



Simultaneous determination of SMEFT parameters and PDFs

Luca Mantani



European Research Council

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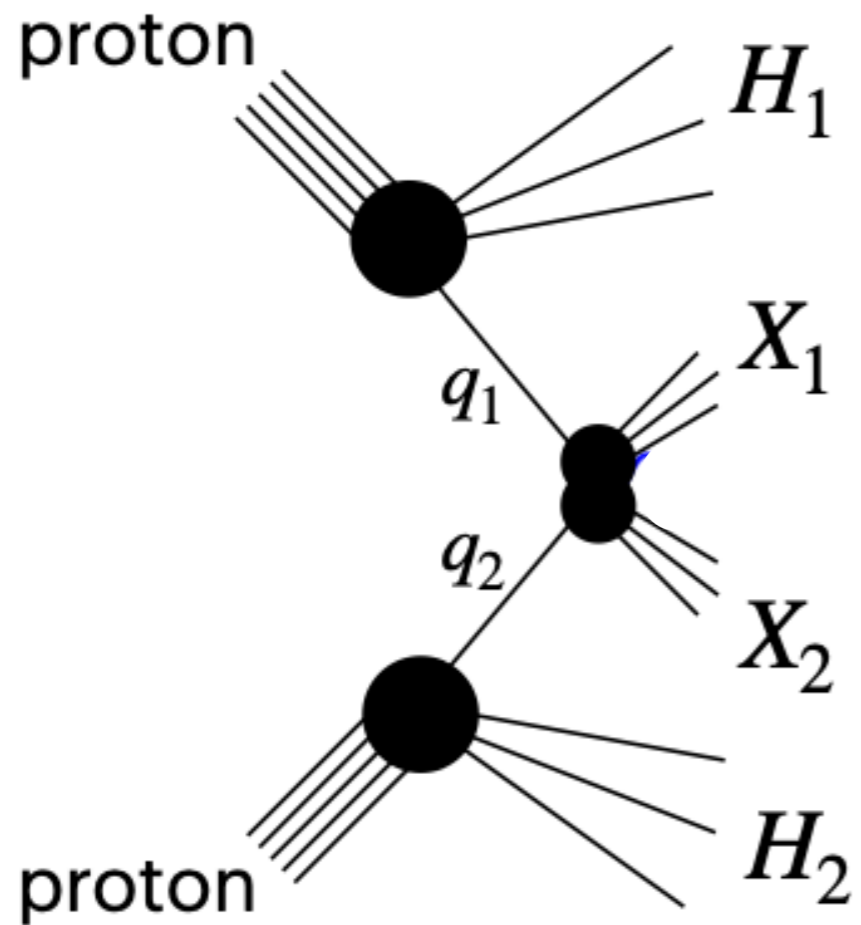


**UNIVERSITY OF
CAMBRIDGE**

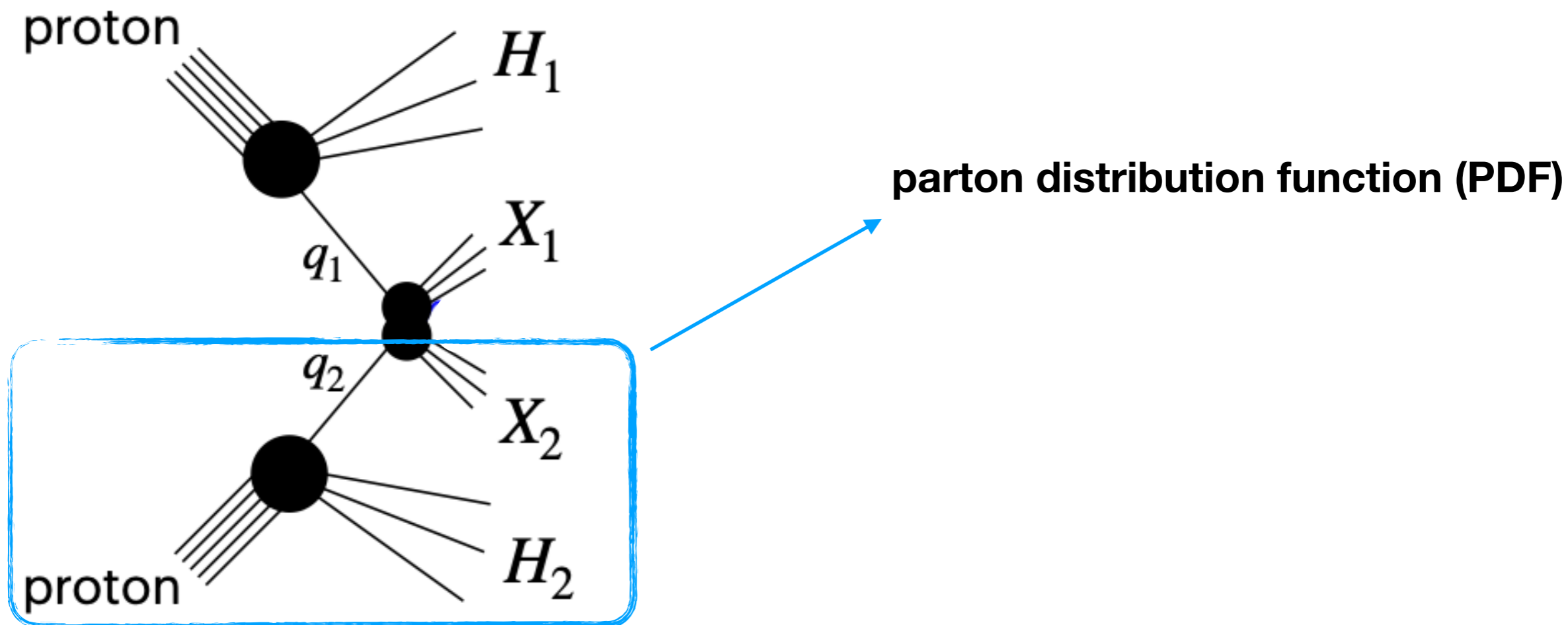


At the LHC we smash **protons**

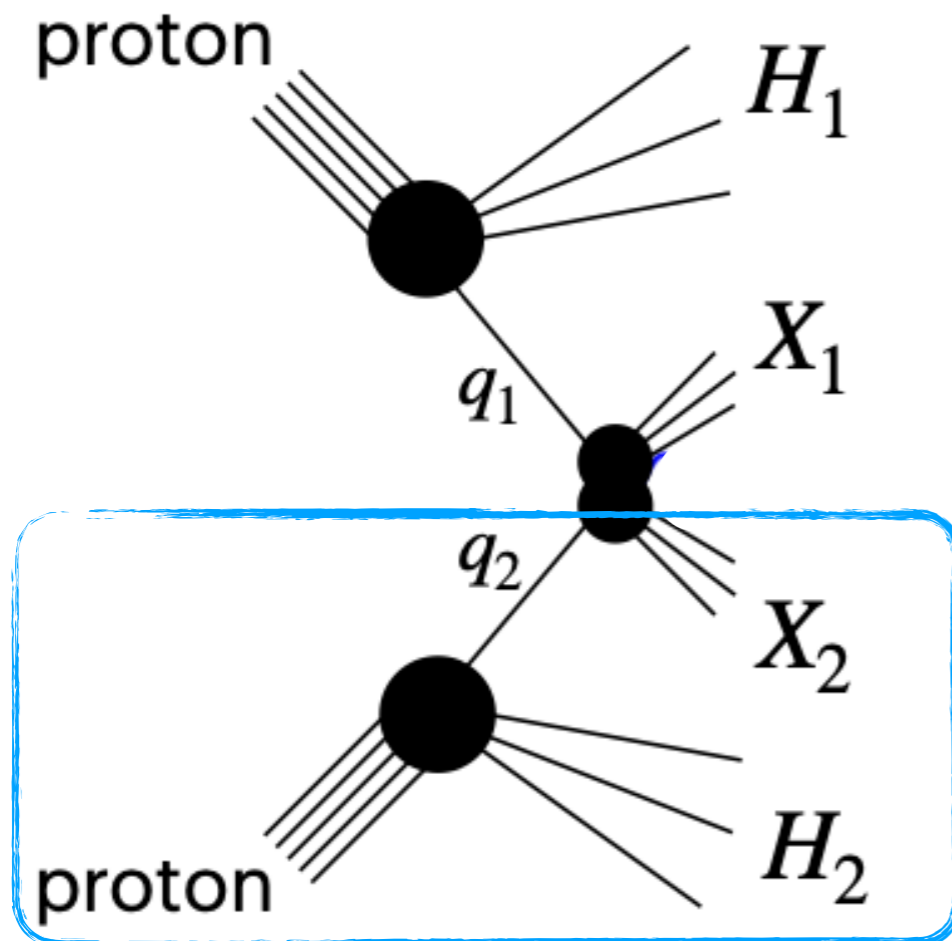
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At the LHC we smash **protons**



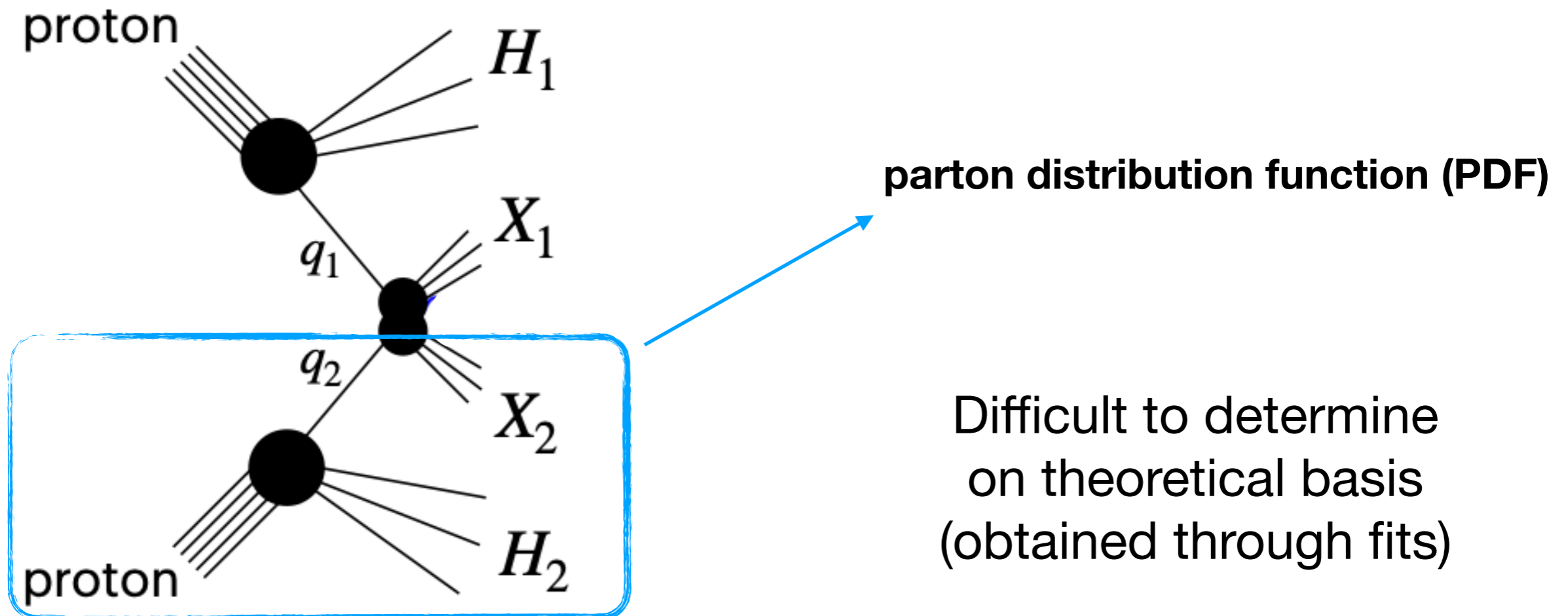
At the LHC we smash **protons**



parton distribution function (PDF)

Difficult to determine
on theoretical basis
(obtained through fits)

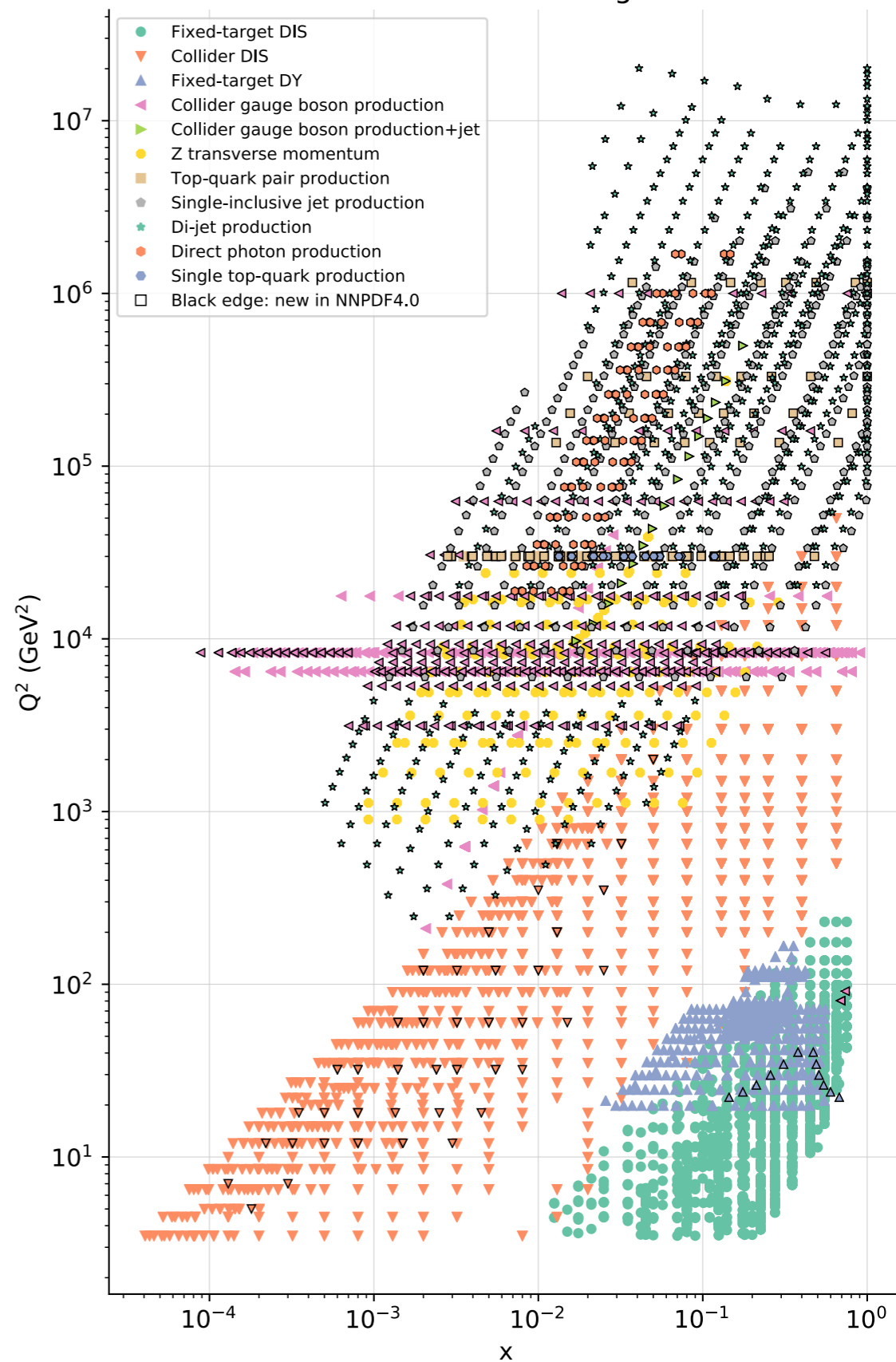
At the LHC we smash **protons**



$$\sigma = \int_0^1 dx_1 \int_0^1 dx_2 \sum_{q_1, q_2} f_{q_1}(x_1) f_{q_2}(x_2) \hat{\sigma}(x_1, x_2)$$

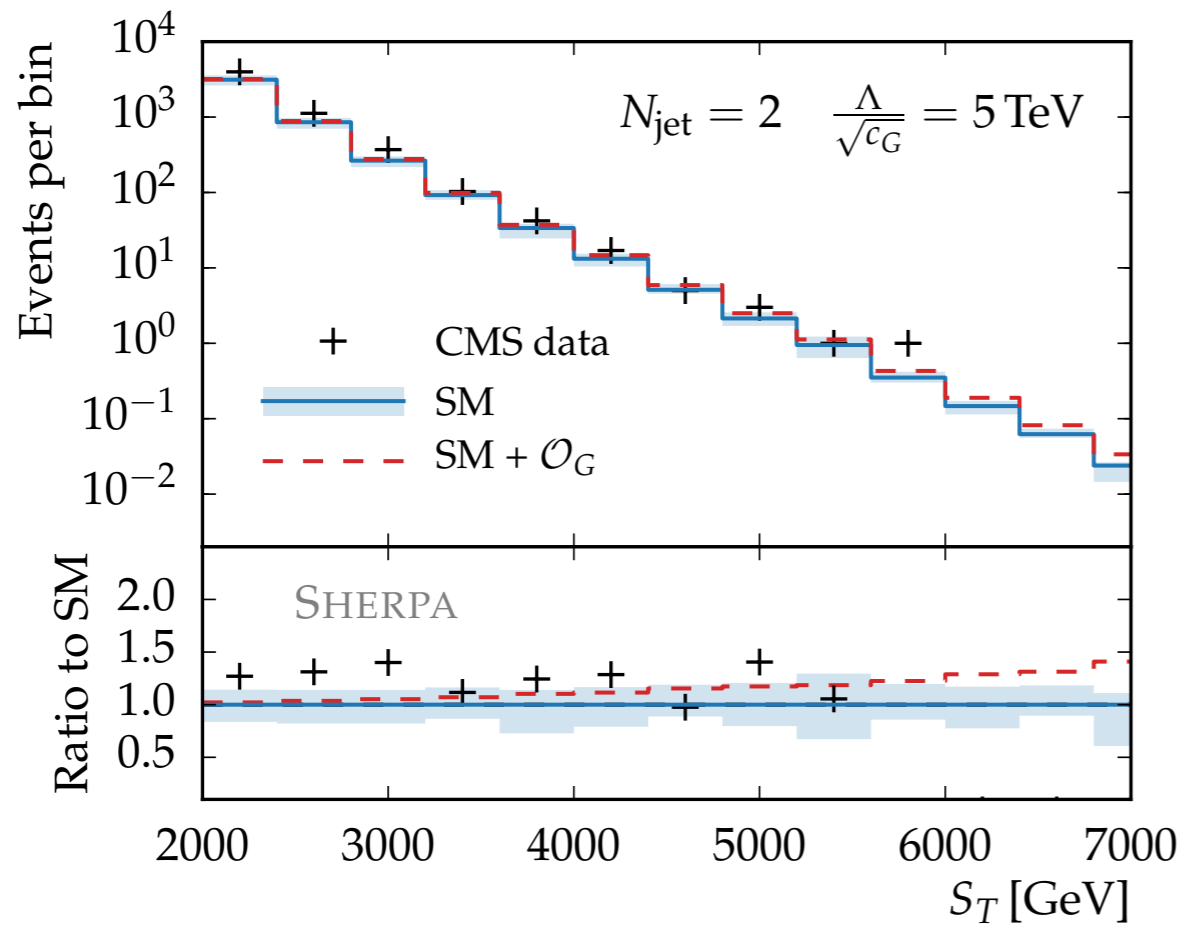
Often data used in SMEFT interpretations and PDF extraction coincide

NNPDF4.0 [2109.02653]
Kinematic coverage

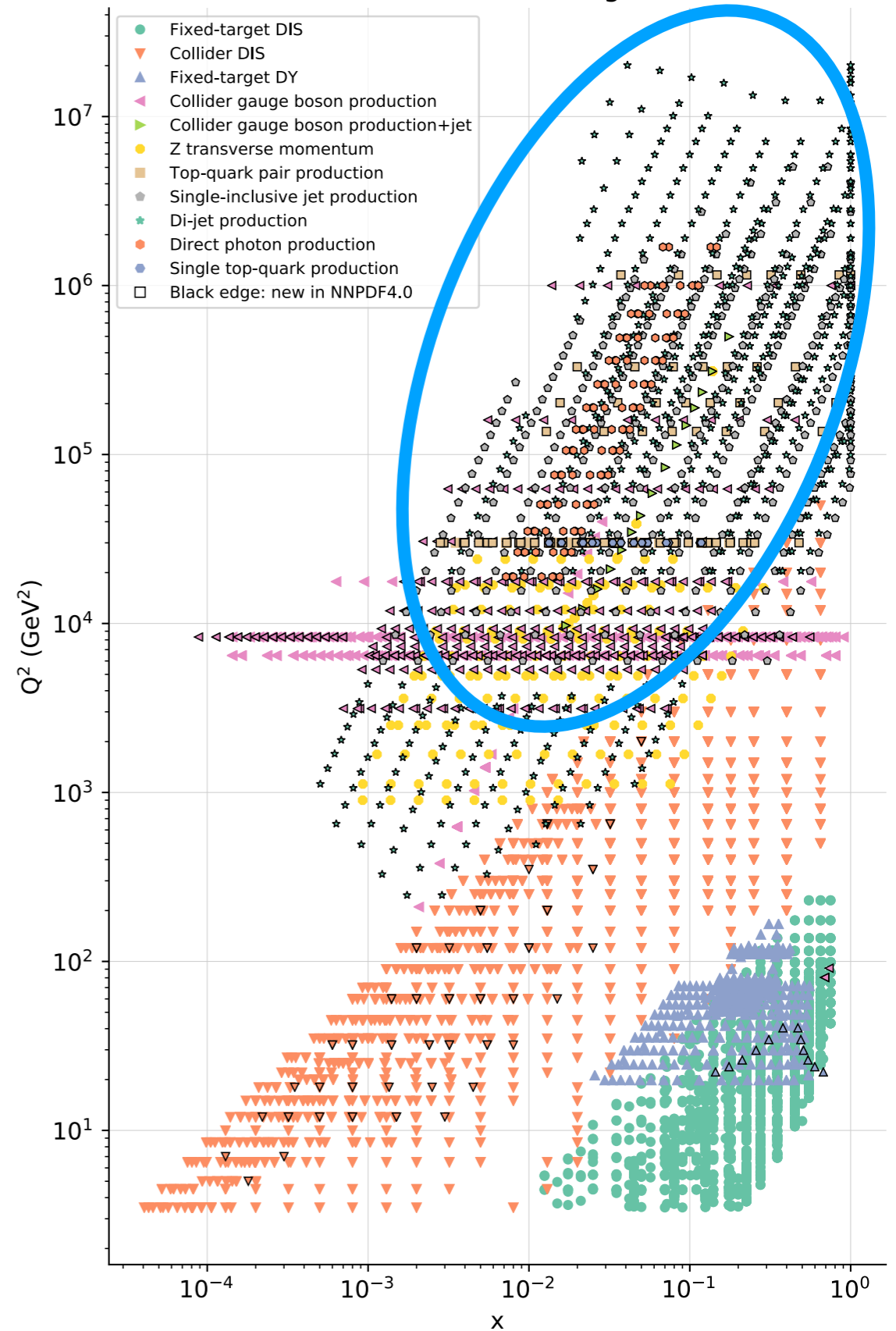


Often data used in SMEFT interpretations and PDF extraction coincide

e.g. Dijet data used to fit the SMEFT operator in *F. Krauss et. al, 1611.00767*



NNPDF4.0 [2109.02653]
Kinematic coverage



Typically fits of physics parameters and PDFs **do not talk**

$$\sigma(C, \theta) = f_1(C, \theta) \otimes f_2(C, \theta) \otimes \hat{\sigma}(C)$$

