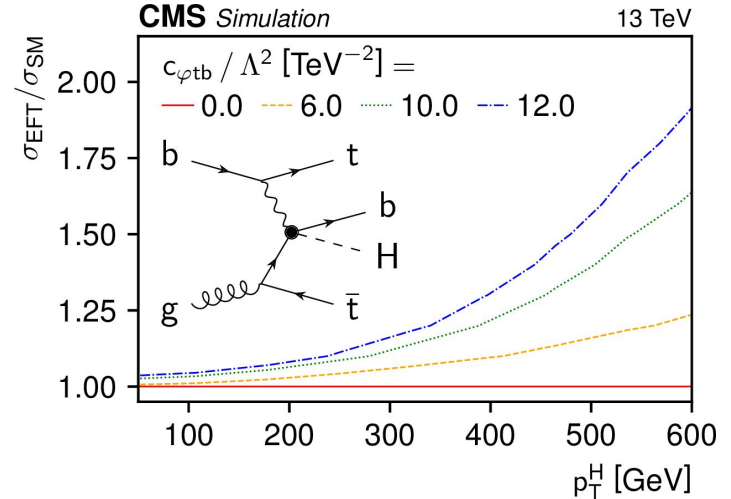


16 WC



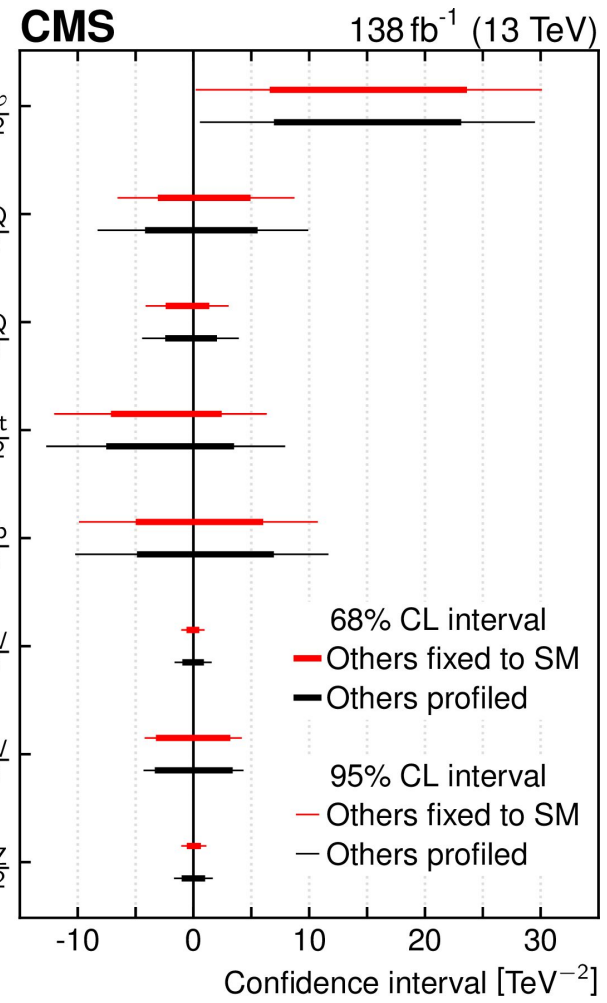
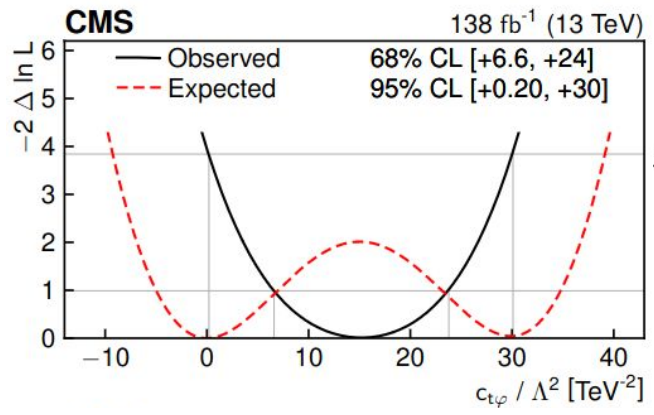
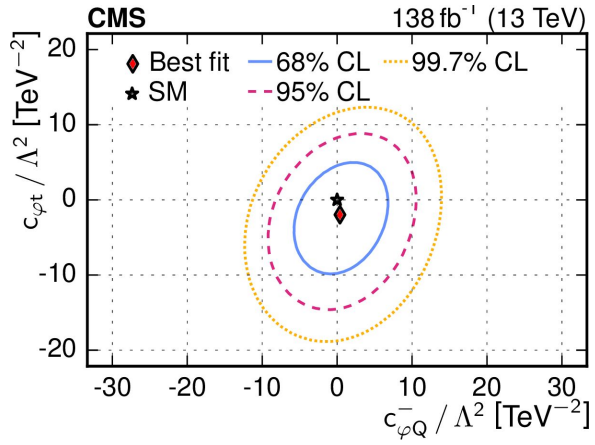
nuisances-profiled likelihood function

- Single lepton + 1 tagged AK8 jet (H→ bb discriminator)
- Targeting EFT effects in **tt + boosted H/Z** and ttbb
- **3D fit**: AK8 jet mass, p_T Z/H candidate, and DNN discriminating tt+bb from ttH/Z
- Measuring **8 top+boson** operators (all of them but CtG)
- First analysis to explore EFT effect in the “**background**”: C_{Wb} WC in tt+bb



tt+bb postfit yield observed higher than SM → using most update Powheg 4FS prediction

- Reported upper limits on ttZ/H differential XS and **1D/2D CI of 8 WC**.
- Tension in $C_{t\varphi}$ correlated to upper postfit deviation of tt+bb yield
- Scan the three pairs of WCs that exhibit the largest postfit correlations
 $(c_{\varphi t}, c_{\varphi Q}^-)$ $(c_{\varphi Q}^3, c_{\varphi Q}^-)$ (c_{tW}, c_{tZ})



EFT in Higgs Physics

Analysis approaches:

- Matrix element observables (MELA)
- Re-interpretation of inclusive and differential XS
- STXS framework

Parametrizations:

- Anomalous couplings
- Higgs Effective Lagrangian (HEL) model
- more recently Warsaw basis in SMEFT

In this talk:

- Recent $H \rightarrow \tau\tau$ AC analysis
- STXS pre-legacy Run2 combination

Direct analysis following the **anomalous couplings (AC)** parametrization
 → target ggH and VBS Higgs productions

AC approach/SMEFT approach
 1 Anomalous coupling:
 $\tilde{\kappa}_f : \text{CP}$

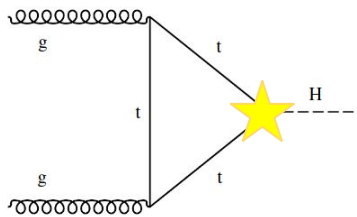
Limits on AC parameters can be rotated to Warsaw basis WC limits.