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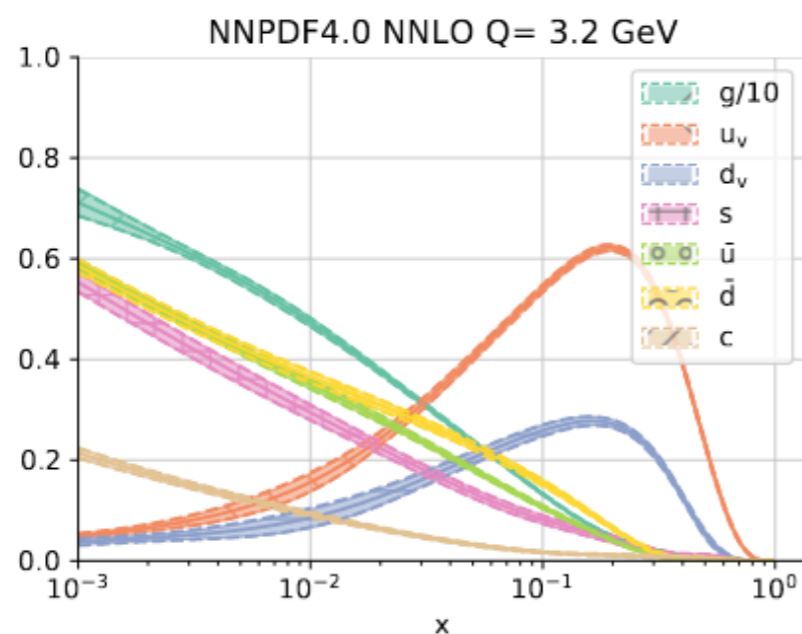
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PDFs extraction

- Fix physics parameters \bar{C}

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We extract the PDFs from data,
we have implicit dependence $\theta^* = \theta^*(\bar{C})$



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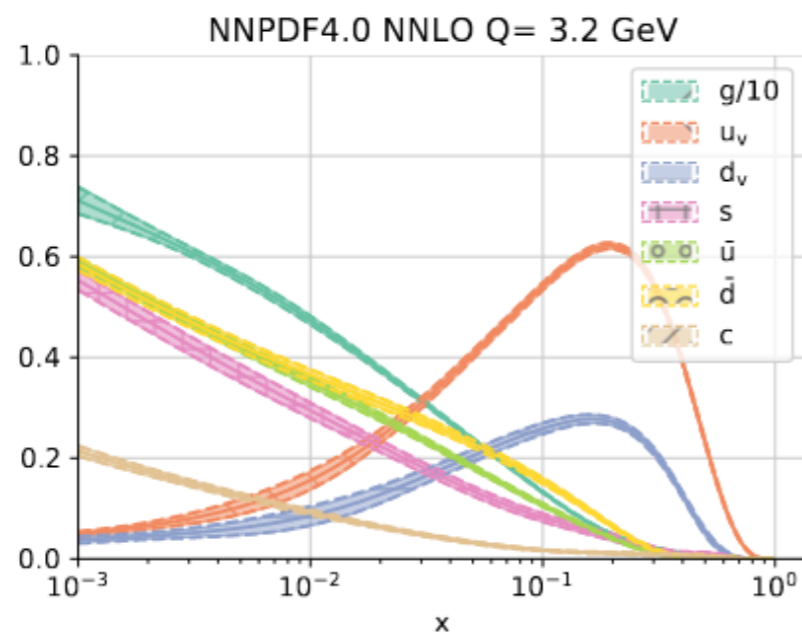
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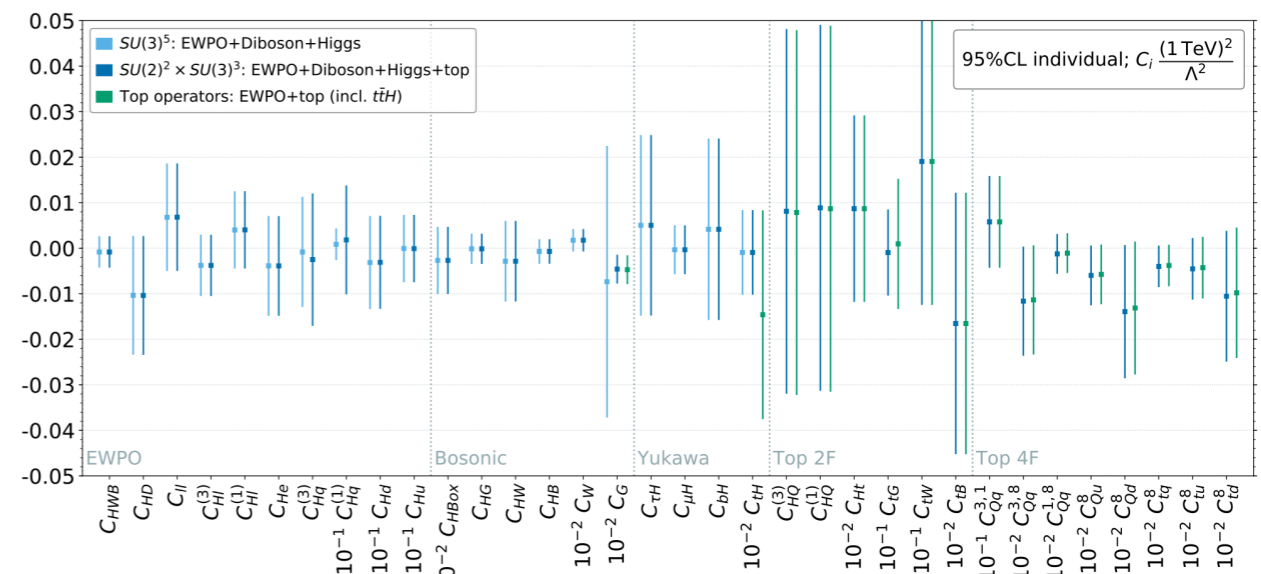


Physics parameters

- Fix PDFs parameters $\bar{C}, \bar{\theta}$

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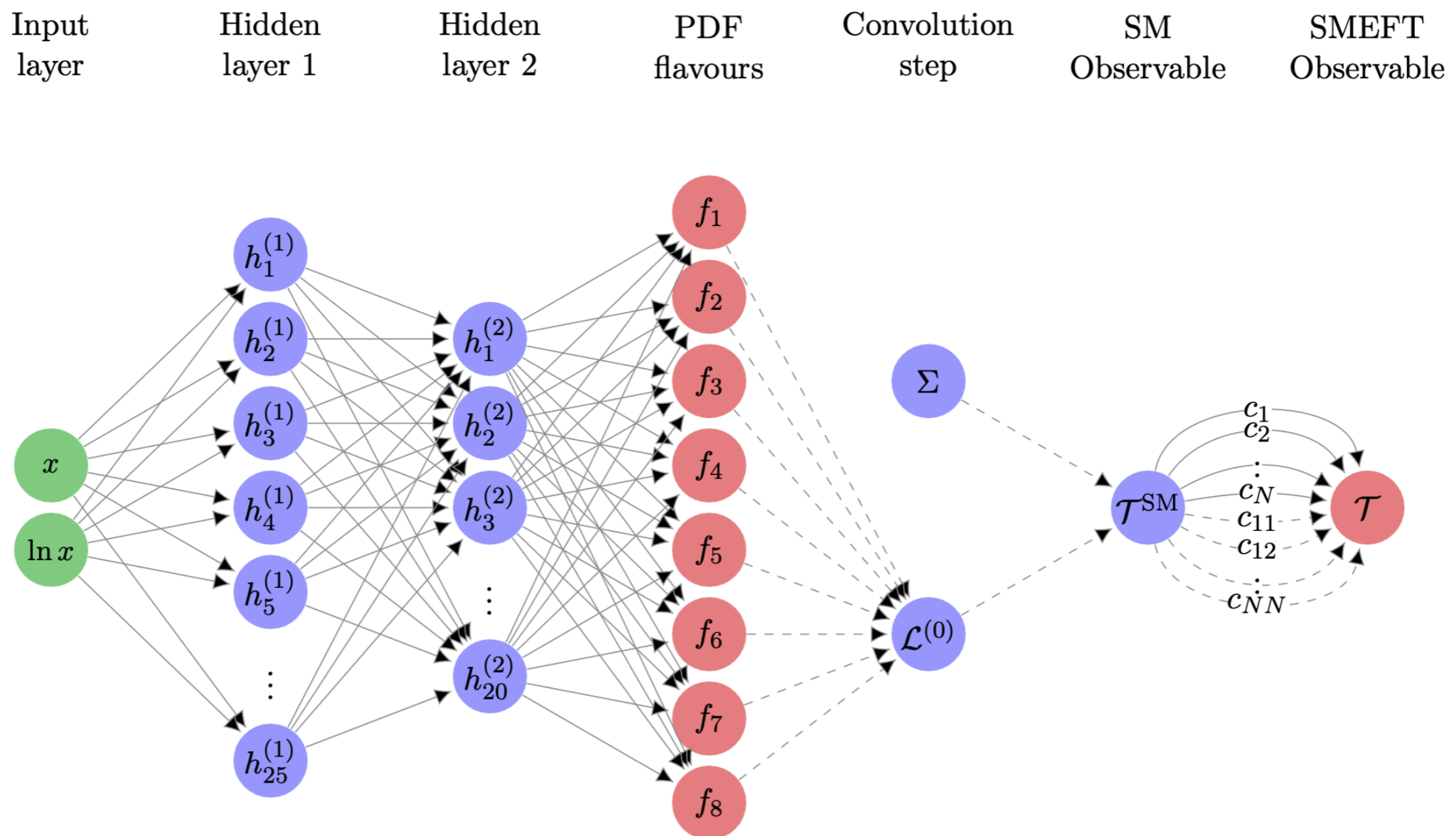
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SIMUnet

S. Iranipour, M. Ubiali, [2201.07240]

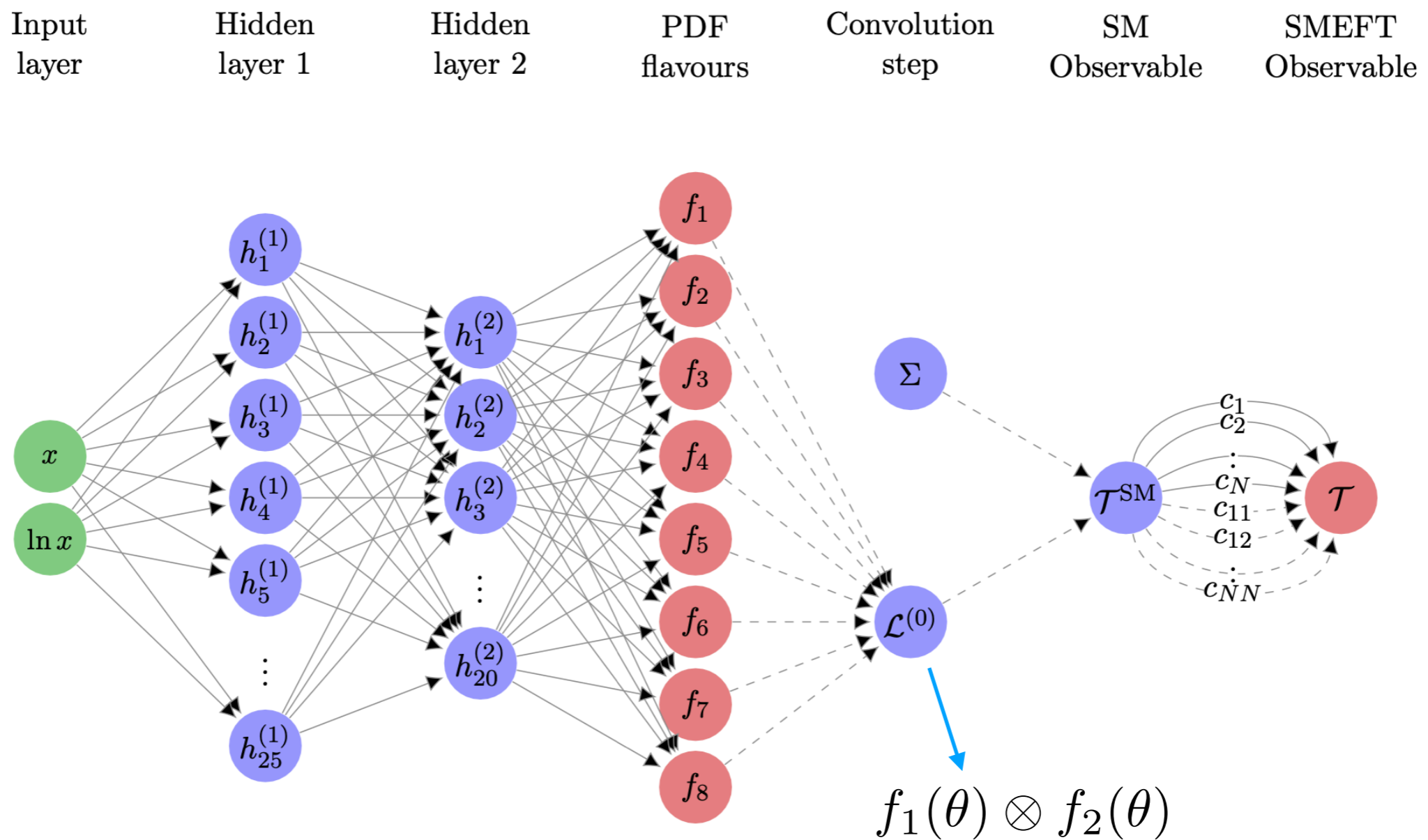
“A new methodology that is able to yield a simultaneous determination of the PDFs alongside **any set of parameters that determine the theory predictions**”



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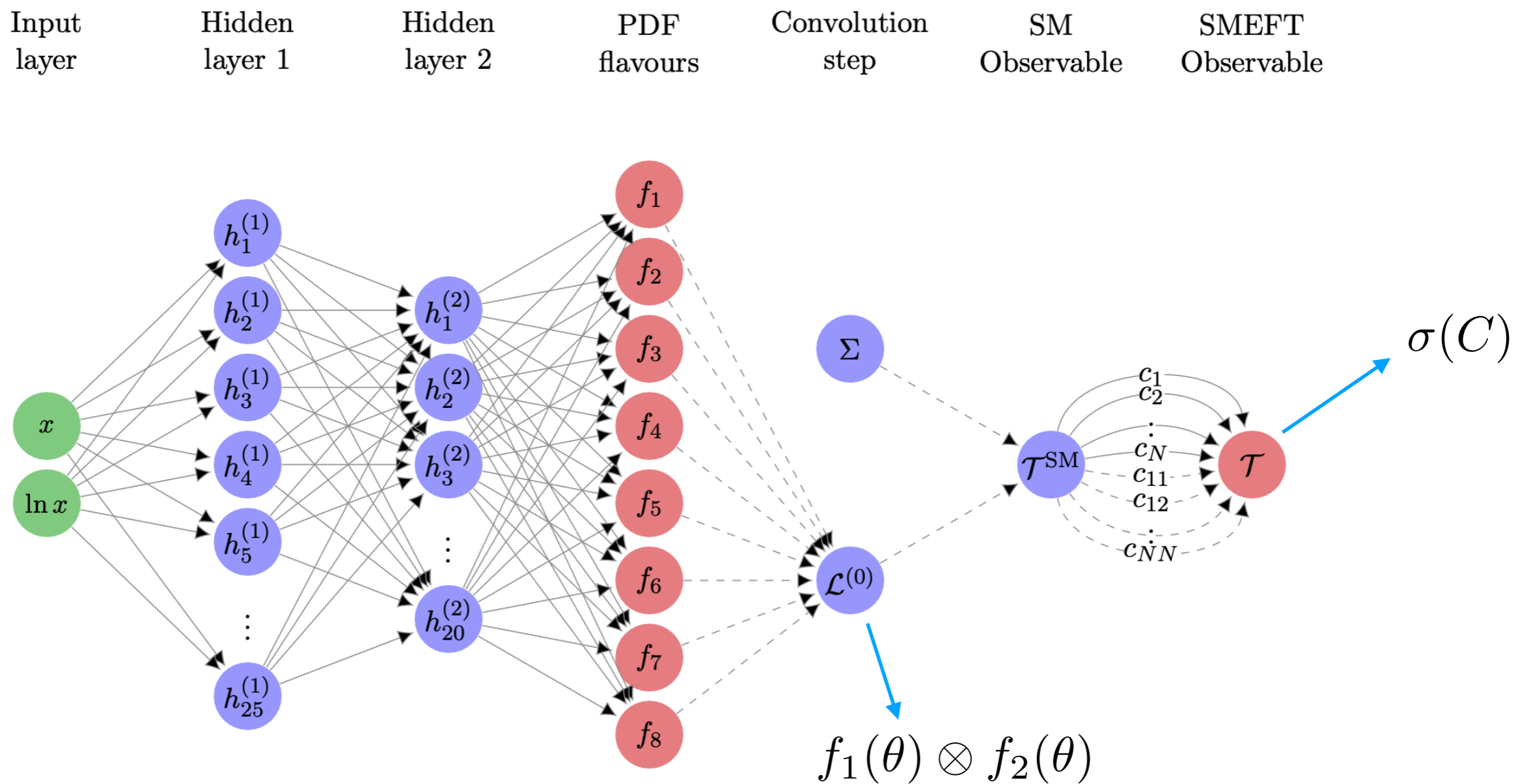
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SMEFT-PDF interplay in top

arXiv:2303.06159

The **top sector** has been used in multiple EFT analyses, including **SMEFiT** (2105.00006) and **FitMaker** (2012.02779).

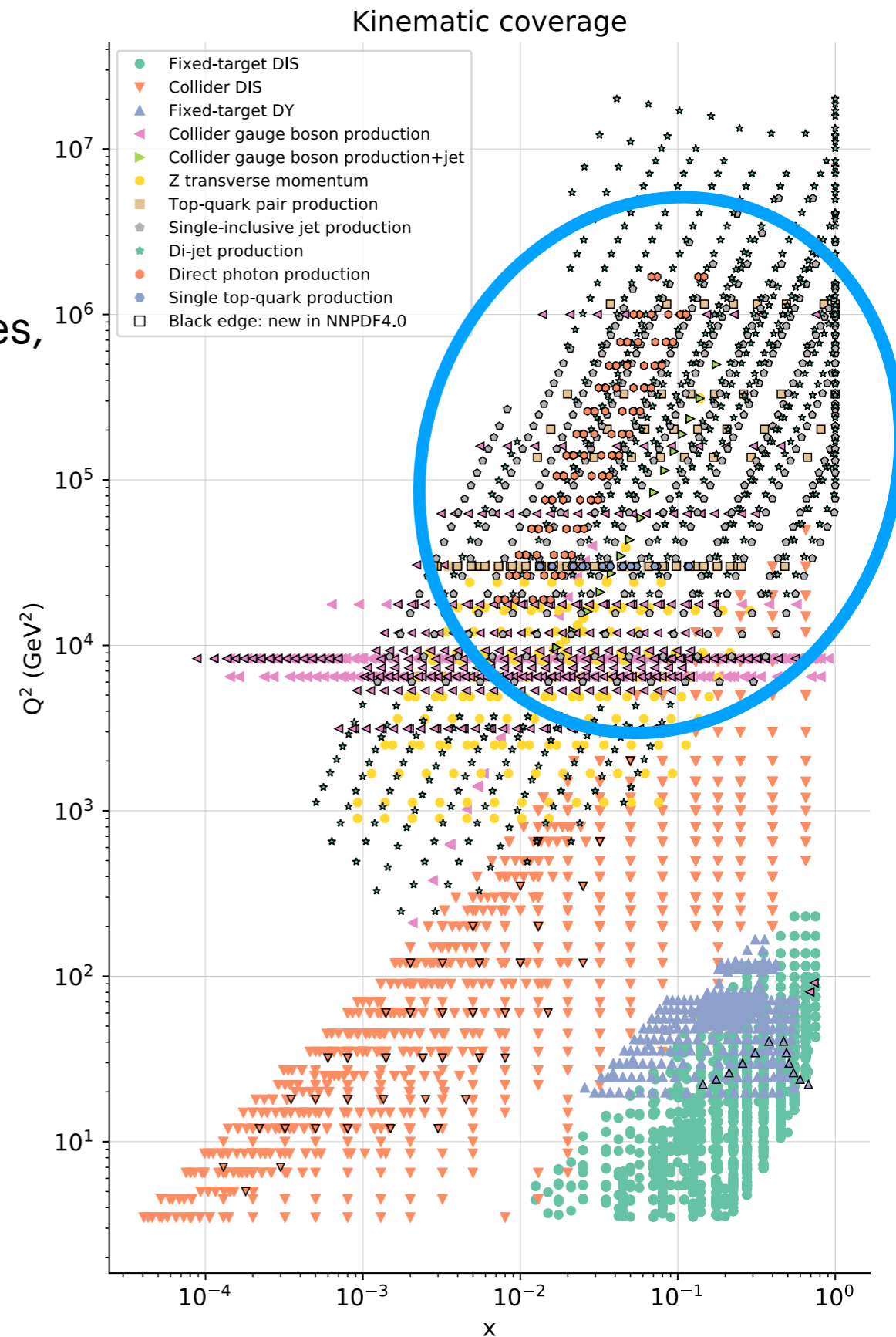
PDFs: the top sector is relevant for **high- x** $\bar{q}q$ lumi + **gluon** lumi