AC approach	SMEFT approach
$a_i^{ZZ} = a_i^{WW}$	$SU(2) \times U(1)$
4 anomalous couplings:	$a_i^{ZZ} \neq a_i^{WW}$
a_2 (CP)	3 anomalous couplings:
a_3 (CP)	a_2 (CP)
a_{A1} (CP)	a_3 (CP)
$a_{A1}^{Z\gamma}$ (CP)	a_{A1} (CP)

$$A(Hff) = -\frac{m_f}{v} \bar{\psi}_f (\kappa_f + i \tilde{\kappa}_f \gamma_5) \psi_f,$$

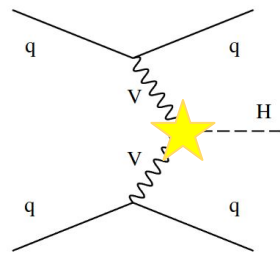
CP-even

CP-odd



$$A(HVV) = \frac{1}{v} \left[a_1^{VV} + \frac{\kappa_1^{VV} q_{V1}^2 + \kappa_2^{VV} q_{V2}^2}{(\Lambda_1^{VV})^2} + \frac{\kappa_3^{VV} (q_{V1} + q_{V2})^2}{(\Lambda_Q^{VV})^2} \right] m_{V1}^2 \epsilon_{V1}^* \epsilon_{V2}^* + \frac{1}{v} a_2^{VV} f_{\mu\nu}^{*(1)} f^{*(2),\mu\nu} + \frac{1}{v} a_3^{VV} \tilde{f}_{\mu\nu}^{*(1)} \tilde{f}^{*(2),\mu\nu},$$

SM
 CP-even
 CP-odd



$$f_{CP}^{Hff} = \frac{|\tilde{\kappa}_f|^2}{|\kappa_f|^2 + |\tilde{\kappa}_f|^2} \text{sign} \left(\frac{\tilde{\kappa}_f}{\kappa_f} \right)$$

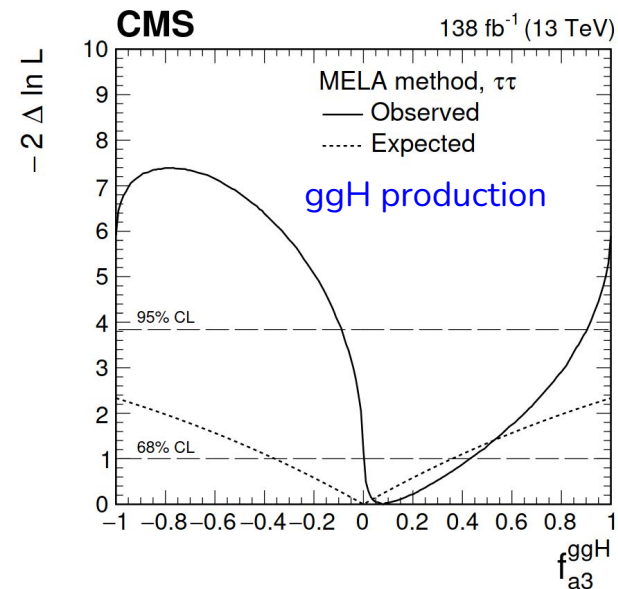
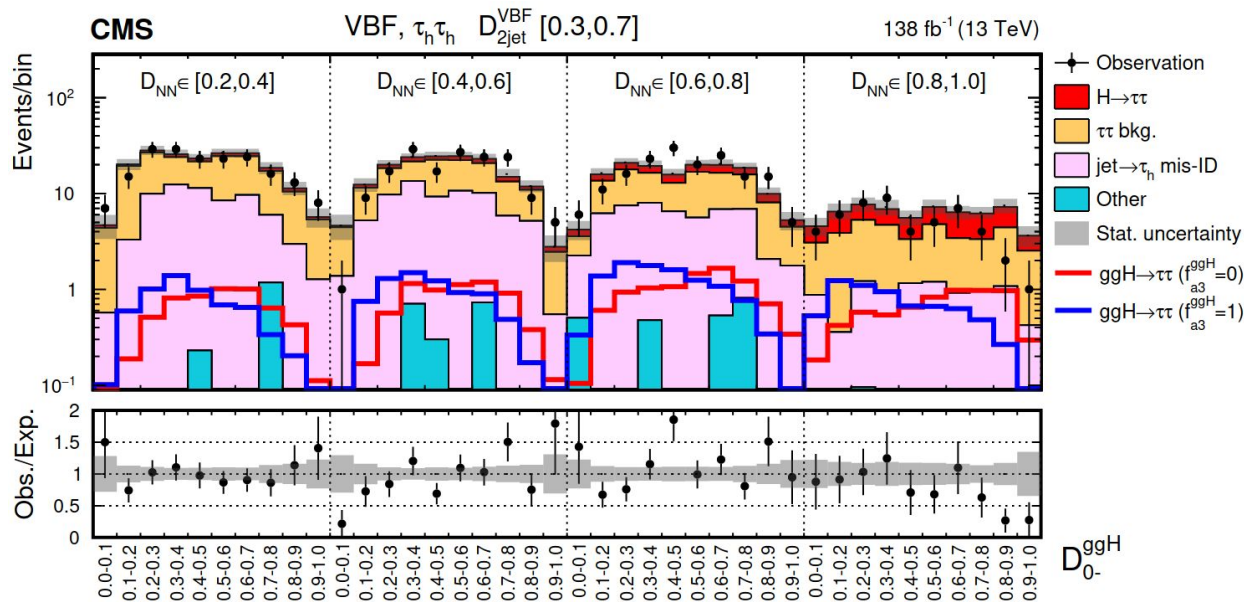
Observables:
 XS fractions

$$f_{ai} = \frac{|a_i|^2 \sigma_i}{\sum_{j=1,2,3,\dots} |a_j|^2 \sigma_j} \text{sign} \left(\frac{a_i}{a_1} \right)$$

- DNN for **signal vs background**, combined with
- **Matrix Element Method (MEM)** to isolate BSM effects
 - MELA tool using JHUGEN generator
 - Encode maximal information in a limited number of theory-driven observables
- **Most stringents CP violations limits** in ggH

$$D_{\text{BSM}} = \frac{\mathcal{P}_{\text{SM}}(\vec{\Omega})}{\mathcal{P}_{\text{SM}}(\vec{\Omega}) + \mathcal{P}_{\text{BSM}}(\vec{\Omega})}$$

Coupling	Discriminant
a_3^{gg}	$\mathcal{D}_{0-}^{\text{ggH}}$
a_3	\mathcal{D}_{0-}
a_2	$\mathcal{D}_{0\text{h}+}$
κ_1	$\mathcal{D}_{\Delta 1}$
$\kappa_2^{Z\gamma}$	$\mathcal{D}_{\Delta 1}^{Z\gamma}$



Latest public result: partial Run2 combination **in the STXS framework**

- Inputs: $H \rightarrow \gamma\gamma, 4l, l\nu l\nu, bb, \tau\tau, \mu\mu$ and $ttH \rightarrow$ multilepton $H \rightarrow \tau\tau, WW, ZZ$

Higgs Effective Lagrangian (**HEL**) model: not trivial to compare with Warsaw basis results

Measured $cG, cA, cu, cd, cl, cHW, cWW, cB$, other WV fixed to 0.

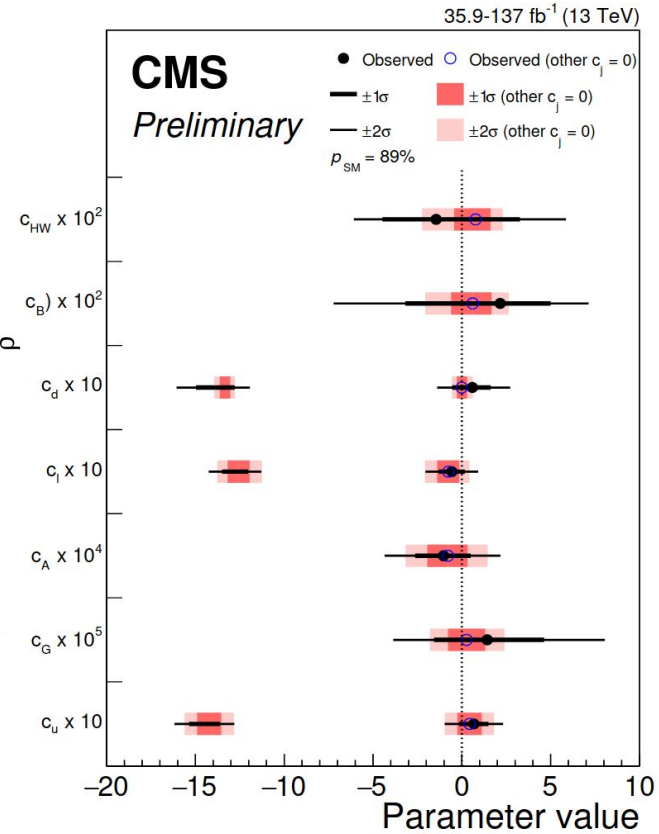
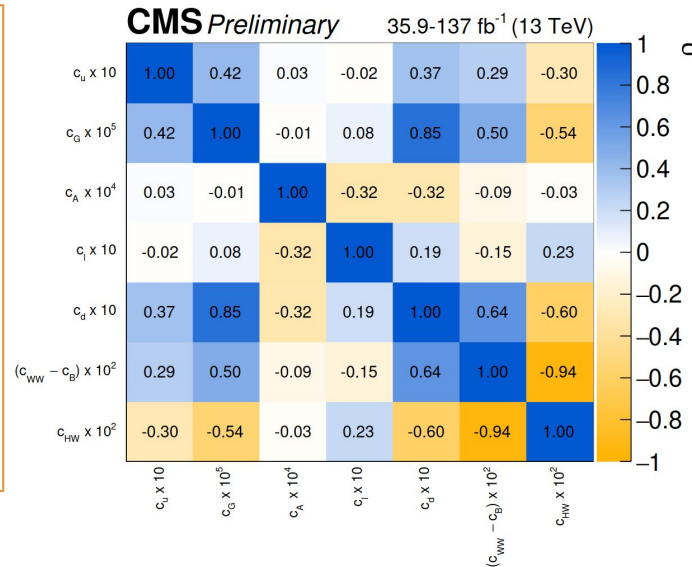
- Results **consistent with SM**

Acceptance effects **not taken** into account

A lot of **improvements** and new developments ongoing and **not yet public**

- All channels included
- Updated parametrization
- Acceptance corrections
- Improved tools for re-interpretations

More news soon!



EFT in EWK Physics

Analysis approaches:

- differential XS interpretation
- dedicated analyses optimized for BSM

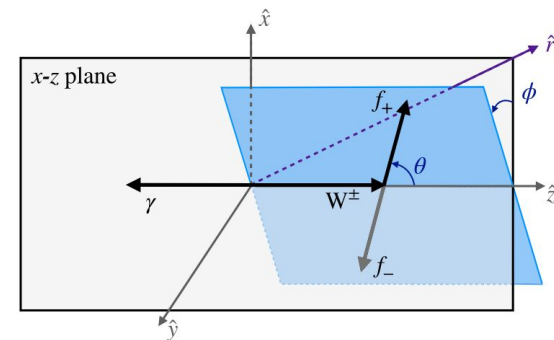
Parametrizations:

- aQGCs, aTGCs
- dim-6 Warsaw basis
- dim-8 Eboli and other bases

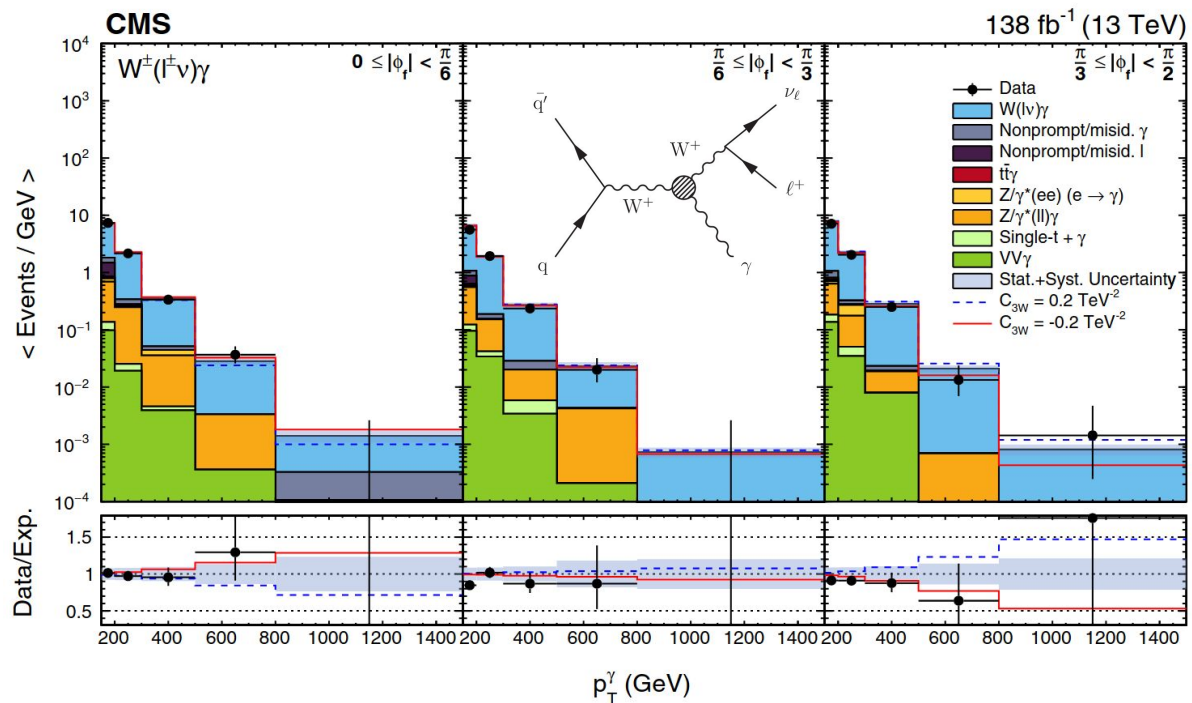
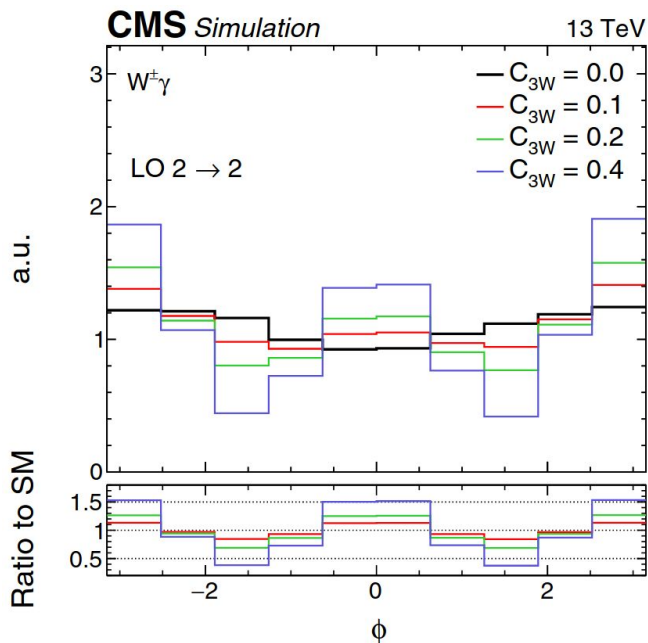
In this talk:

- $W+\gamma$ differentials
- $W\gamma$ + jets VBS

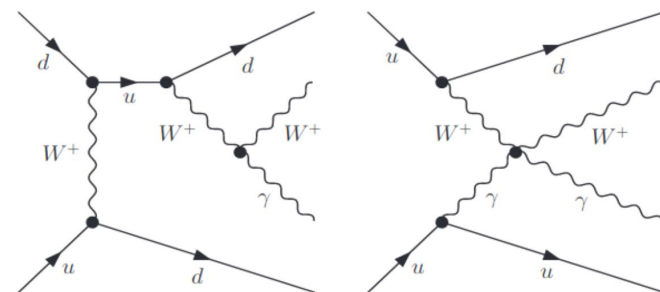
- Differential XS measurement and limits on O_{3W} in dim-6 EFT
- $ff \rightarrow W_T V_T$ have different helicities for SM and BSM:
 - **interference effects cancels** out for inclusive observables like photon p_T
- **“Interference resurrection”**: measure decay angles of the final state fermions in $W\gamma$ CM frame



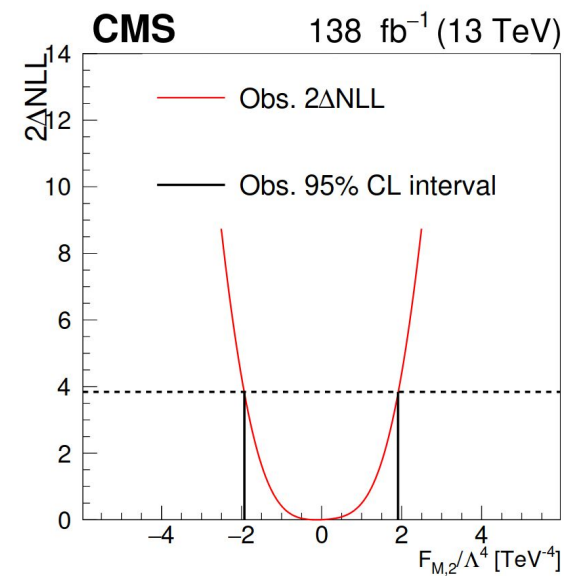
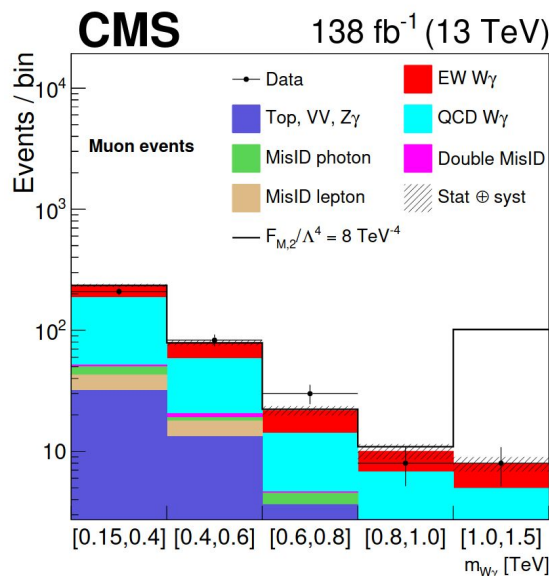
$$O_{3W} = \epsilon^{ijk} W_\mu^{iv} W_\nu^{j\rho} W_\rho^{k\mu}$$