EFT: ~ 20 operators affect top processes

Dataset **superset** of SMEFiT & FitMaker

$t\bar{t}$ (incl. A_C), $t\bar{t} + X$,

single t , tZ, tW, \dots



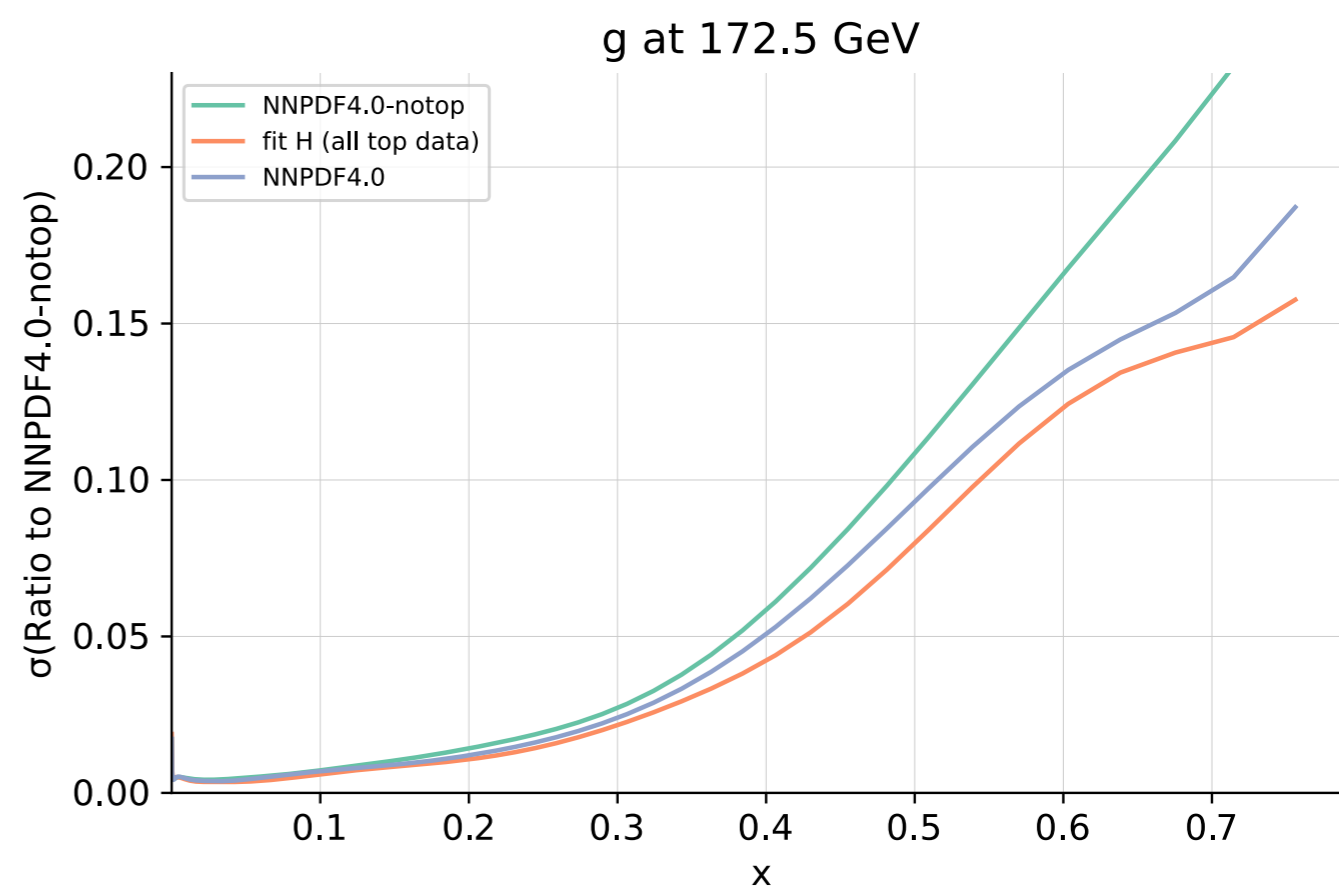
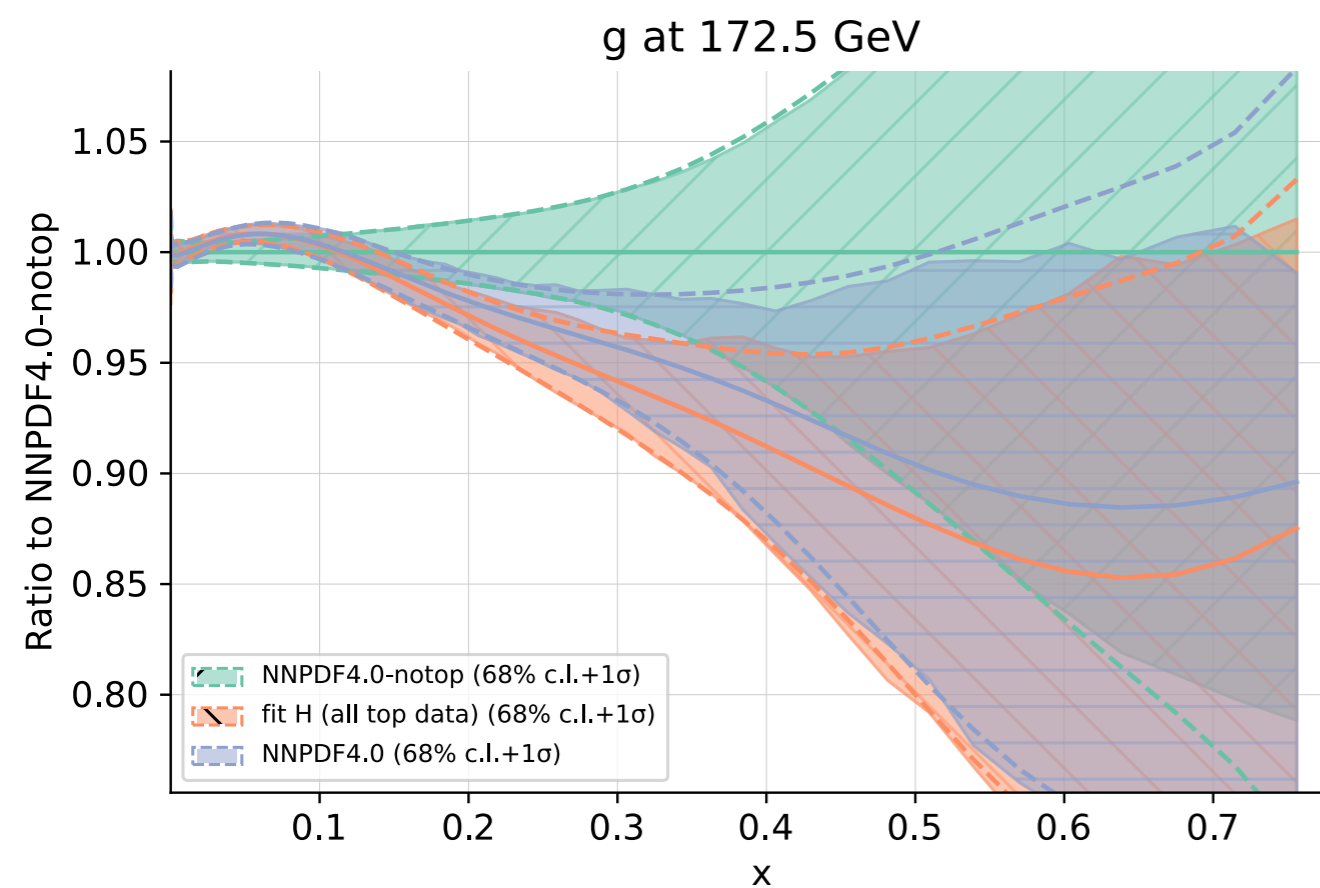
Top data is important especially for the **gluon PDF**

SM PDF fit, all top data

SM PDF fit, no top data

Additional data include: DIS, DY, jets, V + jets

NNPDF 4.0



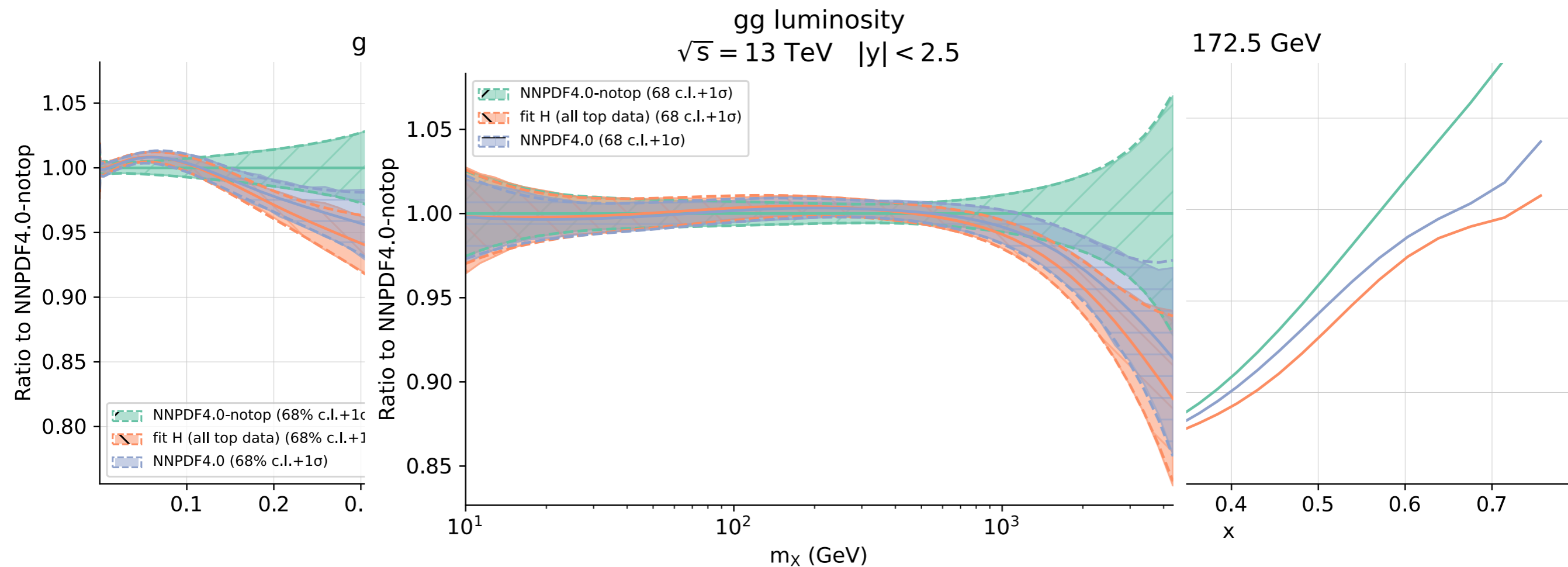
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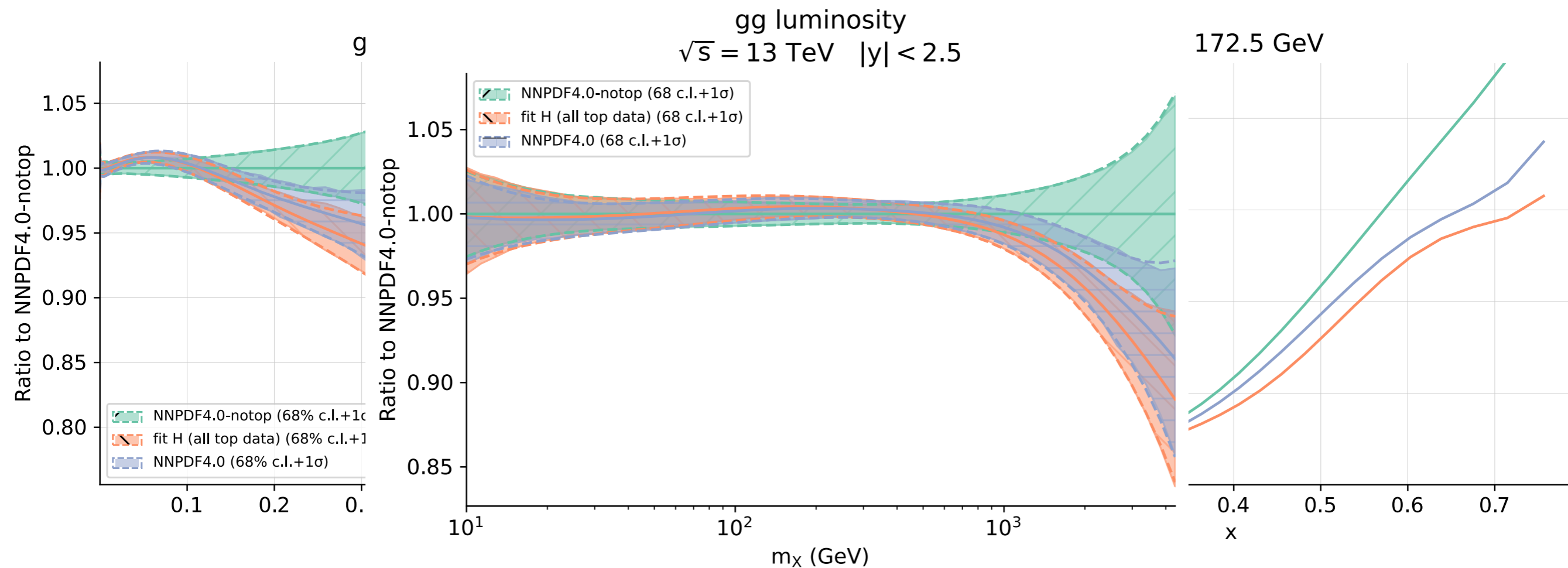
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Impact mostly from ttbar data

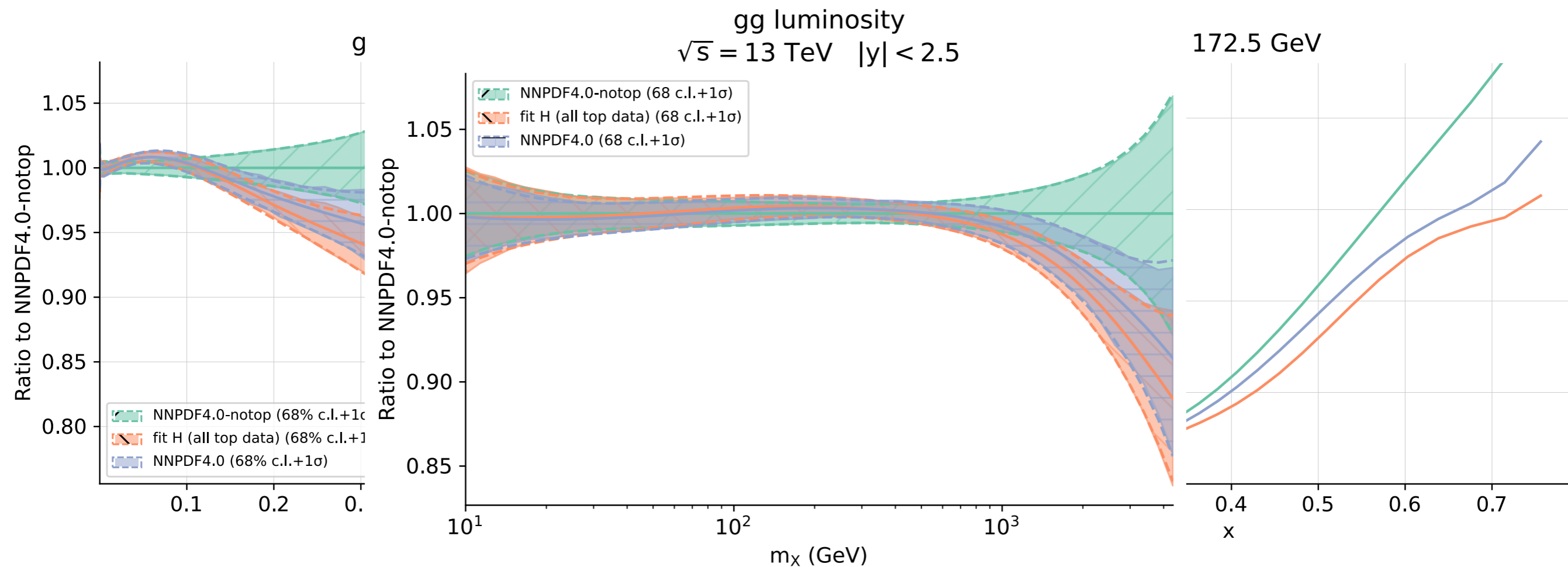
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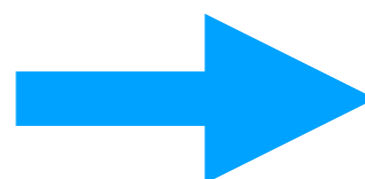
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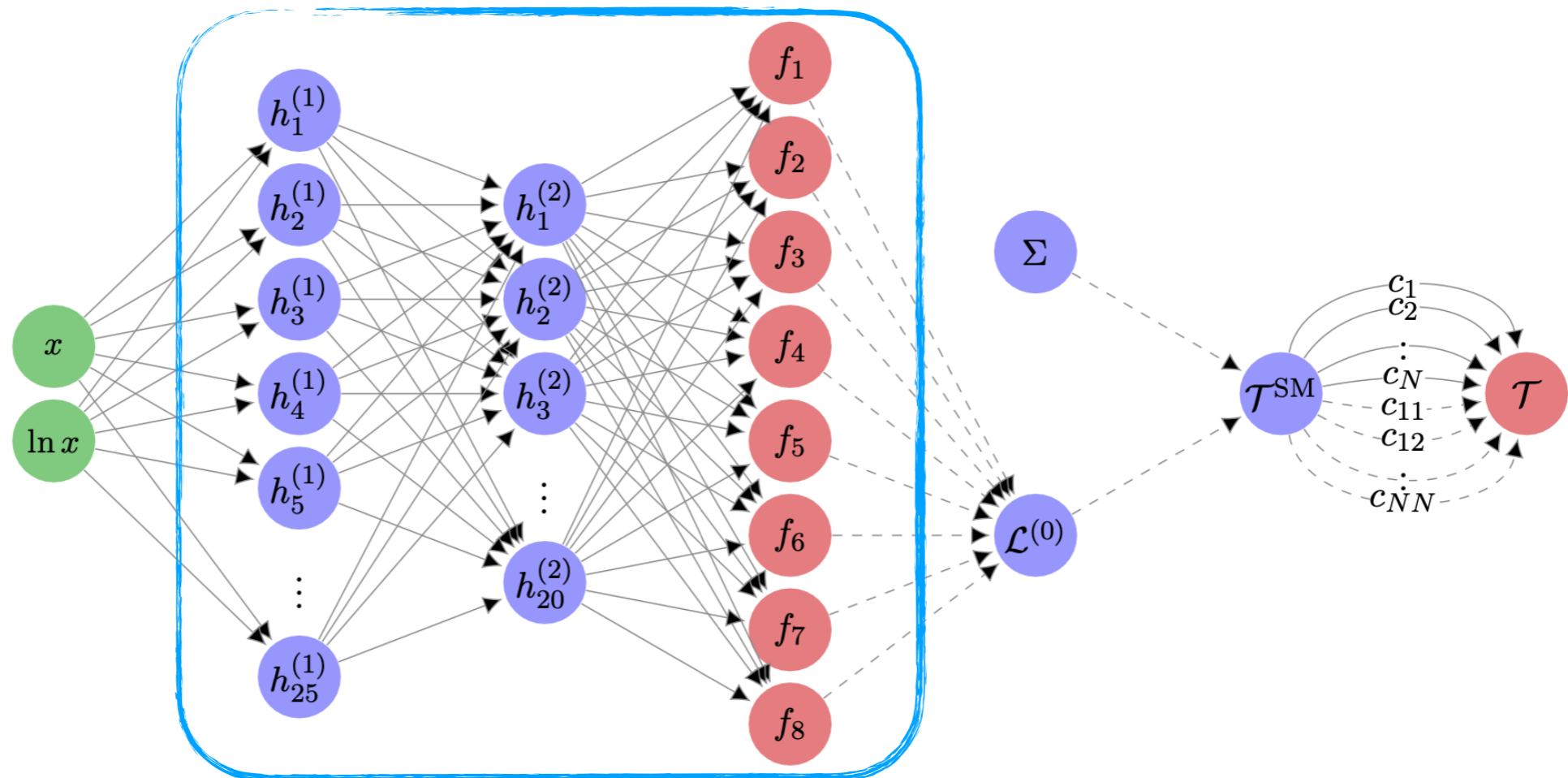
Impact mostly from ttbar data