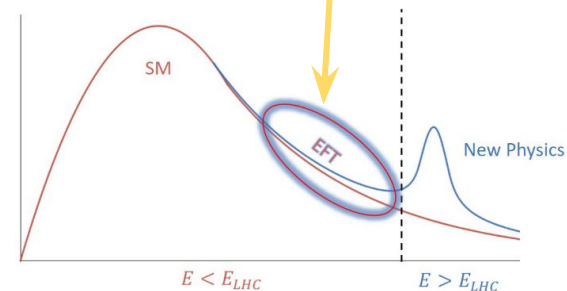
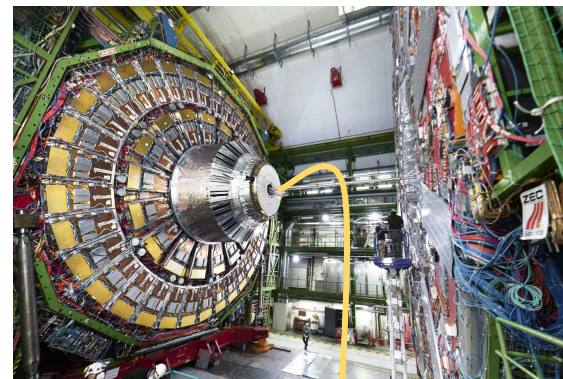
- VBS is ideal to measure **anomalous quartic gauge couplings** (aQGC)
 - **dim-8** EFT operators
- Interference between EW Wγ and QCD irreducible background fully taken into account
- **Most stringent limits to date** on the aQGC parameters $f_{M,2-5}/\Lambda^4$ and $f_{T,6-7}/\Lambda^4$



Expected limit	Observed limit	U_{bound}
$-5.1 < f_{M,0}/\Lambda^4 < 5.1$	$-5.6 < f_{M,0}/\Lambda^4 < 5.5$	1.7
$-7.1 < f_{M,1}/\Lambda^4 < 7.4$	$-7.8 < f_{M,1}/\Lambda^4 < 8.1$	2.1
$-1.8 < f_{M,2}/\Lambda^4 < 1.8$	$-1.9 < f_{M,2}/\Lambda^4 < 1.9$	2.0
$-2.5 < f_{M,3}/\Lambda^4 < 2.5$	$-2.7 < f_{M,3}/\Lambda^4 < 2.7$	2.7
$-3.3 < f_{M,4}/\Lambda^4 < 3.3$	$-3.7 < f_{M,4}/\Lambda^4 < 3.6$	2.3
$-3.4 < f_{M,5}/\Lambda^4 < 3.6$	$-3.9 < f_{M,5}/\Lambda^4 < 3.9$	2.7
$-13 < f_{M,7}/\Lambda^4 < 13$	$-14 < f_{M,7}/\Lambda^4 < 14$	2.2
$-0.43 < f_{T,0}/\Lambda^4 < 0.51$	$-0.47 < f_{T,0}/\Lambda^4 < 0.51$	1.9
$-0.27 < f_{T,1}/\Lambda^4 < 0.31$	$-0.31 < f_{T,1}/\Lambda^4 < 0.34$	2.5
$-0.72 < f_{T,2}/\Lambda^4 < 0.92$	$-0.85 < f_{T,2}/\Lambda^4 < 1.0$	2.3
$-0.29 < f_{T,5}/\Lambda^4 < 0.31$	$-0.31 < f_{T,5}/\Lambda^4 < 0.33$	2.6
$-0.23 < f_{T,6}/\Lambda^4 < 0.25$	$-0.25 < f_{T,6}/\Lambda^4 < 0.27$	2.9
$-0.60 < f_{T,7}/\Lambda^4 < 0.68$	$-0.67 < f_{T,7}/\Lambda^4 < 0.73$	3.1



- EFT analyses are **experimentally challenging**
 - **Global effects** on many processes and observables
 - Both effects in the **tails** and in the **bulk** (angular observables)
- **CMS** is exploring many different paths in EFT:
 - **Direct** and **indirect** measurements
 - Broad **combinations of channels** and **dedicated** measurements
 - **Optimised observables** bases on MEM or machine learning for best sensitivity
- **Combination** (within CMS and with ATLAS) needed to extract best limits and reduce flat directions



Questions?


Backup



Brivio, Jiang, Trott 1709.06492
Brivio 2012.11343 

- ▶ only **LO** → most used for **EW Higgs, diboson...**
- ▶ full Warsaw basis. CP even + odd, includes all m_f and y_f
- ▶ 5 flavor structures \times 2 EW input schemes
- ▶ includes $hgg(g)$, $h\gamma\gamma$, $hZ\gamma$ SM interactions in $m_t \rightarrow \infty$ limit
- ▶ includes *linear* SMEFT corrections in propagators (δm , $\delta\Gamma$) of top, Higgs and EW bosons