Likely interplay
gluon PDF - EFT operators

Conservative
fixed PDF fit

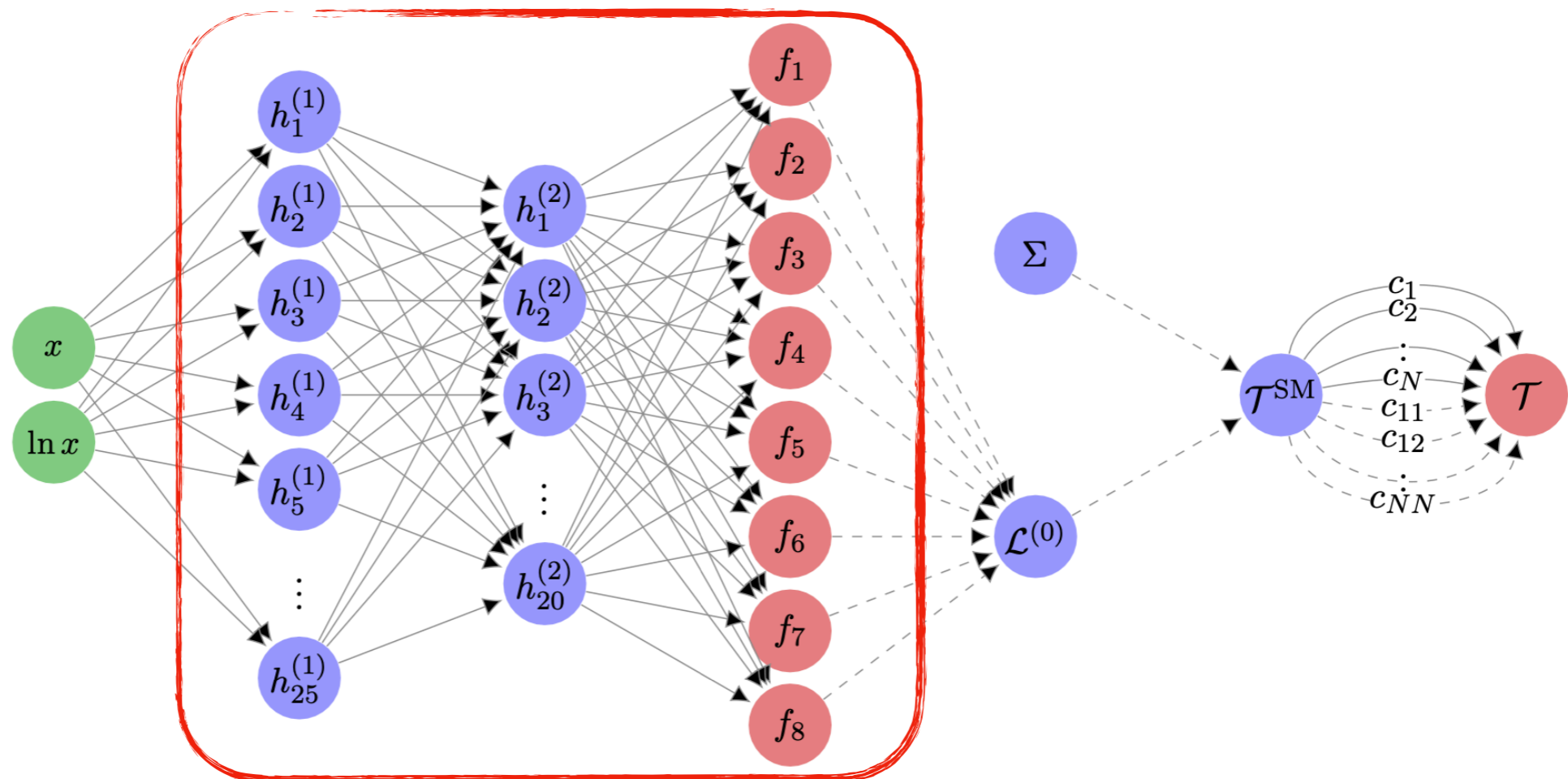
NN weights fixed,
no top PDF



Conservative
fixed PDF fit

Improper
fixed PDF fit

NN weights fixed,
all top PDF

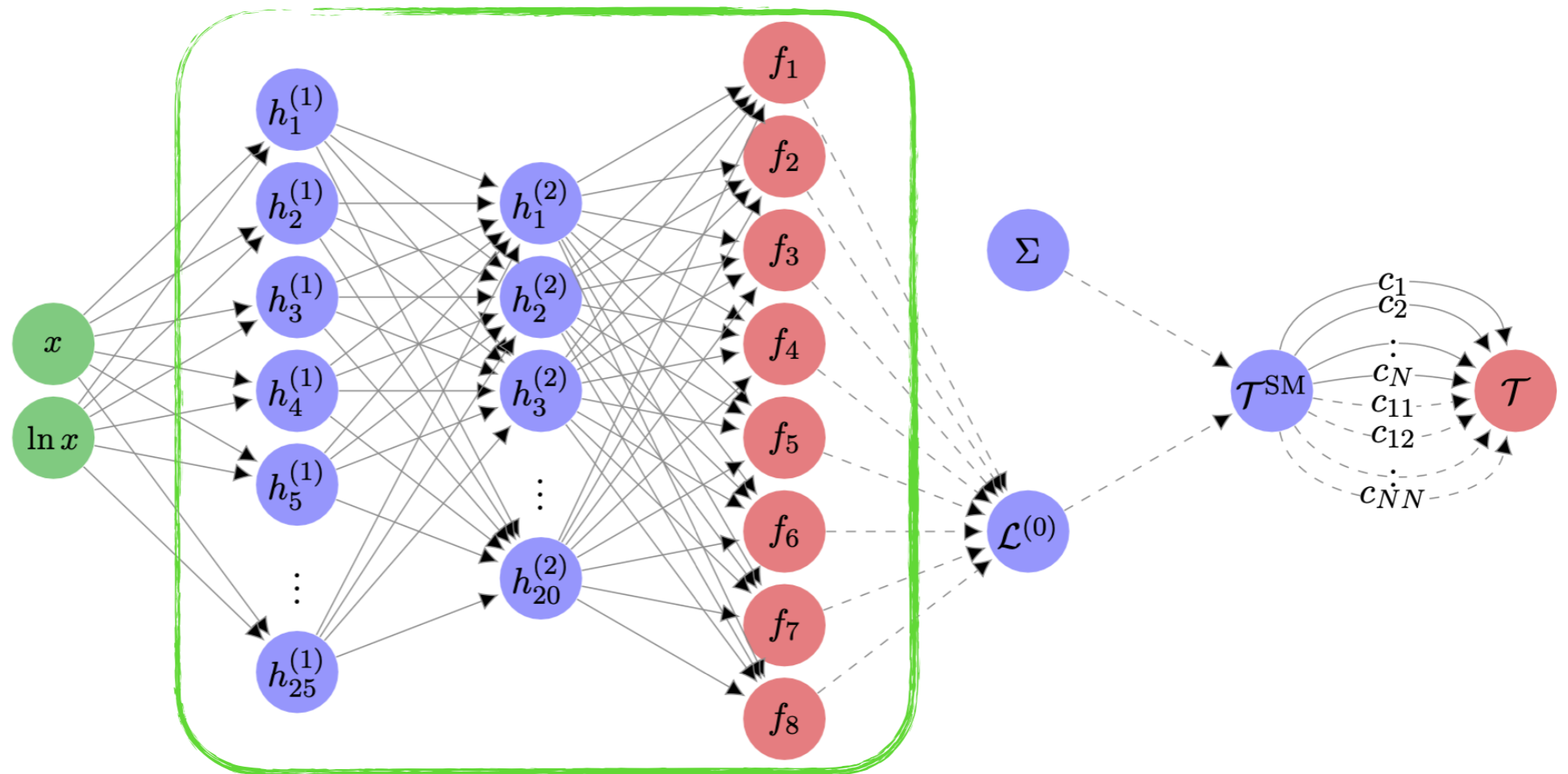


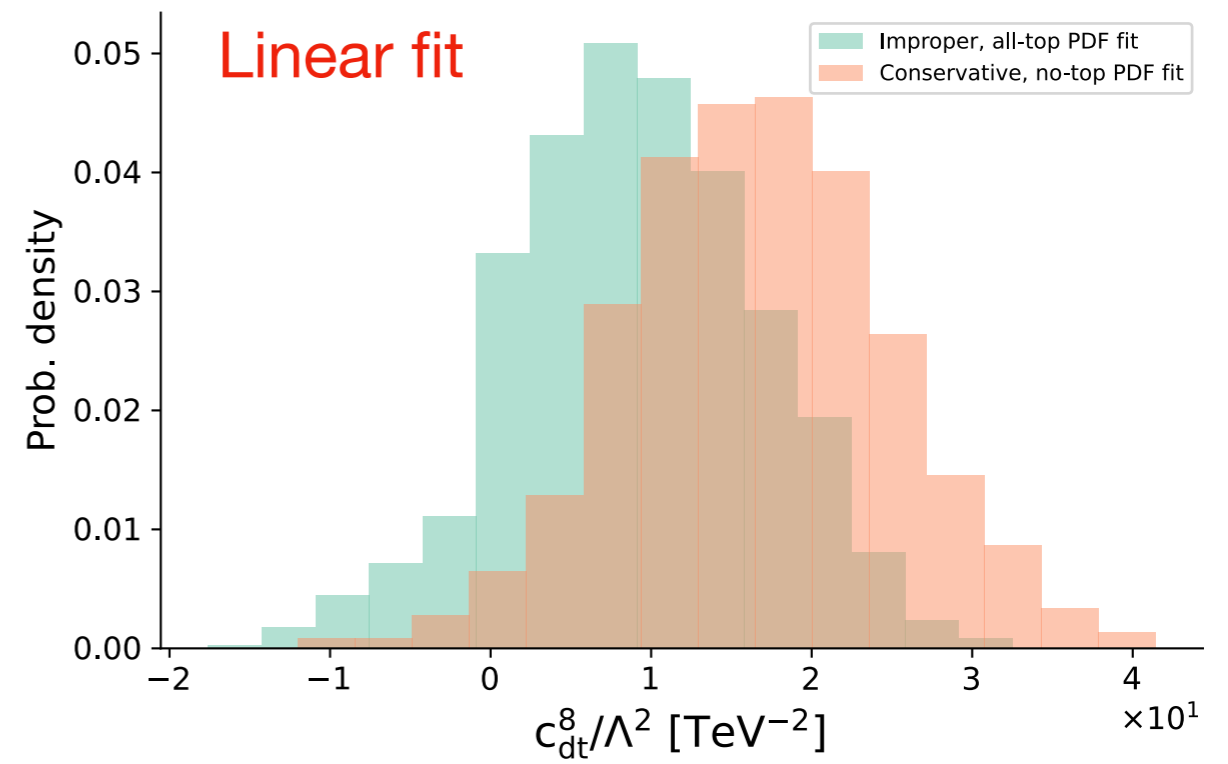
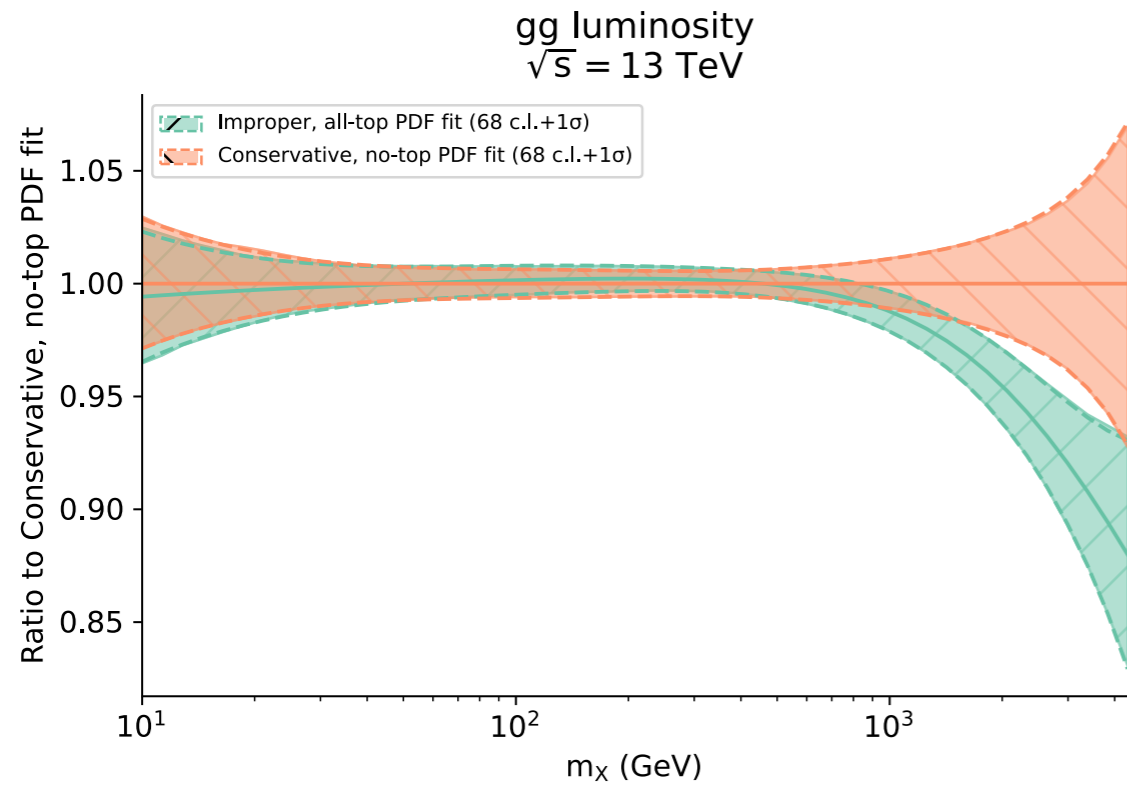
Conservative fixed PDF fit

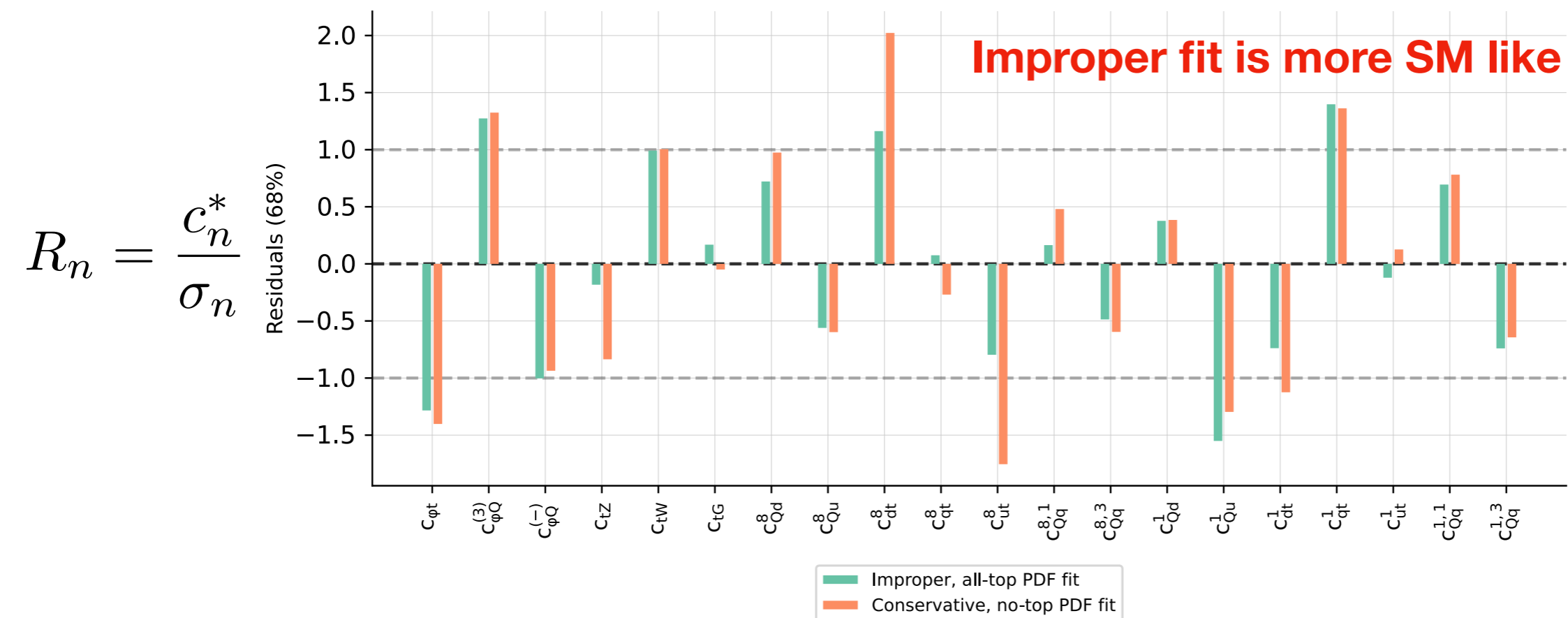
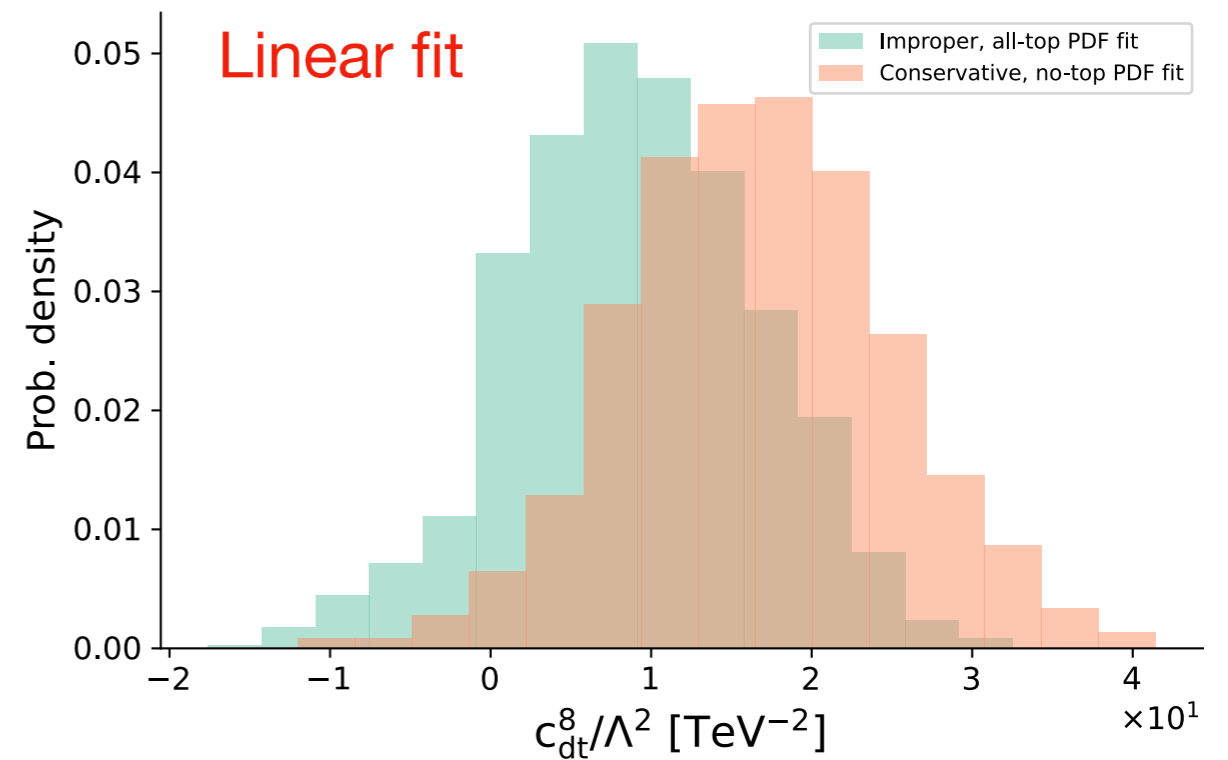
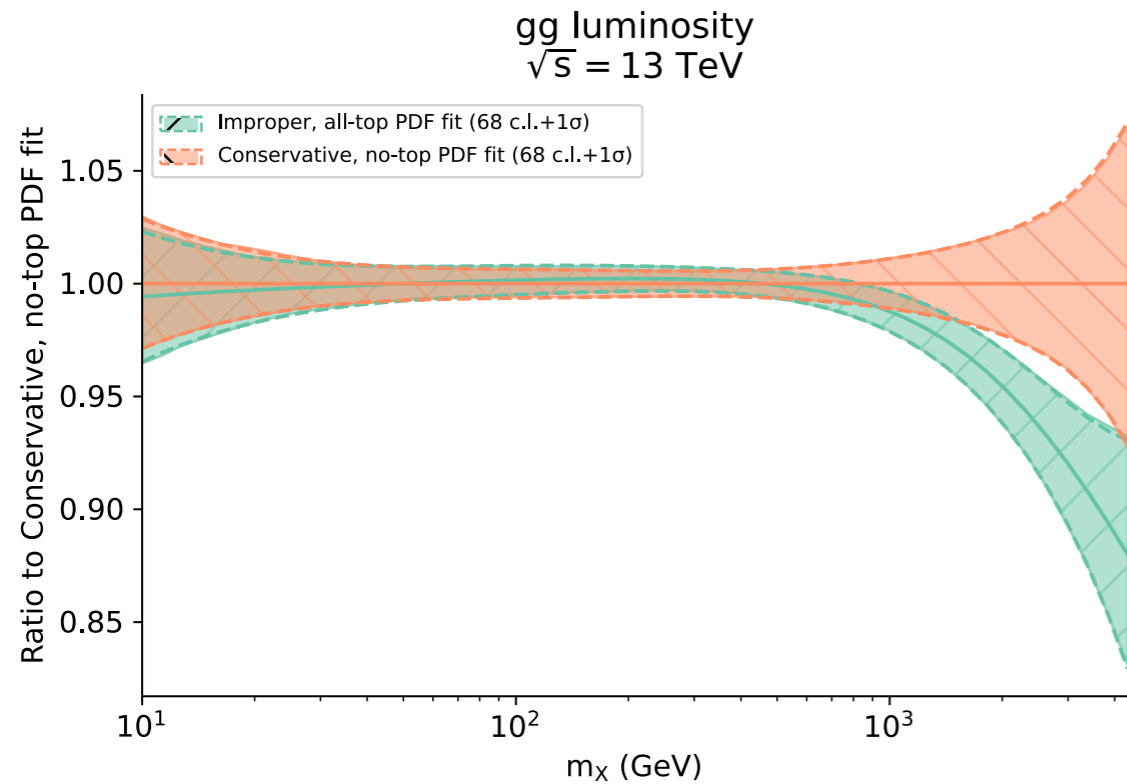
Improper fixed PDF fit

Simultaneous PDF-EFT fit

NN weights trainable





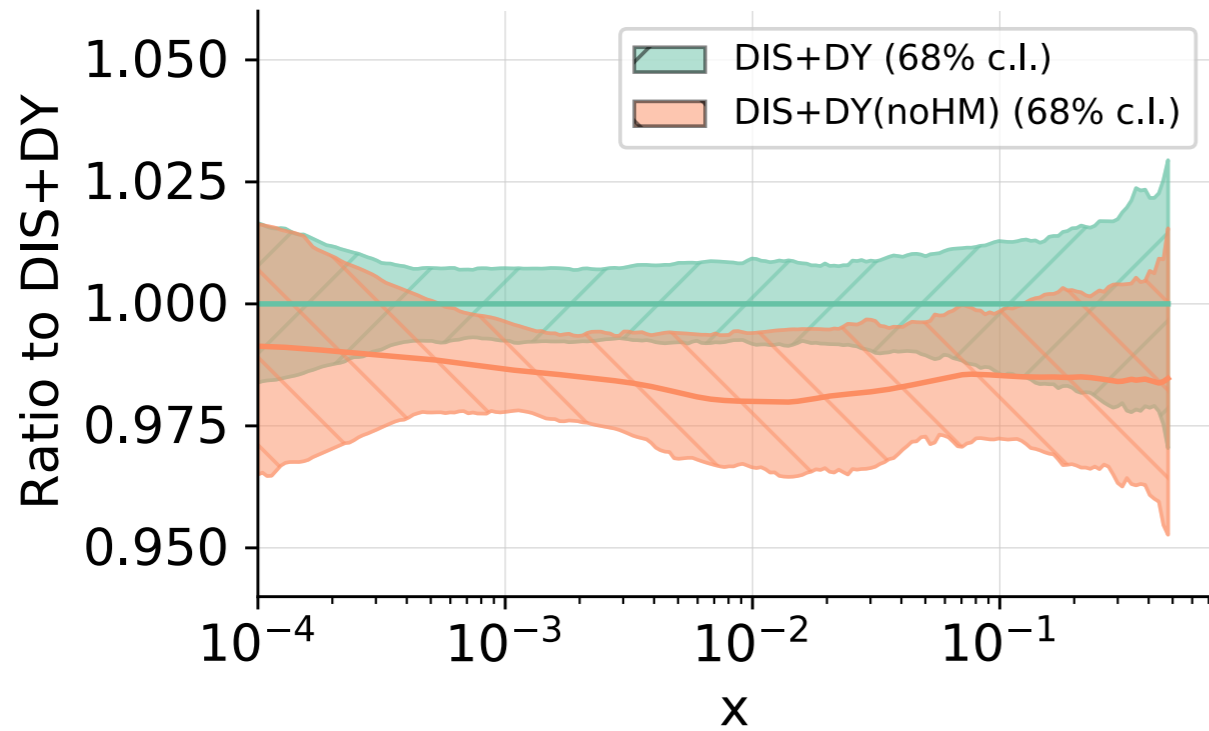


Why not simply use a conservative PDF fit?