SMEFT@NLO

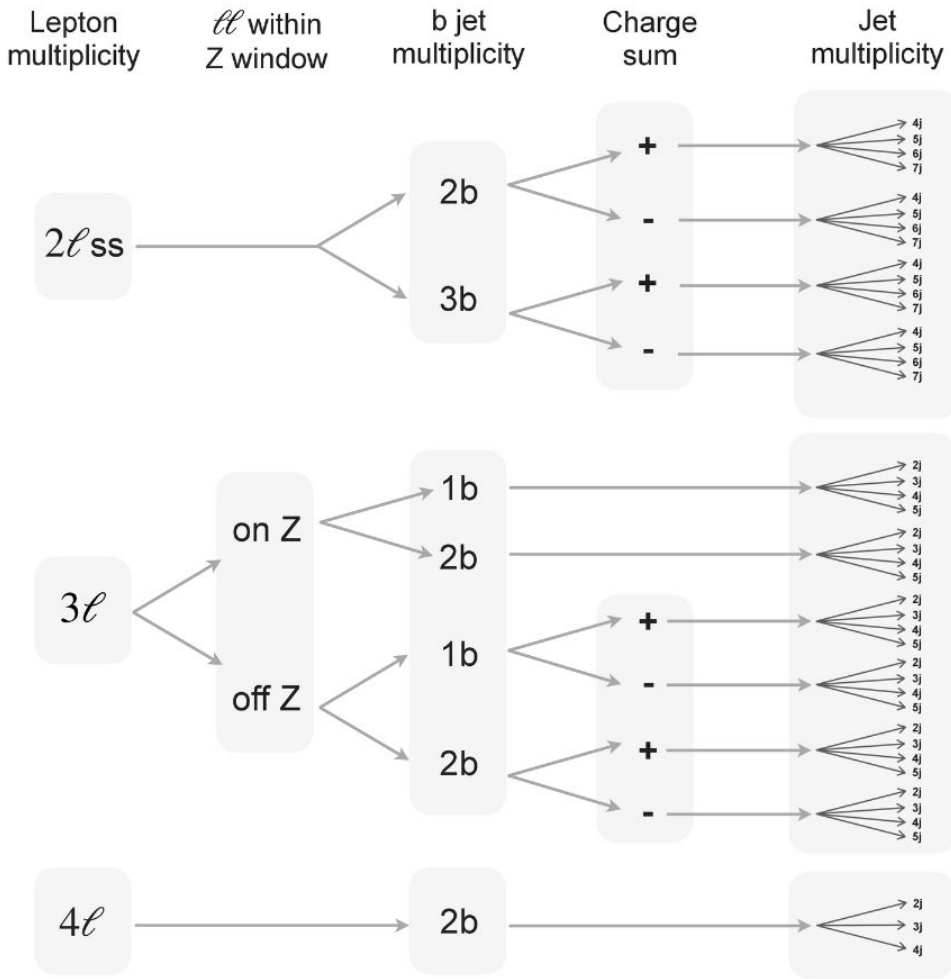
Degrande, Durieux, Maltoni,
Mimasu, Vryonidou 2008.11743


- ▶ allows **NLO QCD** → most used for **top, ggF...**
- ▶ CP even, 5 flavor scheme (only $m_t, y_t \neq 0$)
- ▶ flavor structure: $U(3)_d \times U(2)_u \times U(2)_q \times U(1)_{l+e}^3$
- ▶ EW inputs: $\{G_F, m_Z, m_W\}$

others: **HEL** Alloul, Fuks, Sanz 1310.5150, **BSMC** Fuks, Matawari, **dim6top** Durieux, Zhang 1802.07237...

[Slides](#) from I. Brivio

CMS Analysis	Channel	Measurement	Combined with	Reference
HIG-19-009	On-shell $H \rightarrow ZZ$	HVV, Hgg, Htt	[Htt] $H \rightarrow \gamma\gamma$ [HIG-19-013]	PRD 104 (2021) 052004
HIG-21-013	Off-shell $H \rightarrow ZZ$	HVV	On-shell $H \rightarrow ZZ$	NP (2022) 01682
HIG-20-007	$H \rightarrow \tau\tau$	HVV, Hgg, Htt	On-shell $H \rightarrow ZZ$ and $H \rightarrow \gamma\gamma$	arXiv: 2205.05120 (Accepted by PRD)
HIG-21-006	ttH and tH multilepton	Htt	On-shell $H \rightarrow ZZ$ and $H \rightarrow \gamma\gamma$	arXiv: 2208.02686 (Accepted by JHEP)
HIG-20-006	$H \rightarrow \tau\tau$	H $\tau\tau$	-	JHEP 06 (2022) 012

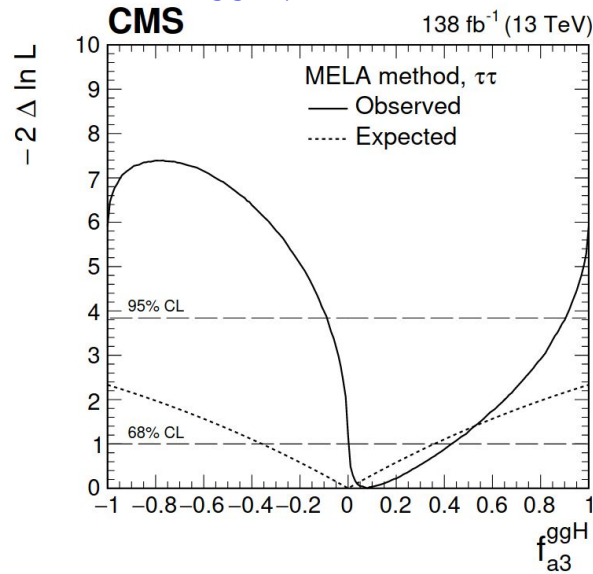


$$A(\text{HV}_1\text{V}_2) = \frac{1}{v} \left[a_1^{\text{VV}} + \frac{\kappa_1^{\text{VV}} q_{\text{V}1}^2 + \kappa_2^{\text{VV}} q_{\text{V}2}^2}{(\Lambda_1^{\text{VV}})^2} + \frac{\kappa_3^{\text{VV}} (q_{\text{V}1} + q_{\text{V}2})^2}{(\Lambda_Q^{\text{VV}})^2} \right] m_{\text{V}1}^2 \epsilon_{\text{V}1}^* \epsilon_{\text{V}2}^* \\ + \frac{1}{v} a_2^{\text{VV}} f_{\mu\nu}^{*(1)} f^{*(2),\mu\nu} + \frac{1}{v} a_3^{\text{VV}} f_{\mu\nu}^{*(1)} \tilde{f}^{*(2),\mu\nu},$$