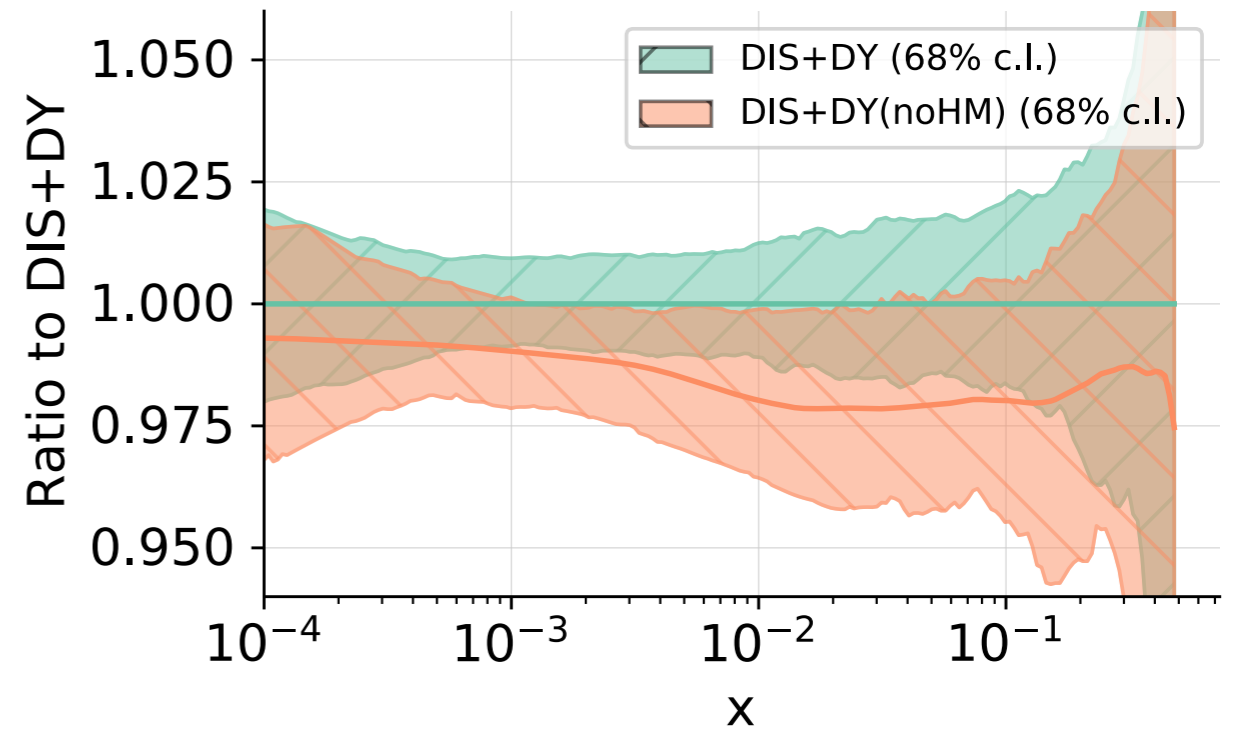
Why not simply use a conservative PDF fit?

arXiv:2104.02723

u at 100.0 GeV



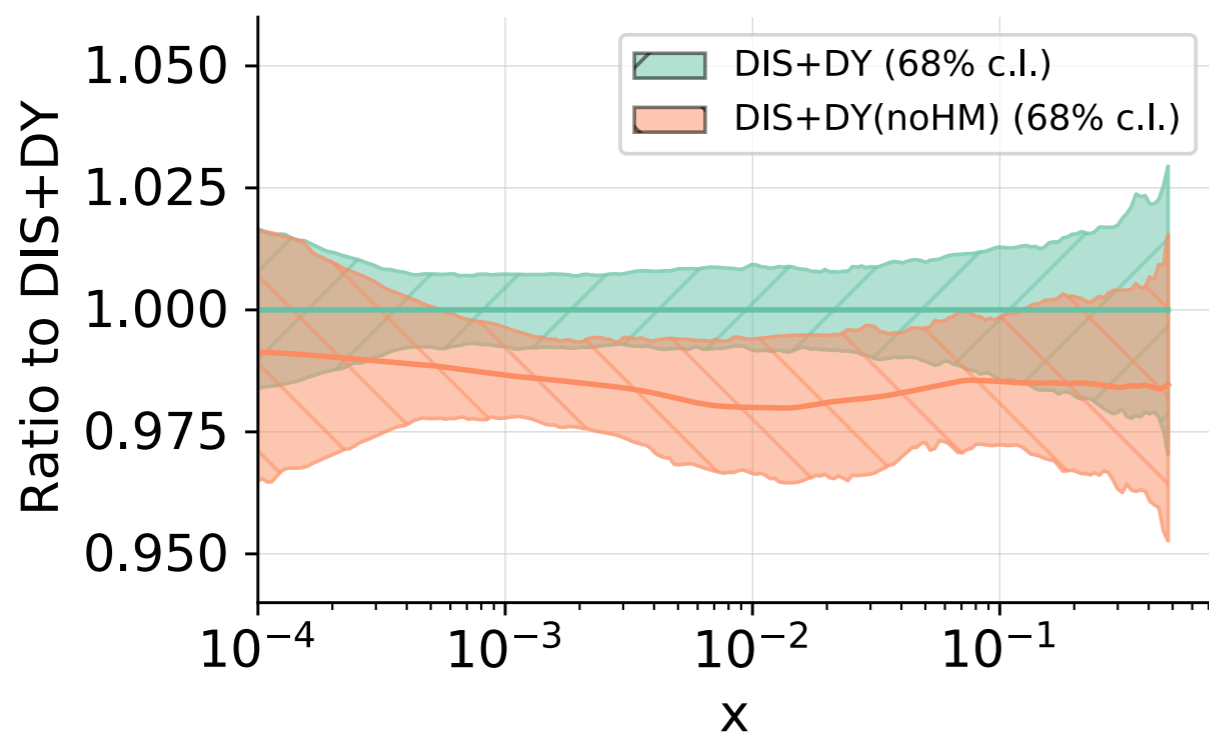
d at 100.0 GeV



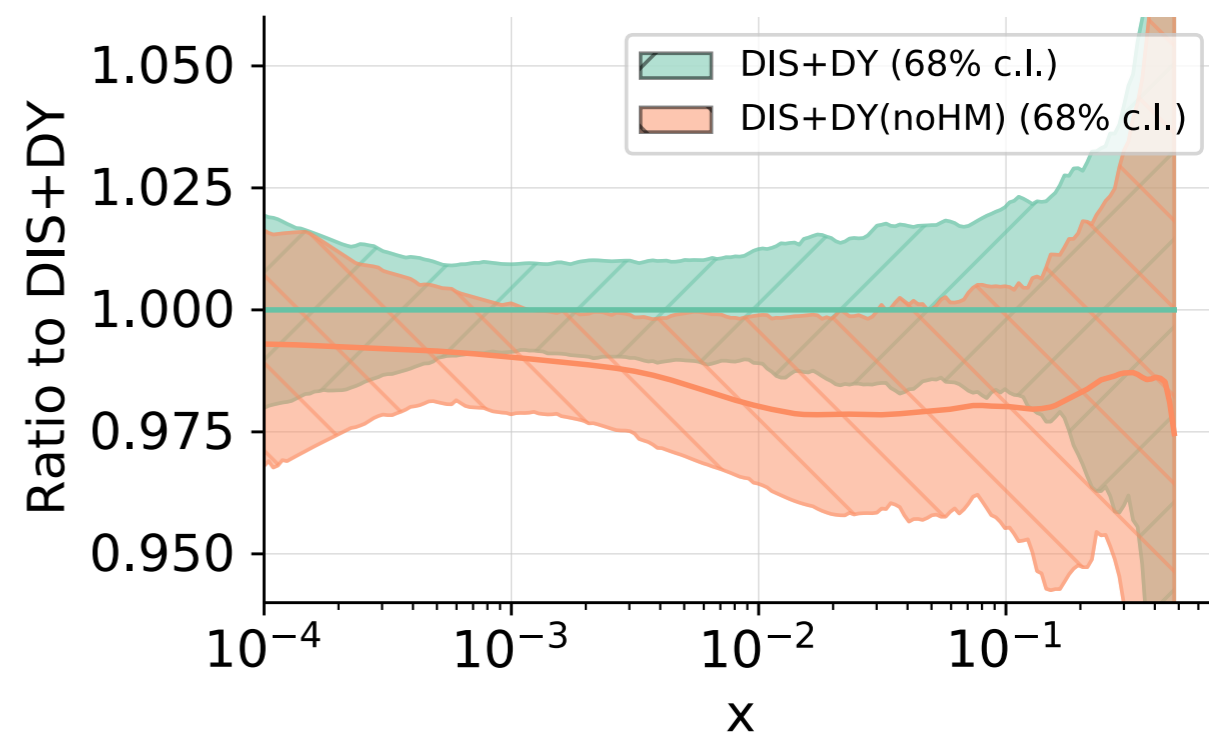
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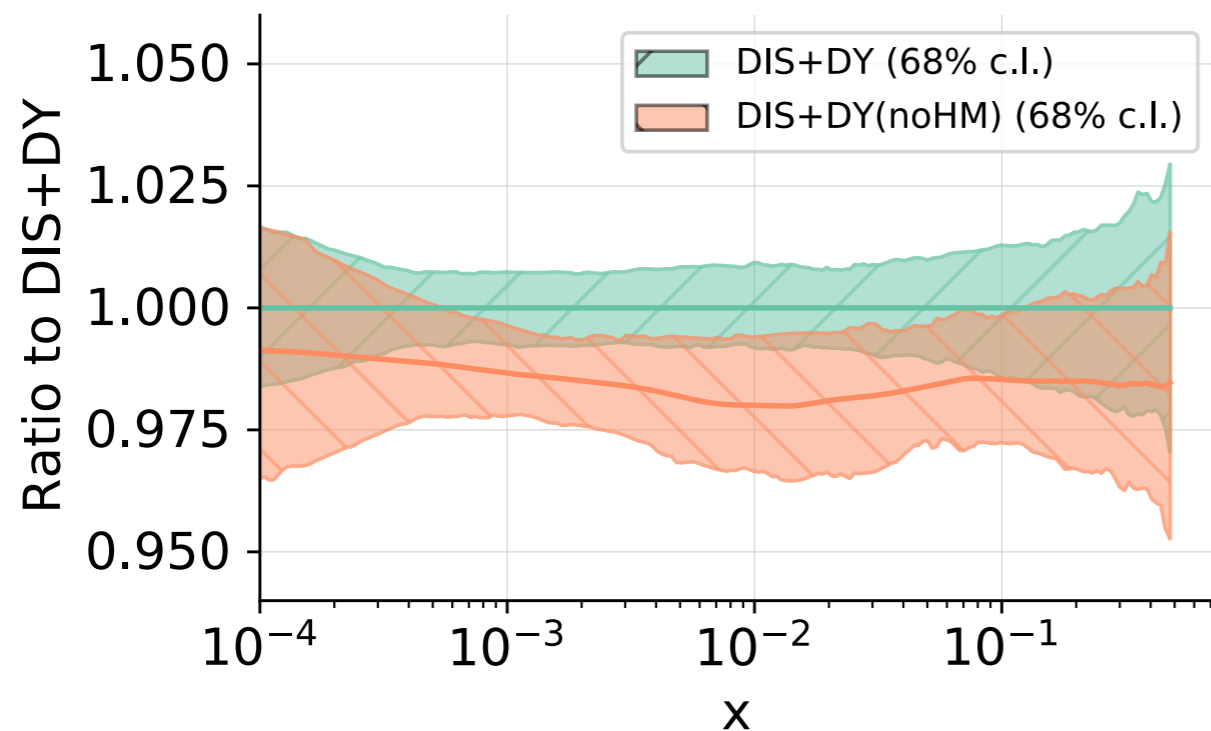
Increased PDF uncertainties in high-x region for several processes interesting for NP:

- diboson
- VBF
- high mass $t\bar{t}$
- high mass jets
- etc..

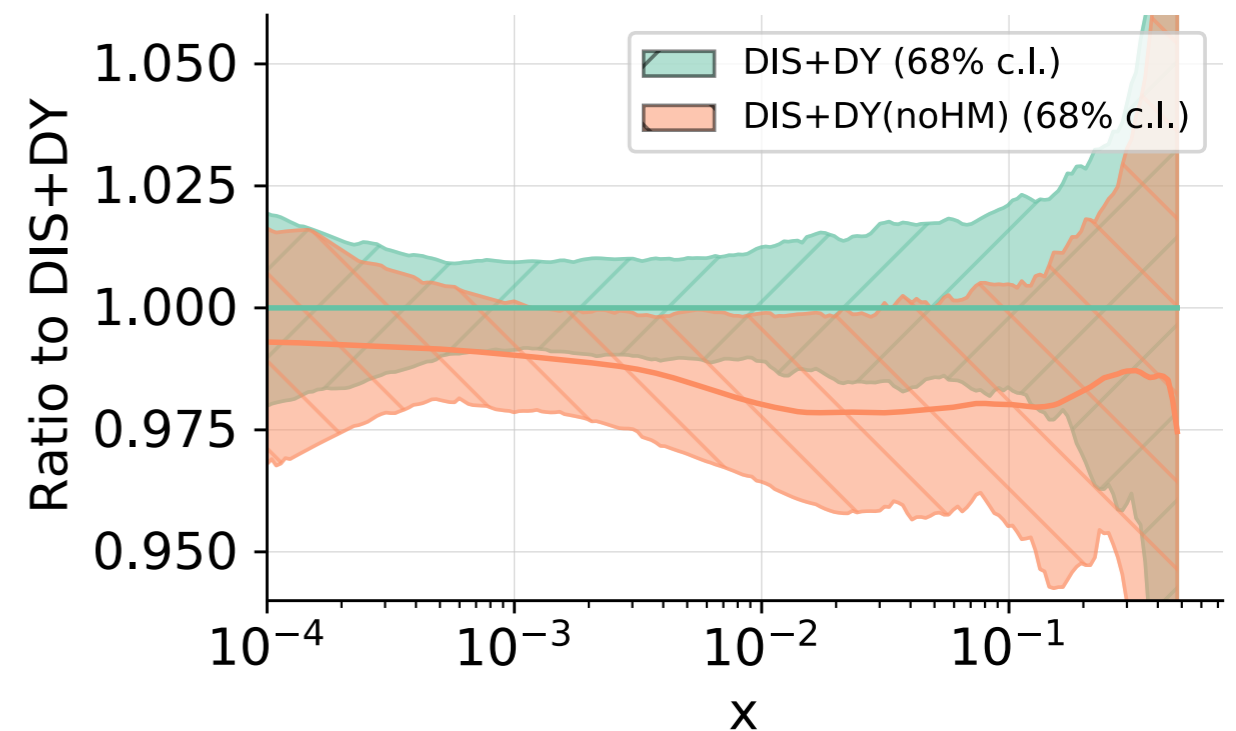
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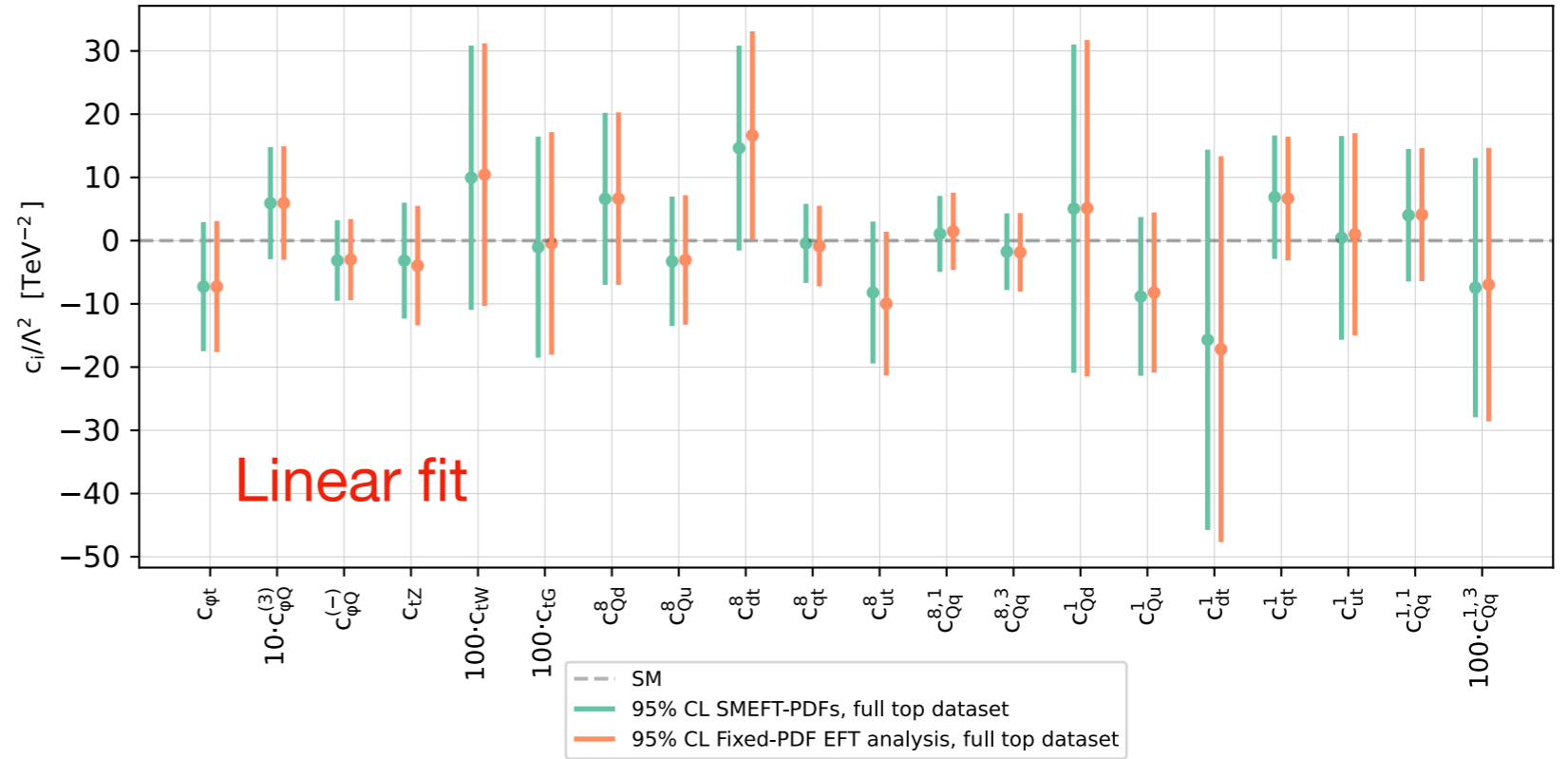
- diboson
- VBF
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- high mass jets
- etc..

Also: NN good at interpolating, **bad in extrapolation**

Conservative fit

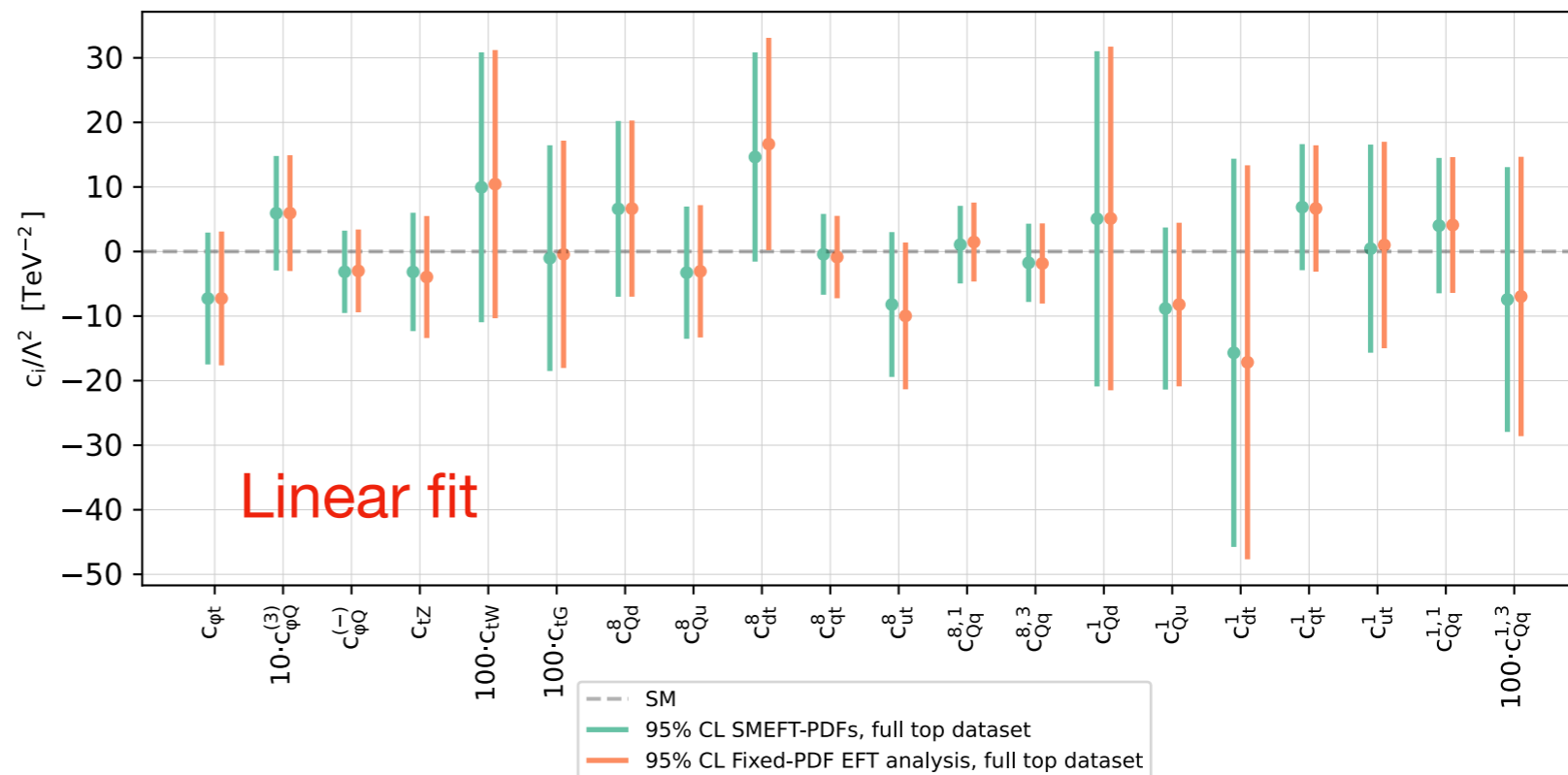
Simultaneous fit

Moderate effect on WC, ~ 5-10%



Conservative fit

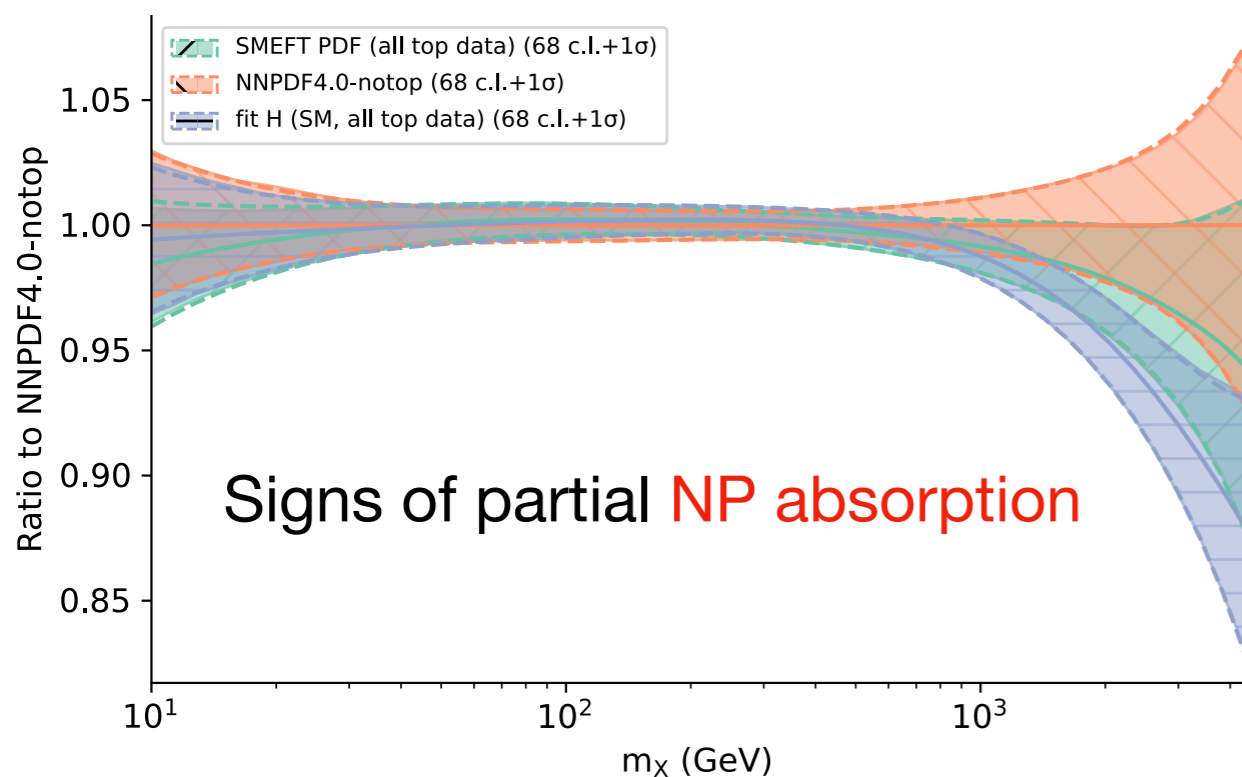
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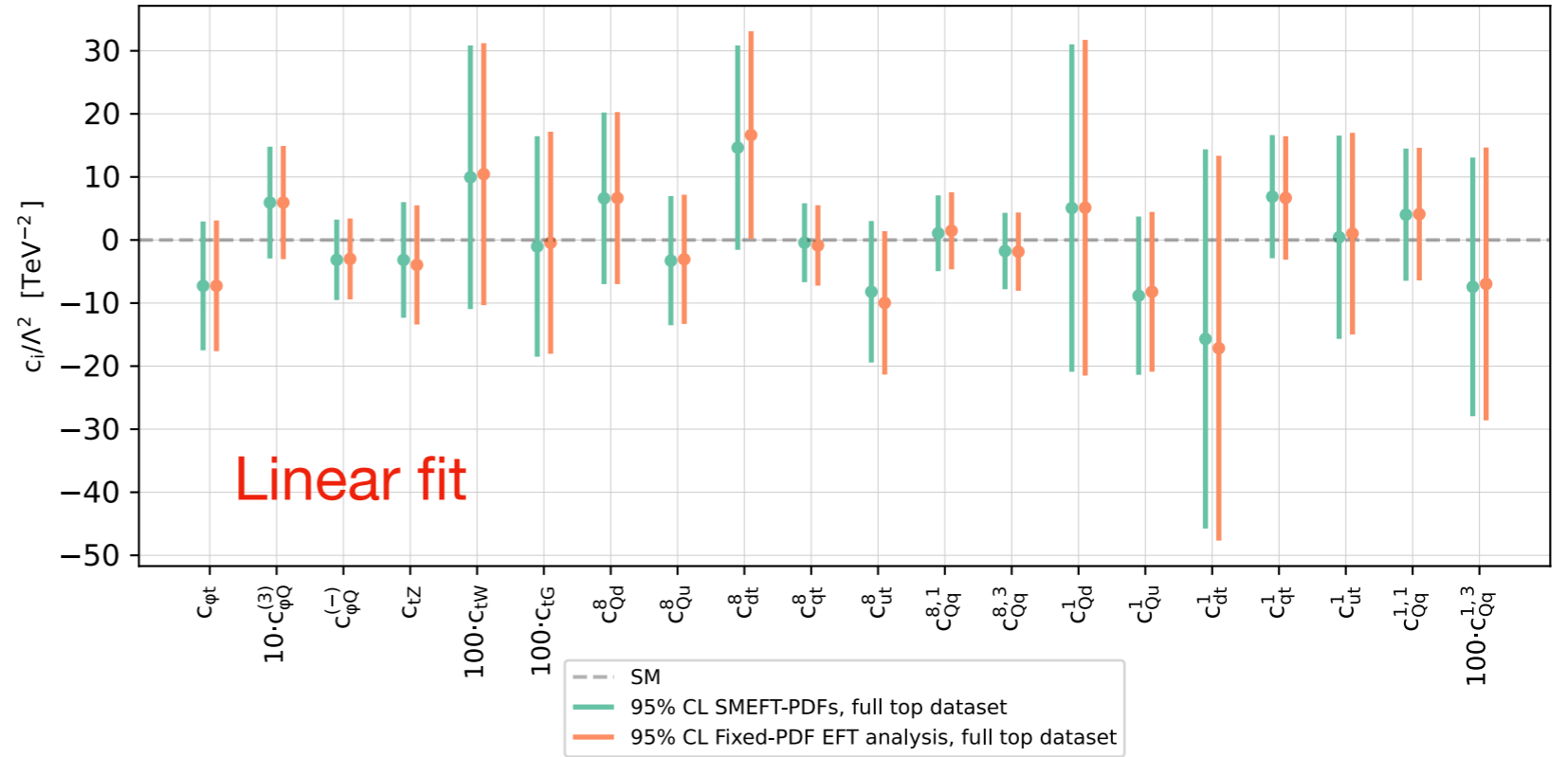
Shift in PDF not as dramatic as SM

gg luminosity
 $\sqrt{s} = 13$ TeV



Conservative fit

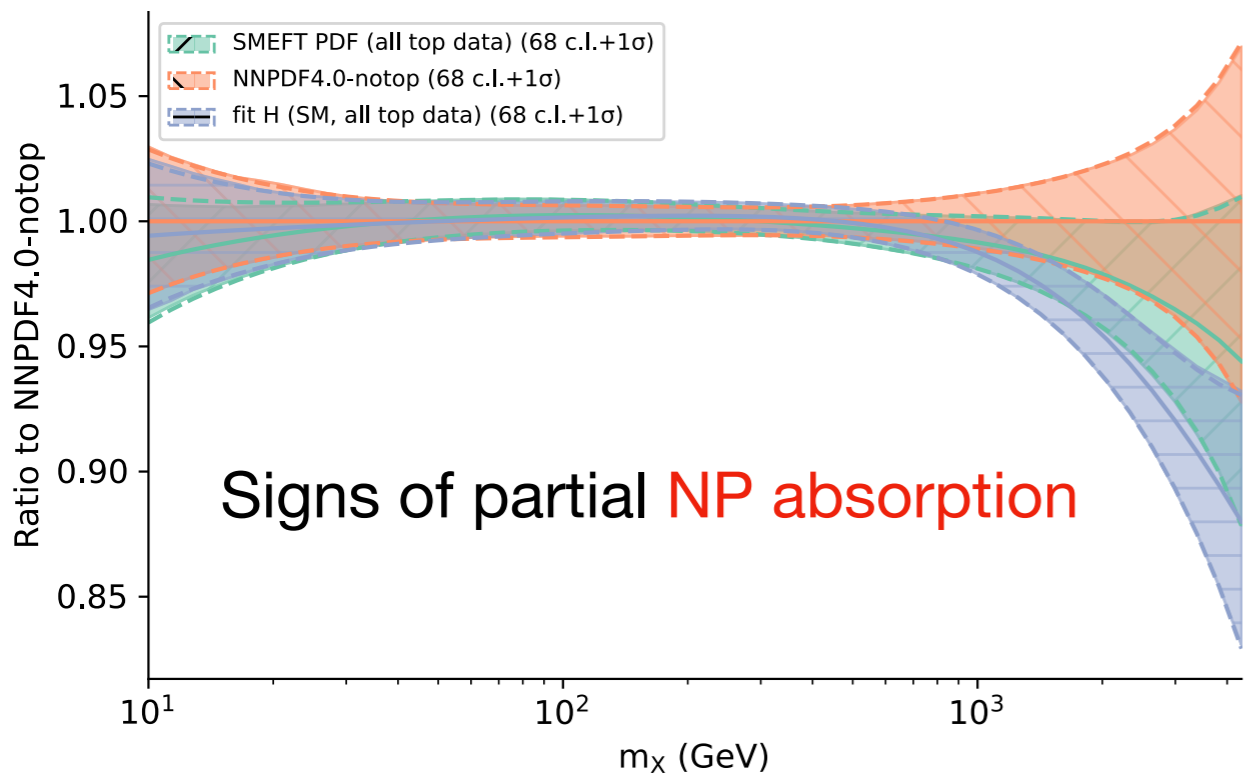
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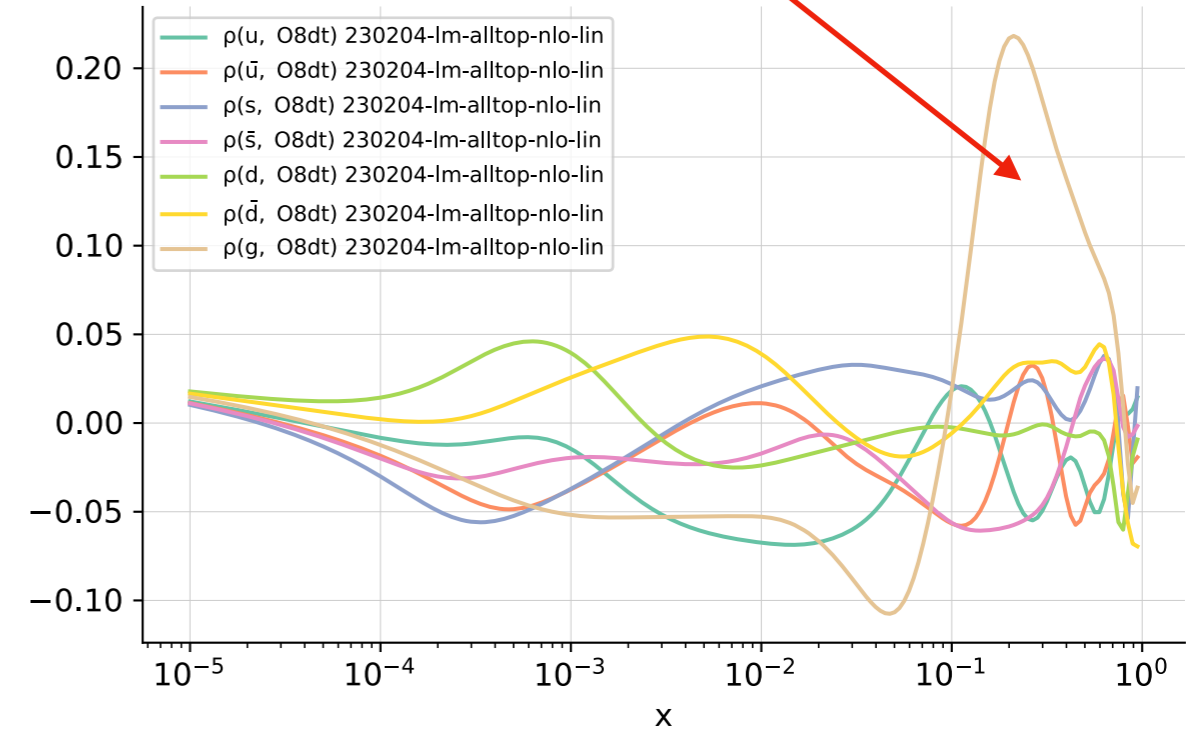
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Correlation gluon-EFT

Correlation O8dt - PDFs ($Q = 1000$ GeV)



We now have a **4th option** to perform a SMEFT fit

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From the simultaneous fits we now have a **SMEFT PDF**