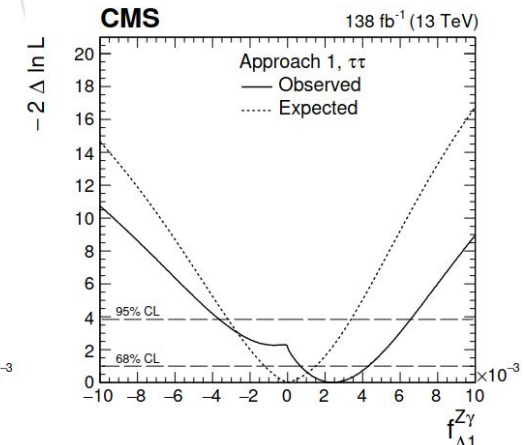
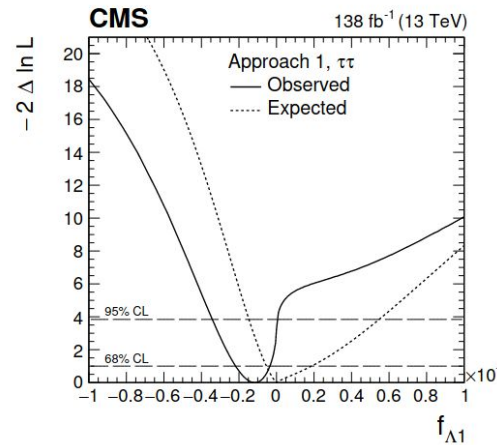
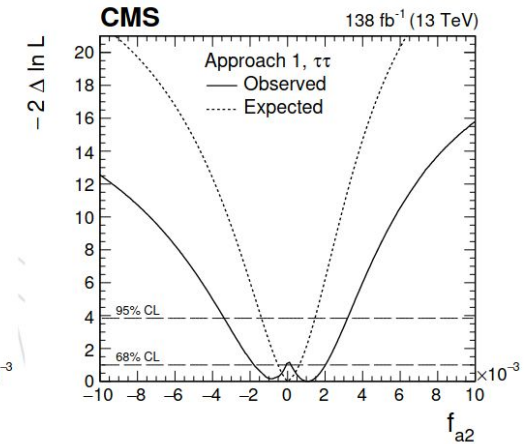
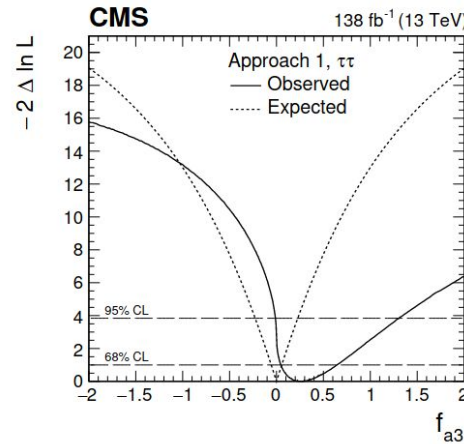


$$\mathcal{L}_{\text{hvv}} = \frac{h}{v} \left[(1 + \delta c_w) \frac{g^2 v^2}{2} W_\mu^+ W_\mu^- + (1 + \delta c_z) \frac{(g^2 + g'^2) v^2}{4} Z_\mu Z_\mu \right. \\ + c_{ww} \frac{g^2}{2} W_{\mu\nu}^+ W_{\mu\nu}^- + \tilde{c}_{ww} \frac{g^2}{2} W_{\mu\nu}^+ \tilde{W}_{\mu\nu}^- + c_{w\Box} g^2 (W_\mu^- \partial_\nu W_{\mu\nu}^+ + \text{h.c.}) \\ + c_{gg} \frac{g_s^2}{4} G_{\mu\nu}^a G_{\mu\nu}^a + c_{\gamma\gamma} \frac{e^2}{4} A_{\mu\nu} A_{\mu\nu} + c_{z\gamma} \frac{e\sqrt{g^2 + g'^2}}{2} Z_{\mu\nu} A_{\mu\nu} + c_{zz} \frac{g^2 + g'^2}{4} Z_{\mu\nu} Z_{\mu\nu} \\ + c_{z\Box} g^2 Z_\mu \partial_\nu Z_{\mu\nu} + c_{\gamma\Box} g g' Z_\mu \partial_\nu A_{\mu\nu} \\ \left. + \tilde{c}_{gg} \frac{g_s^2}{4} G_{\mu\nu}^a \tilde{G}_{\mu\nu}^a + \tilde{c}_{\gamma\gamma} \frac{e^2}{4} A_{\mu\nu} \tilde{A}_{\mu\nu} + \tilde{c}_{z\gamma} \frac{e\sqrt{g^2 + g'^2}}{2} Z_{\mu\nu} \tilde{A}_{\mu\nu} + \tilde{c}_{zz} \frac{g^2 + g'^2}{4} Z_{\mu\nu} \tilde{Z}_{\mu\nu} \right],$$

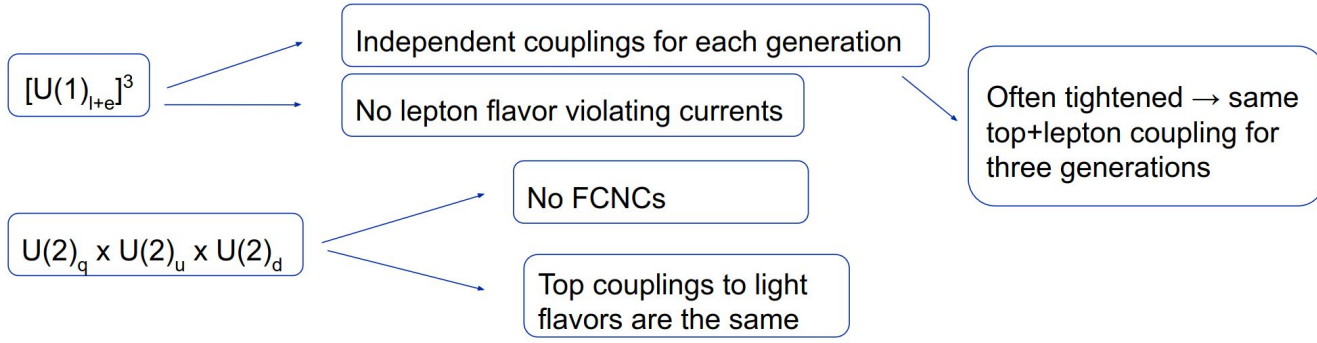
ggH production



VBF production



- Most stringent CP violation limits in ggH: excludes a pure CP-odd scenario in ggH with a significance of 2.4 s.t.d
- Limits can be rotated to Warsaw bases with tools like *Rosetta*, *JHUGENLexicon*
- Also combined with $H \rightarrow 4l$ and $t\bar{t}H$, $H \rightarrow \gamma\gamma$ /multilepton, analyses



- 42 (+11 CP violating) independent operators
- Up to 75 if considering independent couplings to lepton generations

Wilson Coefficients:

arXiv:1508.05271
arXiv:1010.6304

$$\hat{\mu}_t^8 \propto c_{tG}$$

$$\hat{d}_t^8 \propto c_{tG}^I$$

$$\hat{c}_{VV} \propto (c_{tq}^8 + c_{Qq}^{(8,1)})/2 + (c_{tu}^8 + c_{td}^8 + c_{Qu}^8 + c_{Qd}^8)/4$$