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**EFT degrees of
freedom**



**Enhanced PDF
uncertainties**

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EFT degrees of freedom

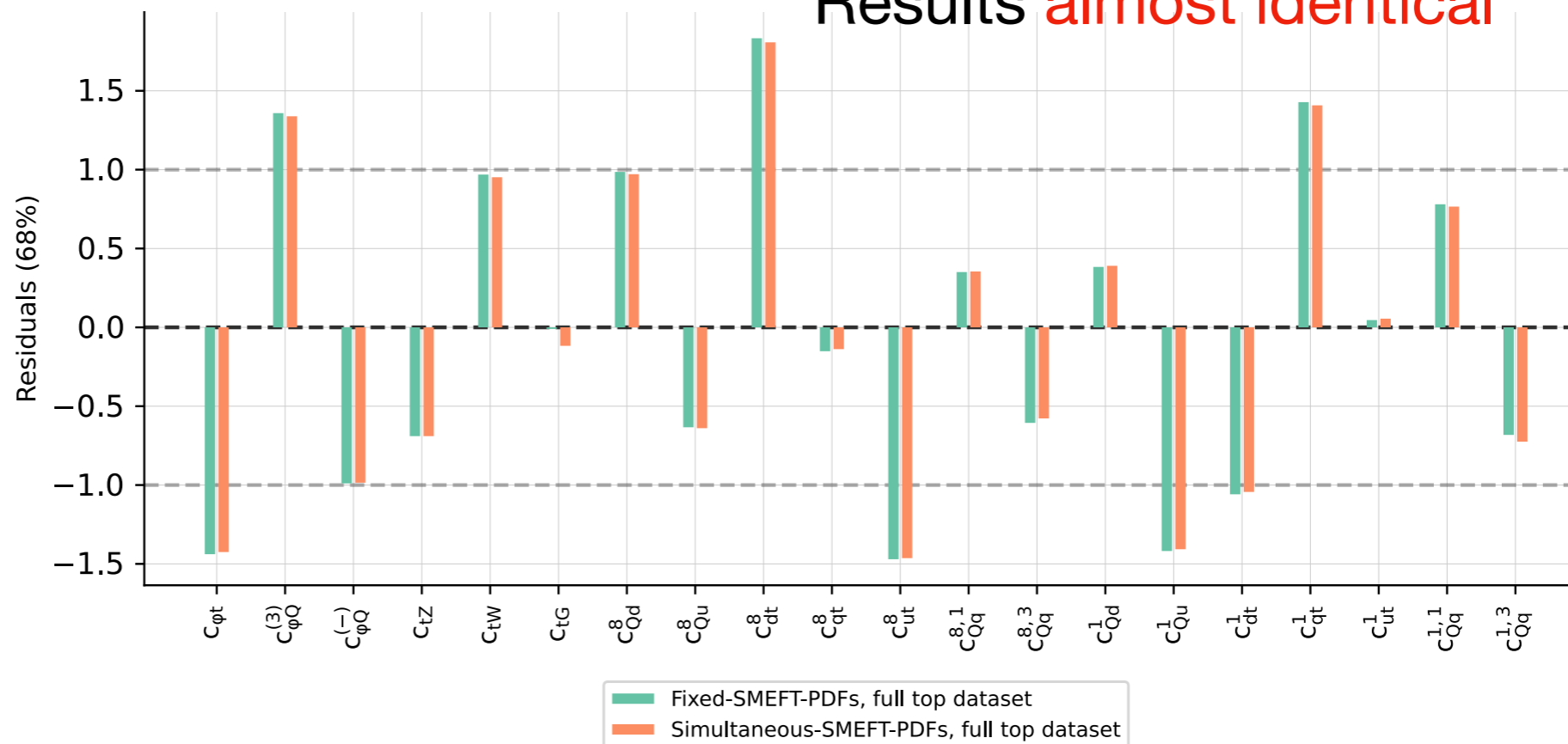


Enhanced PDF uncertainties

Simultaneous fit
fixed-SMEFT PDF fit

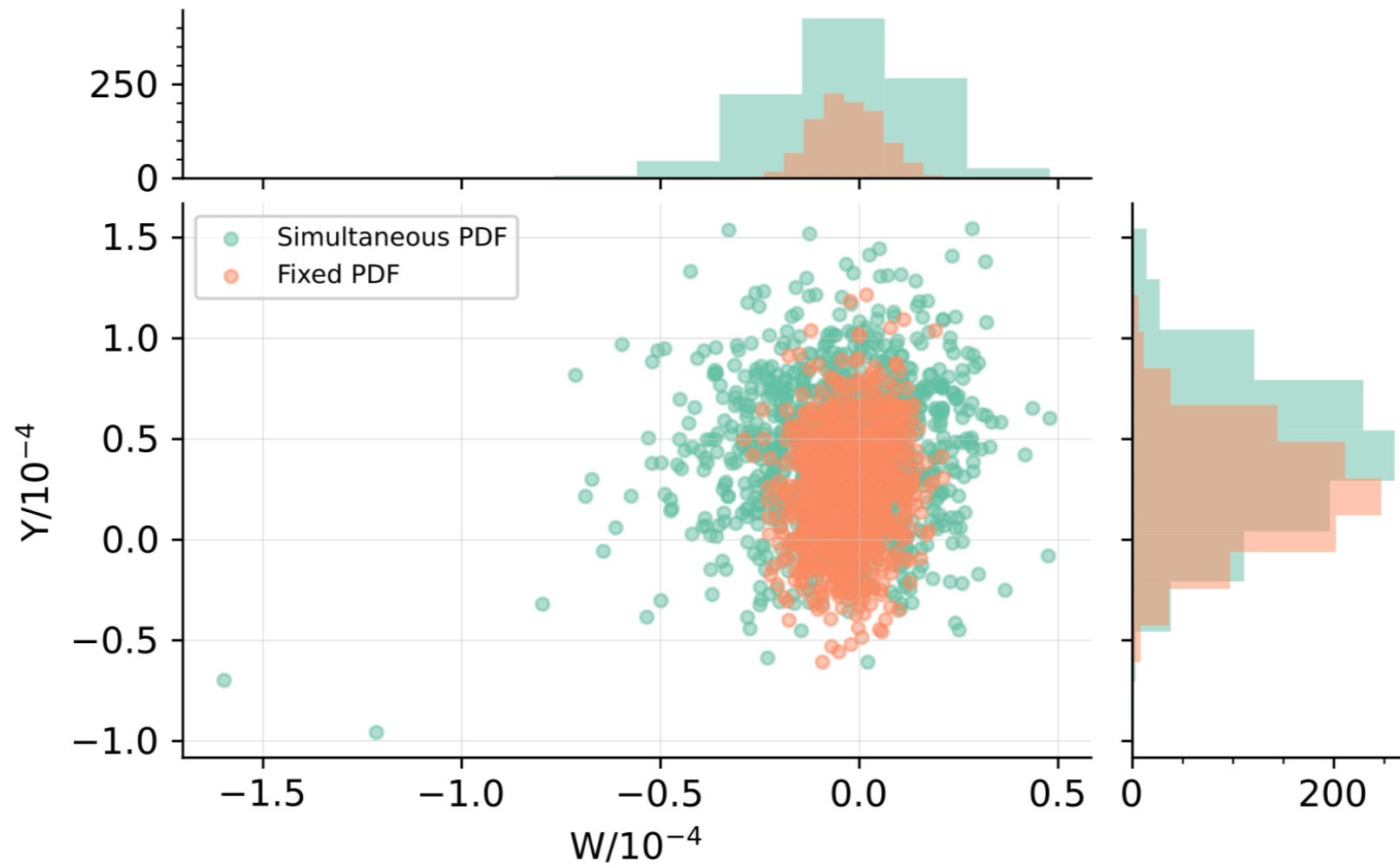
$$R_n = \frac{c_n^*}{\sigma_n}$$

Results almost identical

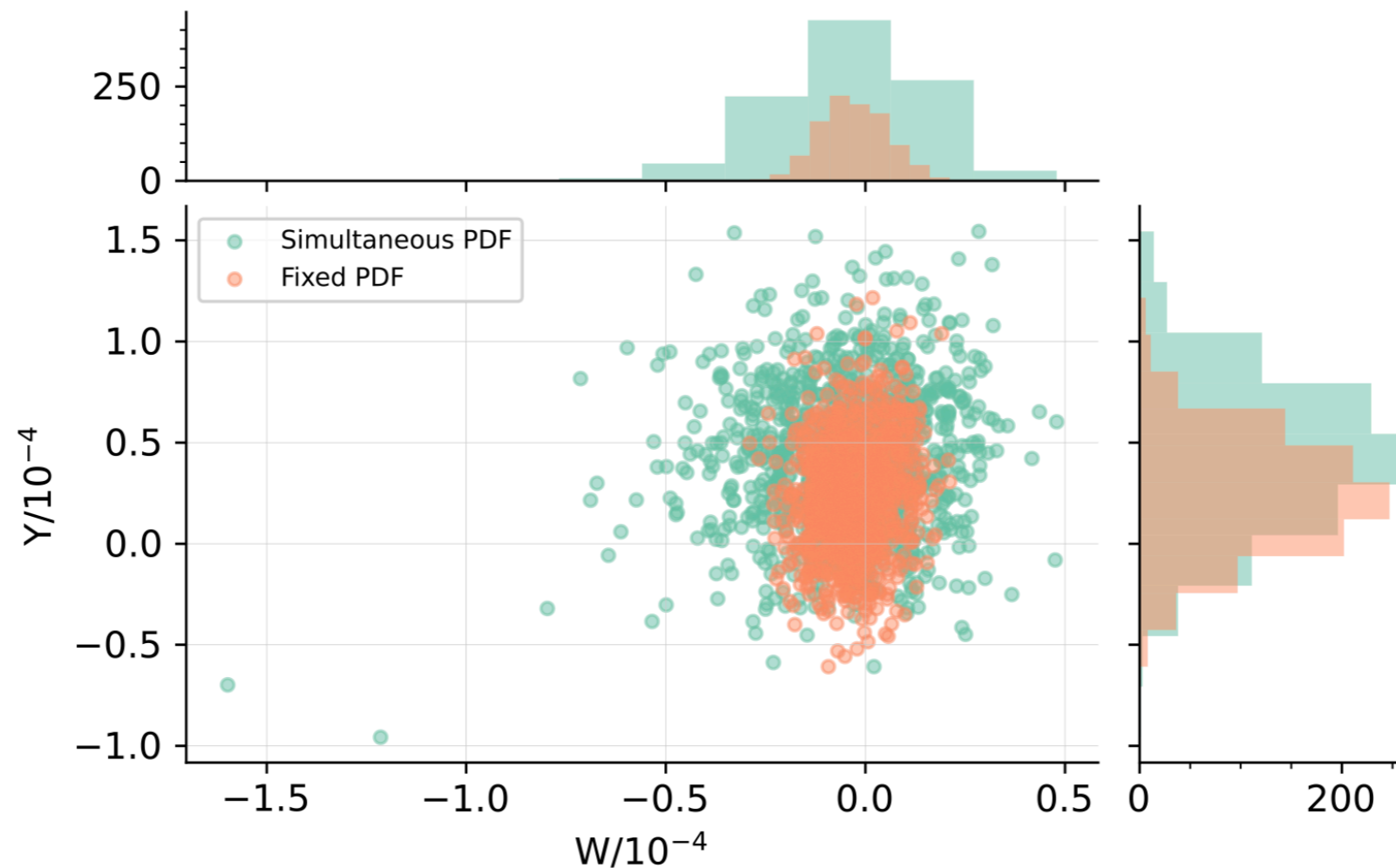


arXiv:2104.02723

Things become more relevant at HL-LHC



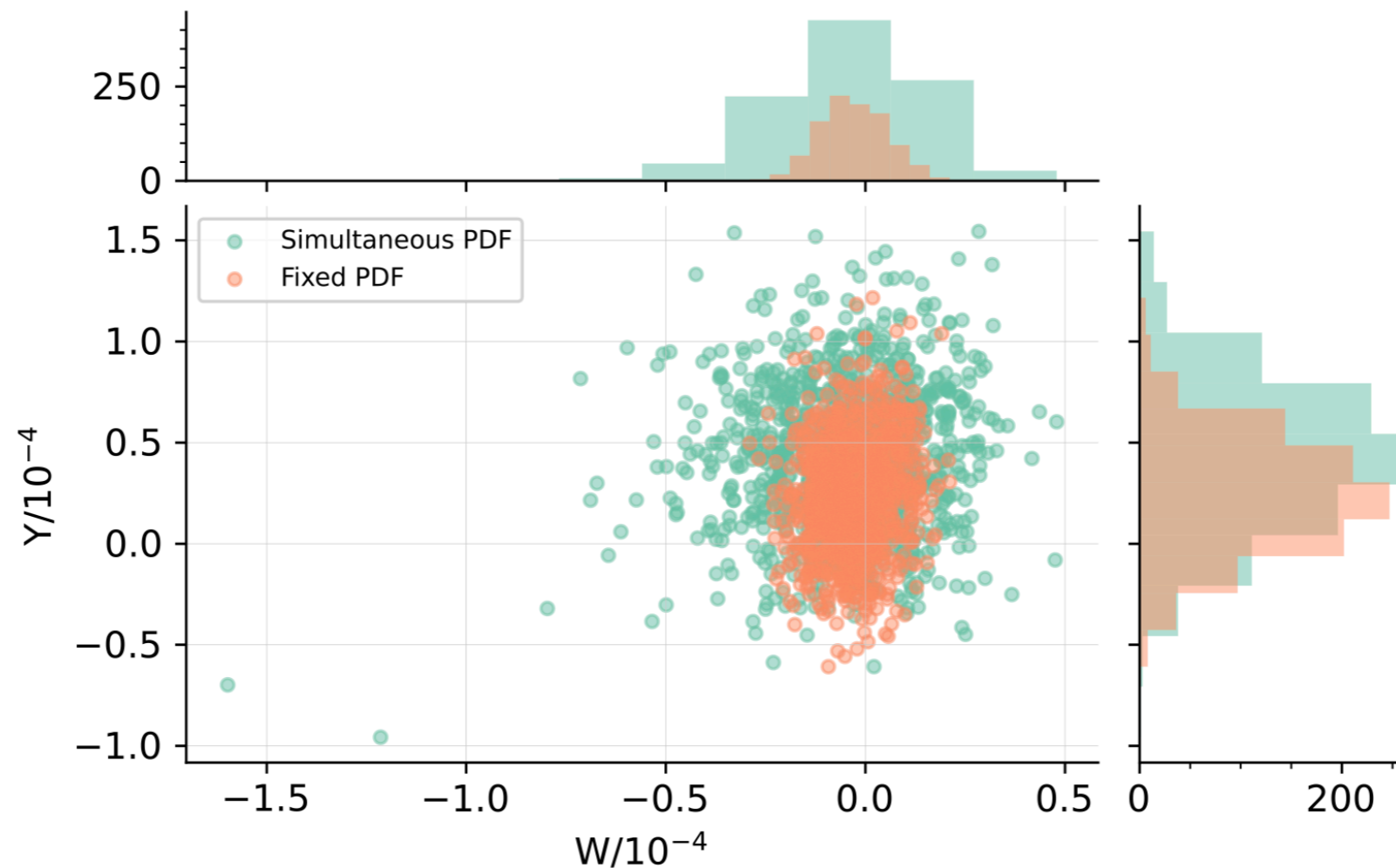
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Things become **more relevant at HL-LHC**

	SM PDFs	SMEFT PDFs	best-fit shift	broadening
$W \times 10^5$ (68% CL)	$[-1.1, 0.5]$	$[-2.4, 1.5]$	-0.2	$+144\%$
$W \times 10^5$ (95% CL)	$[-2.0, 1.4]$	$[-4.3, 3.4]$	-0.2	$+126\%$
$Y \times 10^5$ (68% CL)	$[-0.4, 5.2]$	$[0.6, 8.0]$	$+1.9$	$+32\%$
$Y \times 10^5$ (95% CL)	$[-3.2, 8.1]$	$[-3.1, 11.7]$	$+1.9$	$+31\%$

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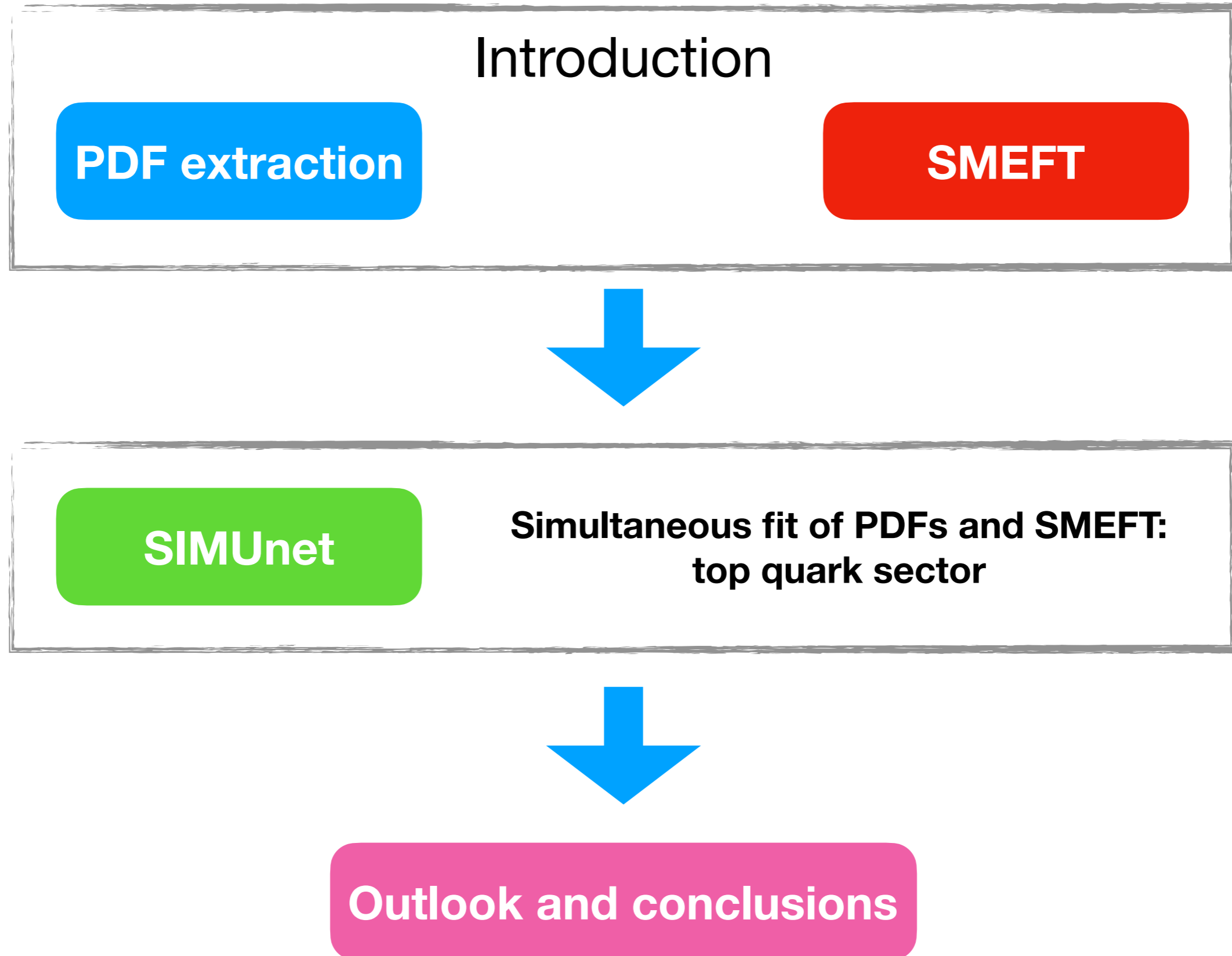
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- ❖ PDF fitting is currently done by assuming the SM. This could lead to problems in estimation of NP parameters.
- ❖ The **SMEFT** is a powerful framework to parametrise NP, but global studies are necessary.
- ❖ Interplay PDFs-EFT needs to be understood, could be crucial in HL-LHC.
 - NP effects can be at least partially absorbed during PDF fits
 - SMEFT coefficient bounds can be both biased and underestimated
 - SMEFT PDFs could be viable proxy to simultaneous fits
 - Identification of smoking gun observables (e.g. forward W/Z in LHCb) to disentangle PDF and EFT effects

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Thanks!

Backup

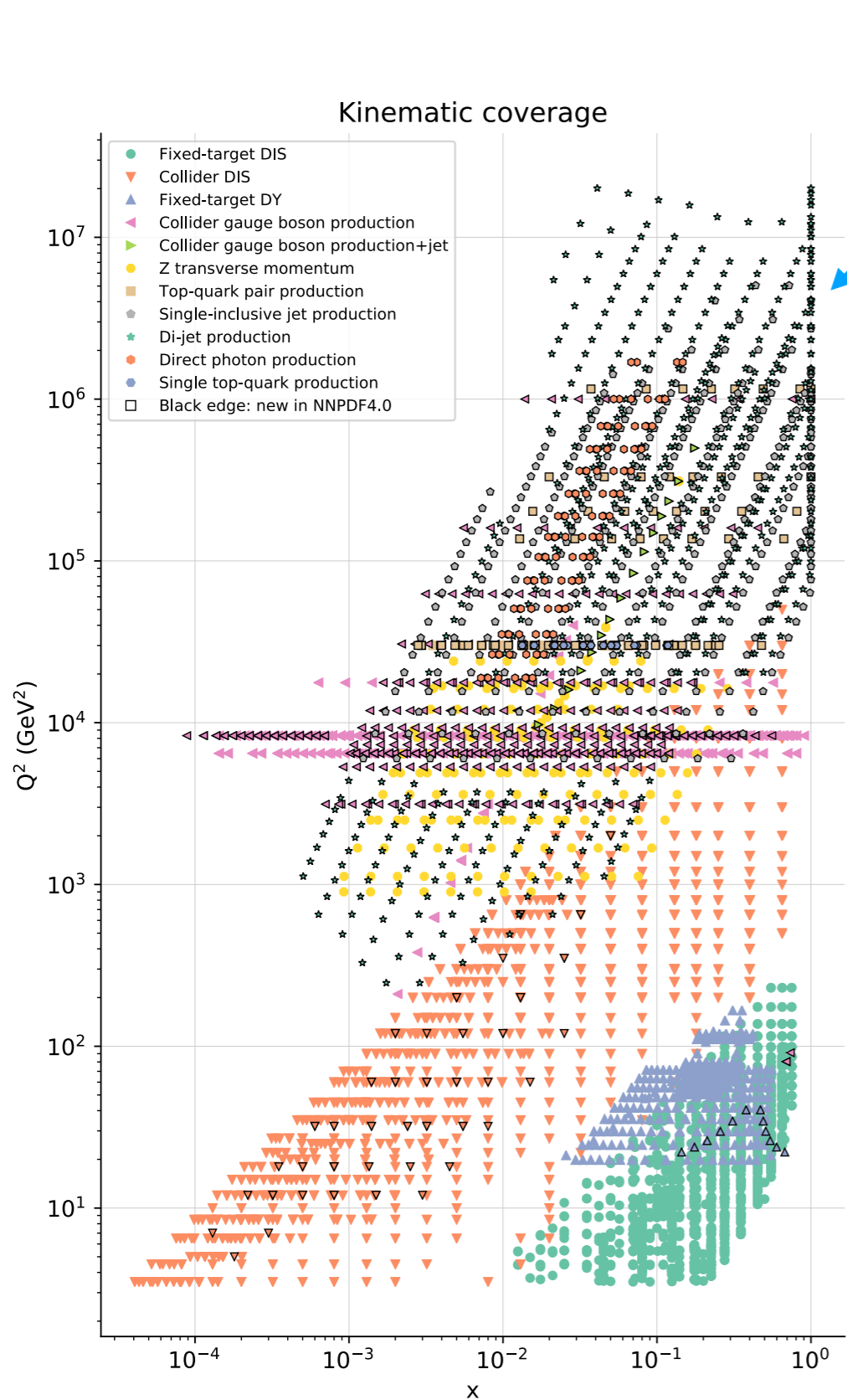


Proton structure

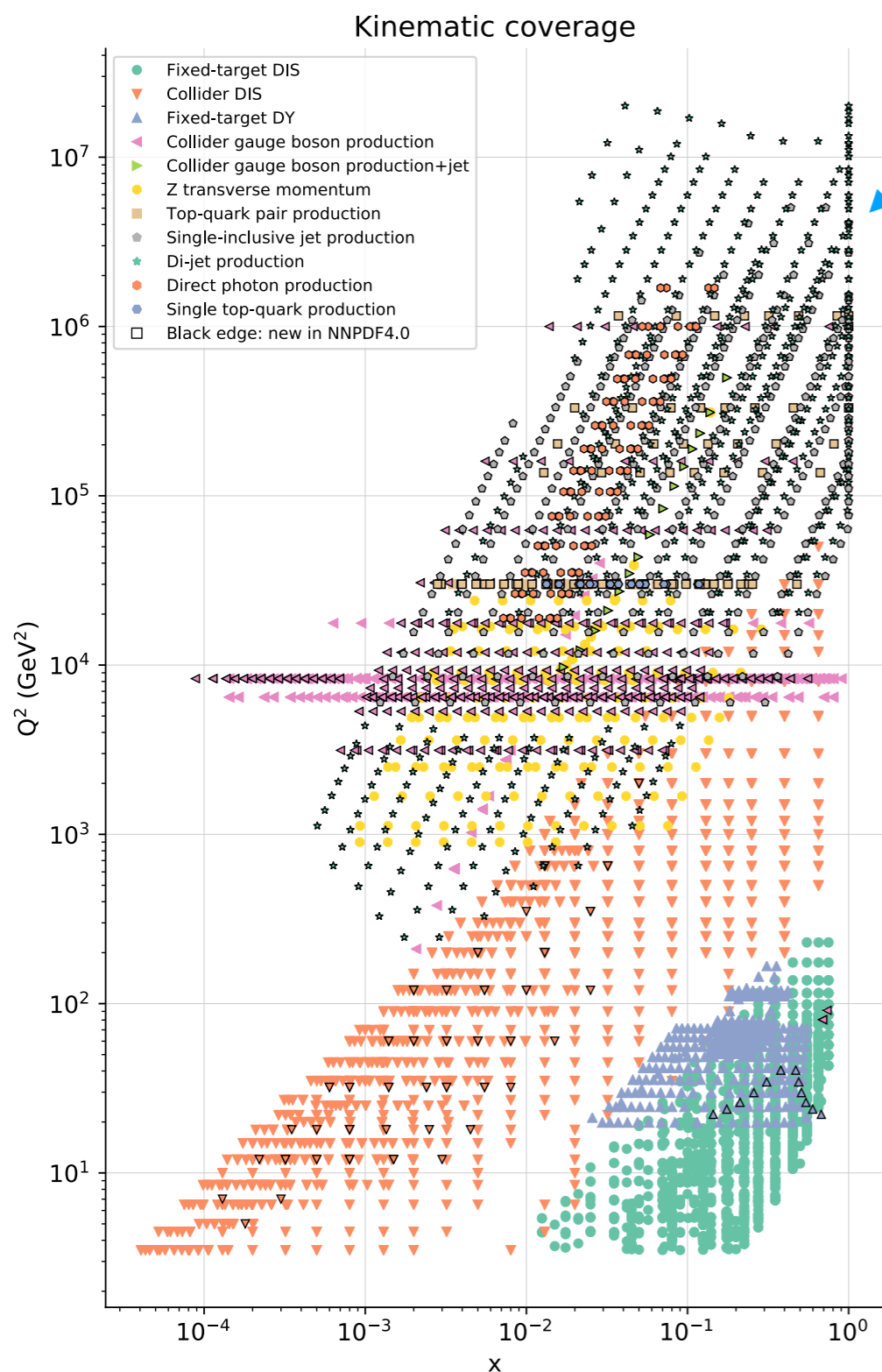


Idea: use data to infer the structure of the proton

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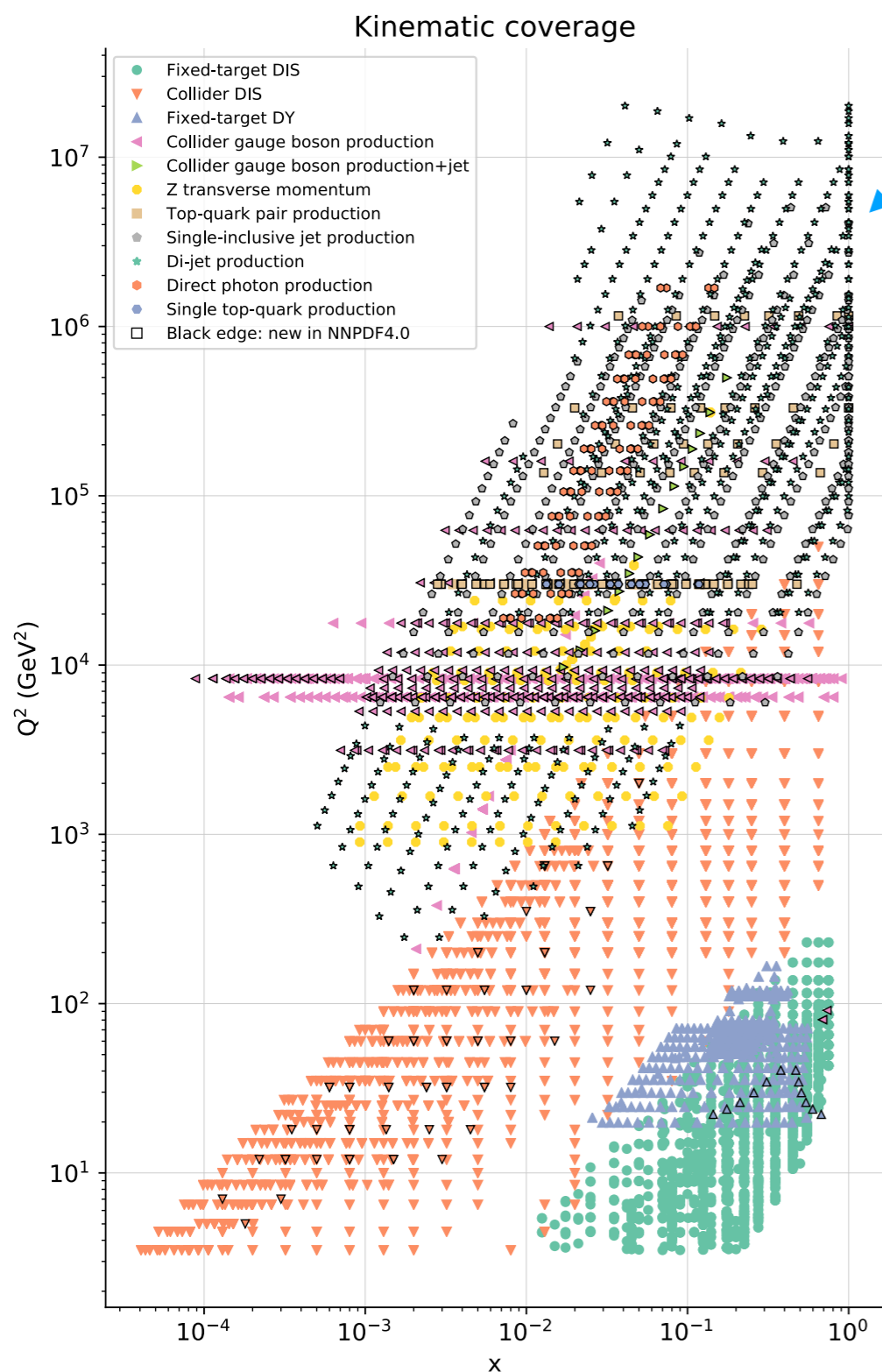


$f(x, \mu^2)$

DGLAP equations

$$\frac{\partial}{\partial \log(\mu^2)} \begin{pmatrix} q(x, \mu^2) \\ g(x, \mu^2) \end{pmatrix} = \frac{\alpha_S(\mu^2)}{2\pi} \int_x^1 \frac{dz}{z} \begin{pmatrix} P_{qq}(z) & P_{qg}(z) \\ P_{gq}(z) & P_{gg}(z) \end{pmatrix} \begin{pmatrix} q(x/z, \mu^2) \\ g(x/z, \mu^2) \end{pmatrix}$$

Idea: use data to infer the structure of the proton



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DGLAP equations

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We just need a **functional form** for the PDFs