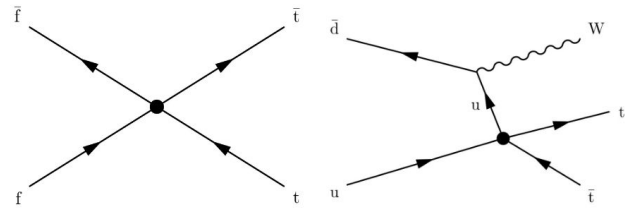
$$\hat{c}_{AA} \propto -(c_{tq}^8 - c_{Qq}^{(8,1)})/2 + (c_{tu}^8 + c_{td}^8 - c_{Qu}^8 - c_{Qd}^8)/4$$

$$\hat{c}_{AV} \propto (c_{tq}^8 - c_{Qq}^{(8,1)})/2 + (c_{tu}^8 + c_{td}^8 - c_{Qu}^8 - c_{Qd}^8)/4$$

$$\hat{c}_{VA} \propto -(c_{tq}^8 + c_{Qq}^{(8,1)})/2 + (c_{tu}^8 + c_{td}^8 + c_{Qu}^8 + c_{Qd}^8)/4$$

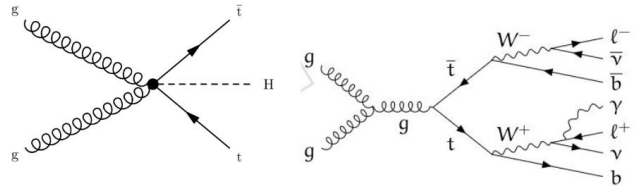
$$\hat{c}_1 \propto (c_{tu}^8 - c_{td}^8)/2 + (c_{Qu}^8 - c_{Qd}^8)/2 + c_{Qq}^{(8,3)}$$

$$\hat{c}_2 \propto (c_{tu}^8 - c_{td}^8)/2 - (c_{Qu}^8 - c_{Qd}^8)/2 + c_{Qq}^{(8,3)}$$

$$\hat{c}_3 \propto (c_{tu}^8 - c_{td}^8)/2 - (c_{Qu}^8 - c_{Qd}^8)/2 - c_{Qq}^{(8,3)}$$


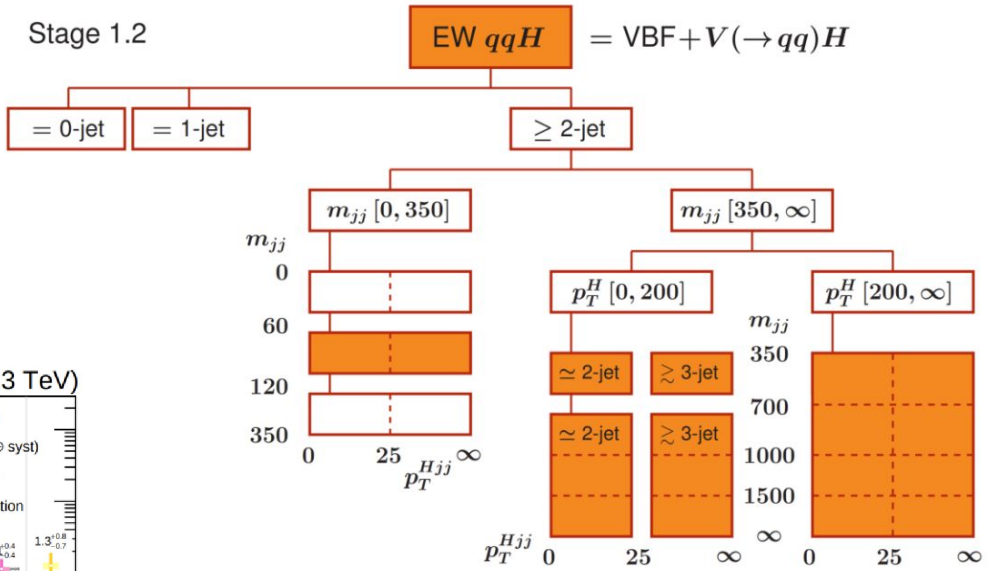
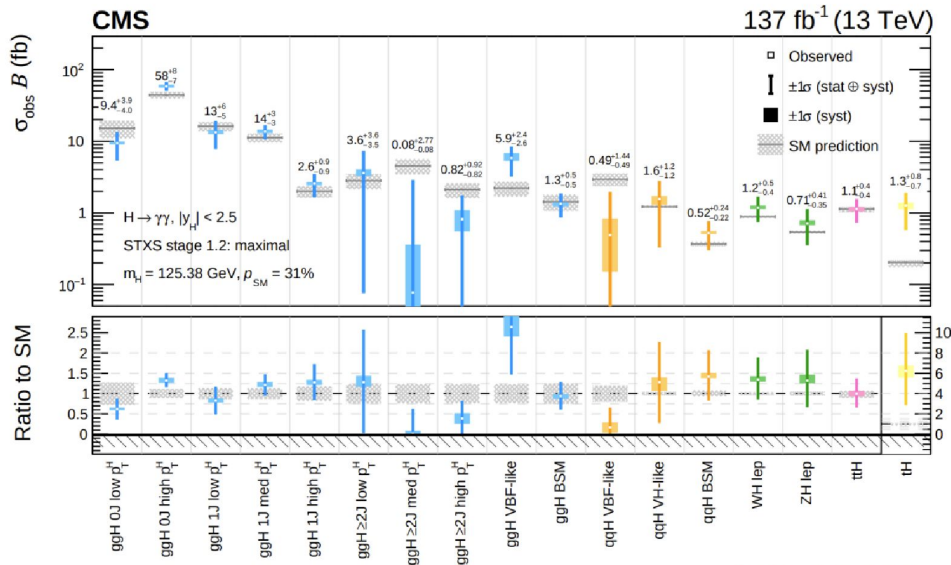
4-fermion operators
 4-heavy-quark operators → 11 (+2 CPV)
 Two-heavy-two-light operators → 14 operators
 Two-heavy-two-lepton operators → (8 + (3 CPV)) x 3

Heavy quark + boson operators → 9 (+ 6 CPV)



Template cross sections with binning motivated by

- Sensitivity to NP
- Avoidance of large theory uncertainties
- Close matching to experimental selections
- Common production mode binning across decay channels



[HIG-19-015](#)