Theory assumptions

Data driven determination

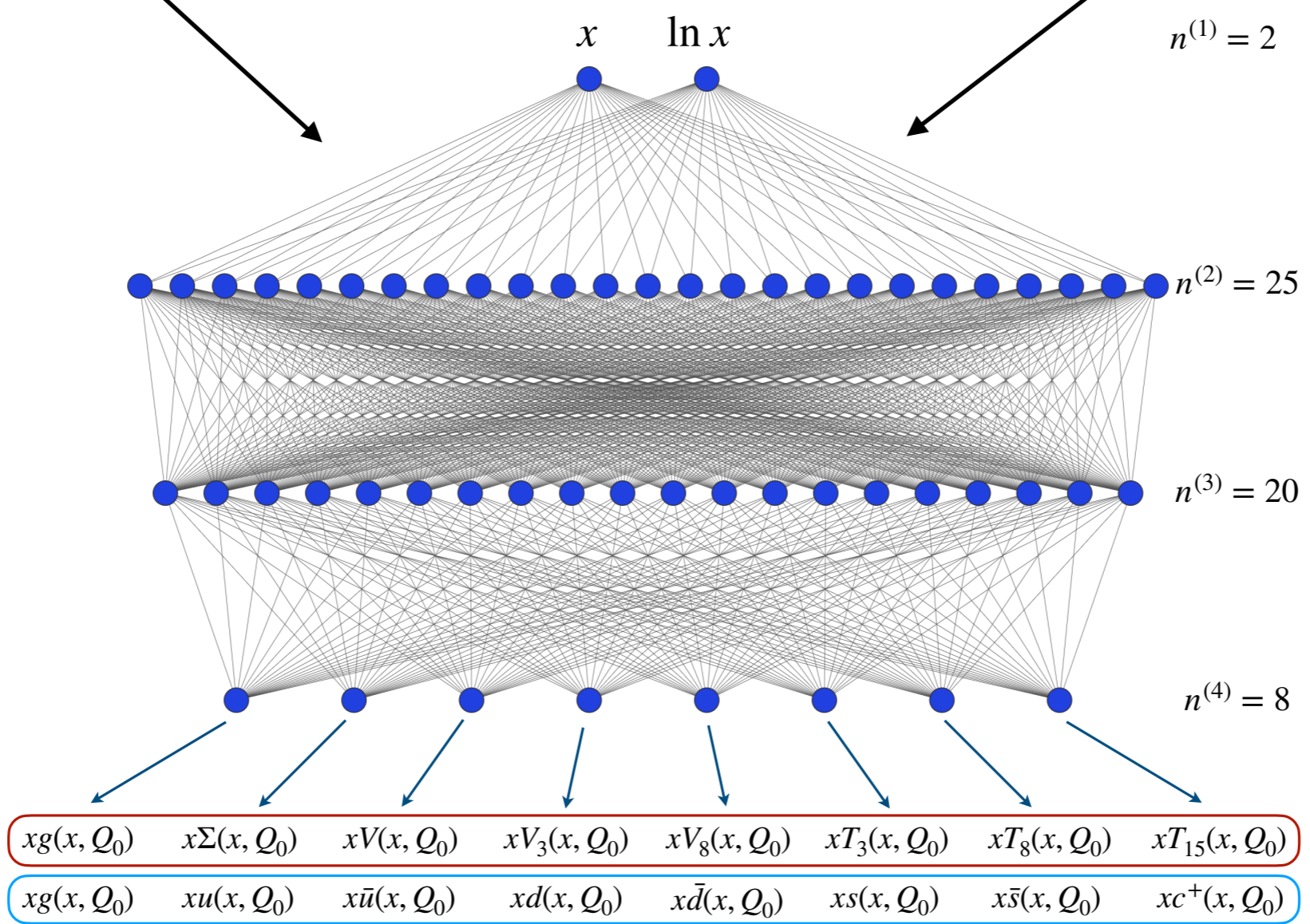
Measurements

Data driven determination

Theory assumptions

Measurements

Neural network



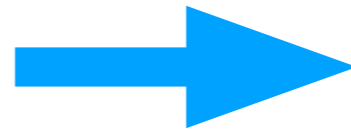
Experimental uncertainties are propagated to the PDFs via **Monte Carlo**

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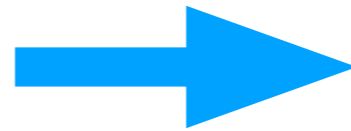


N pseudodata samples $\{x_i\}$

$N \sim 1000$

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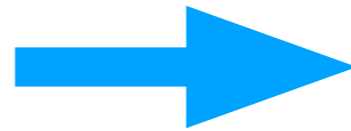
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Each sample is a “parallel universe” in which central data has been fluctuated

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N pseudodata samples $\{x_i\}$

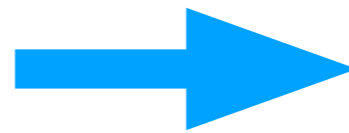
$N \sim 1000$

Each sample is a “parallel universe” in which central data has been fluctuated

Final PDF is **the ensemble** of N Neural Networks

Experimental uncertainties are propagated to the PDFs via **Monte Carlo**

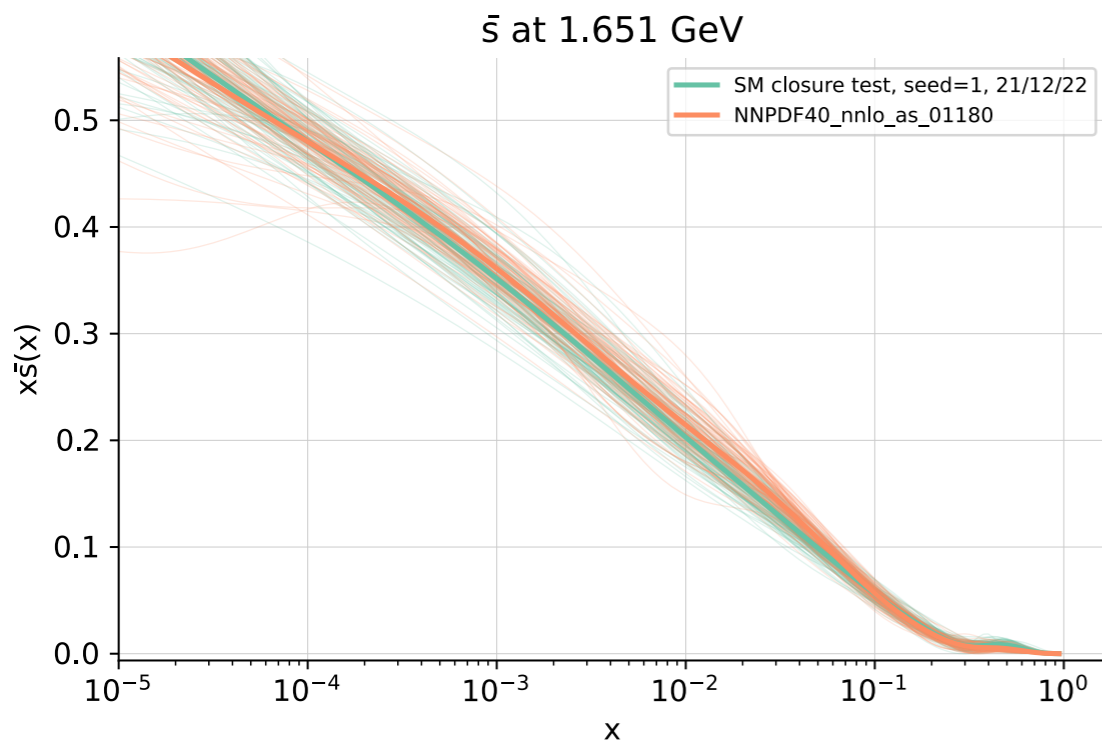
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N pseudodata samples $\{x_i\}$
 $N \sim 1000$

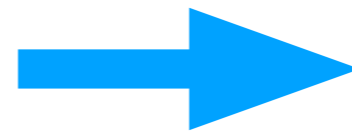
Each sample is a “parallel universe” in which central data has been fluctuated

Final PDF is **the ensemble** of N Neural Networks



Experimental uncertainties are propagated to the PDFs via **Monte Carlo**

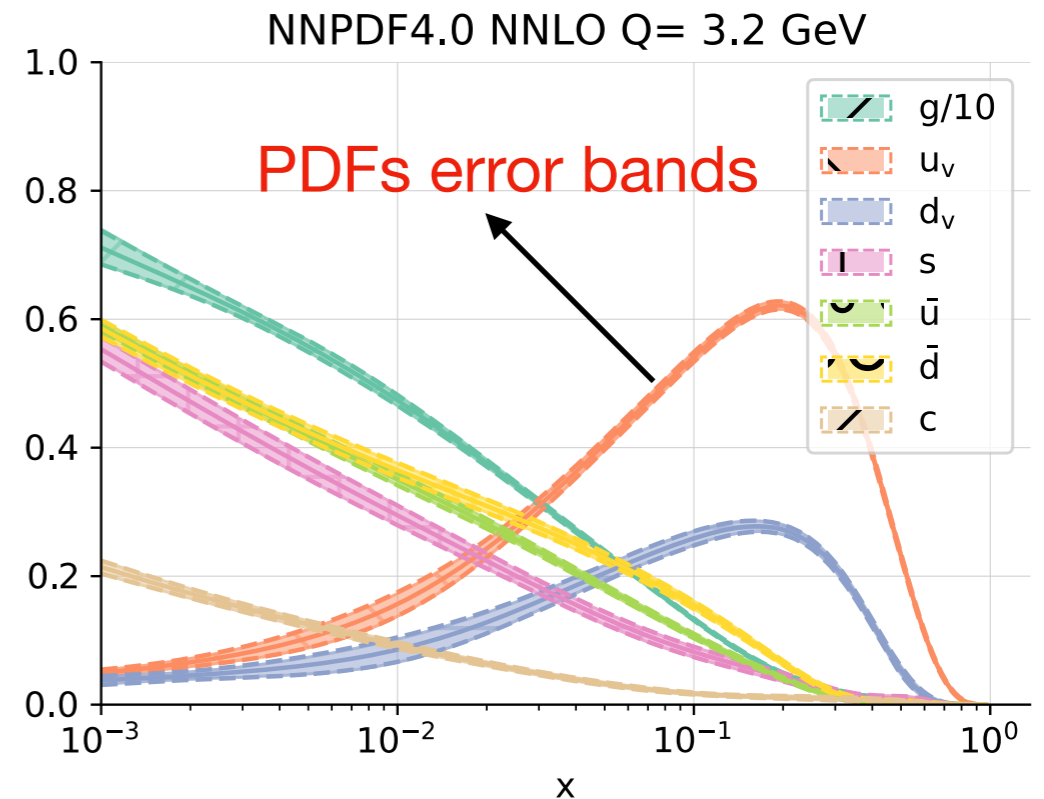
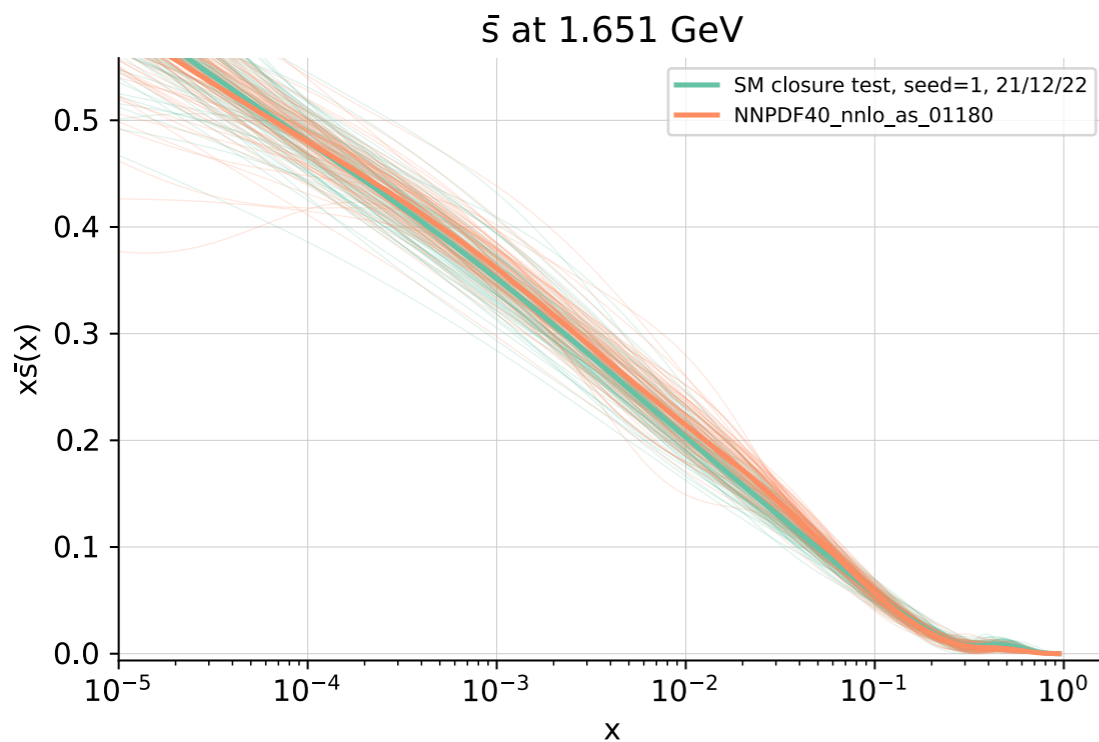
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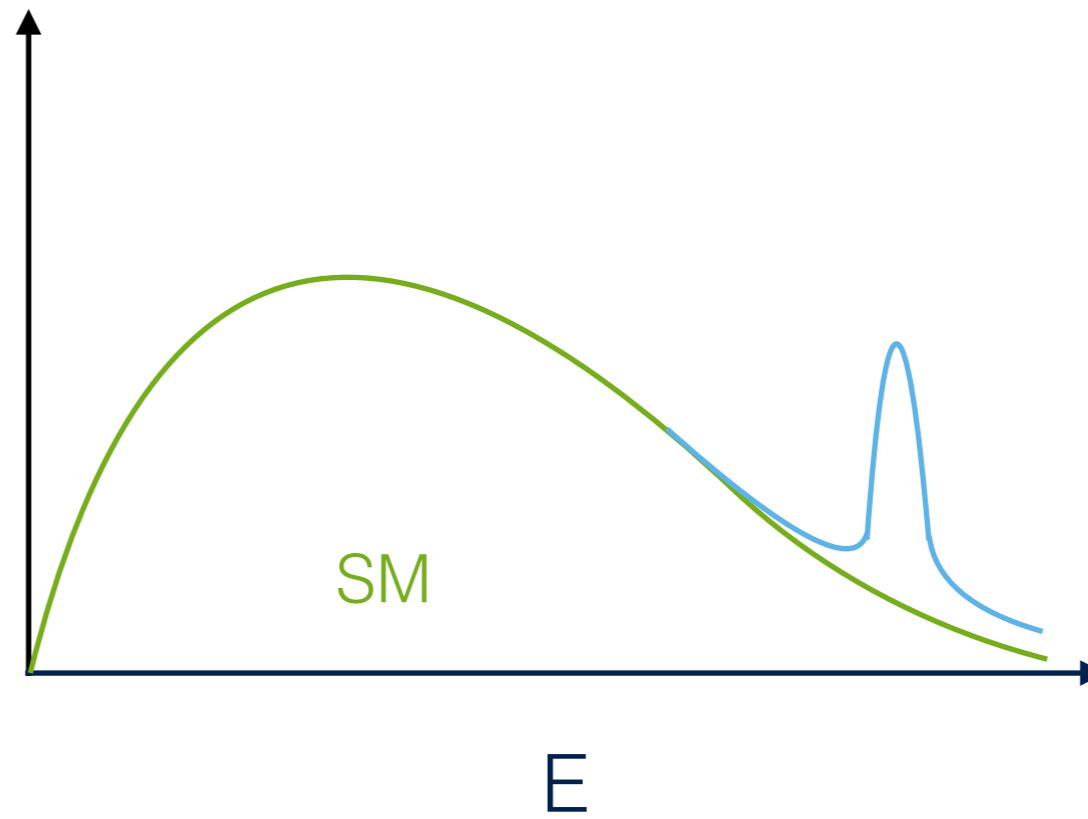
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The Standard Model Effective Field Theory

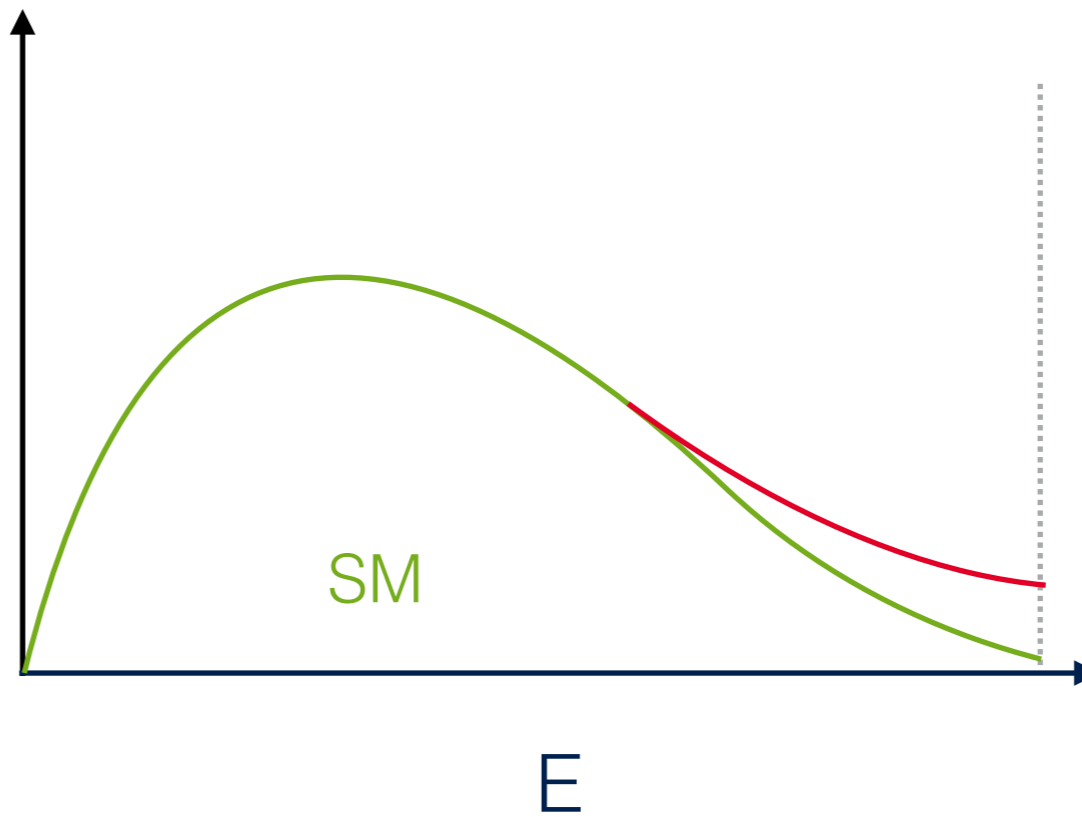


Direct search (Bumps)



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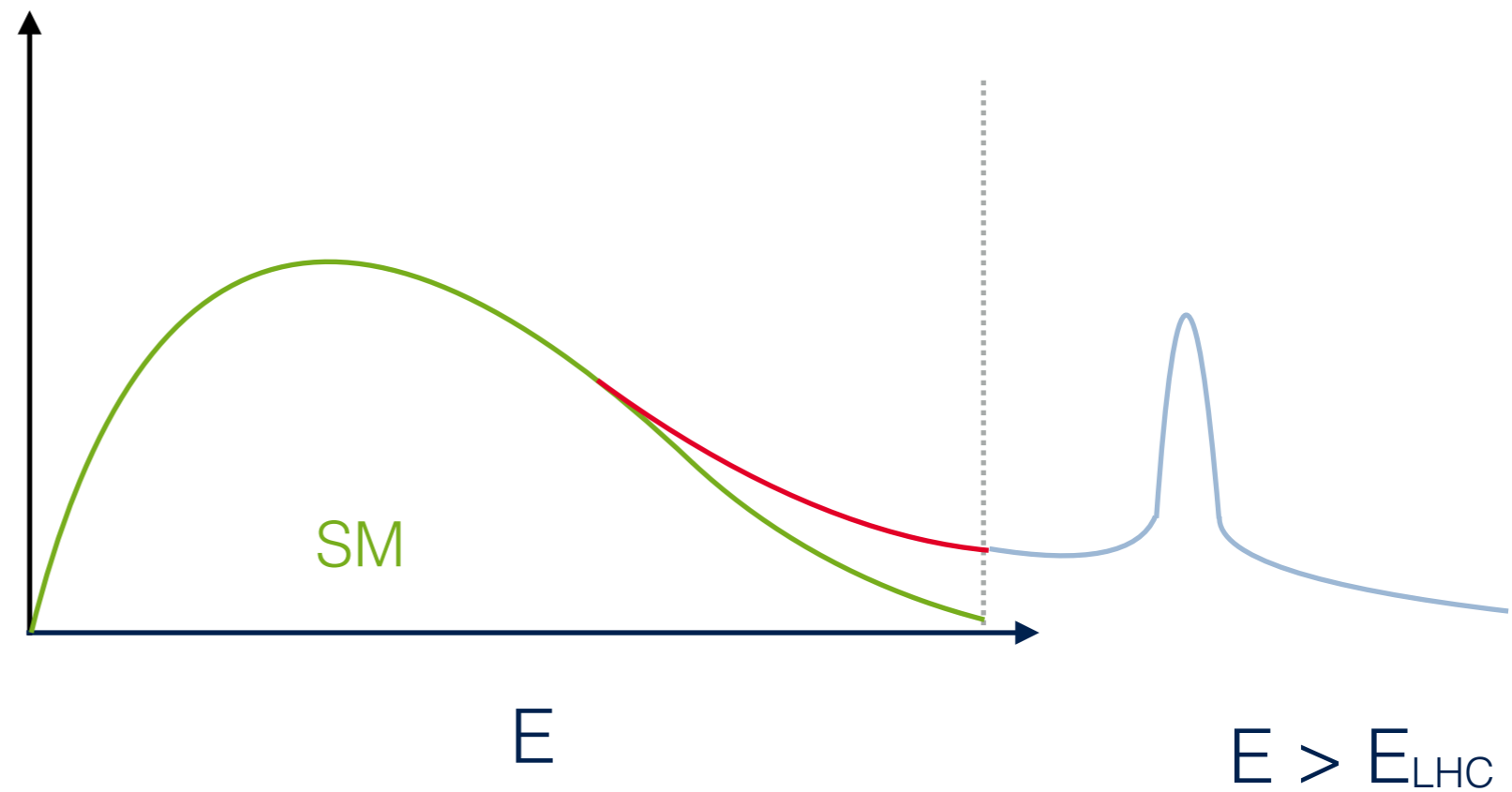
Indirect (scouting tails)



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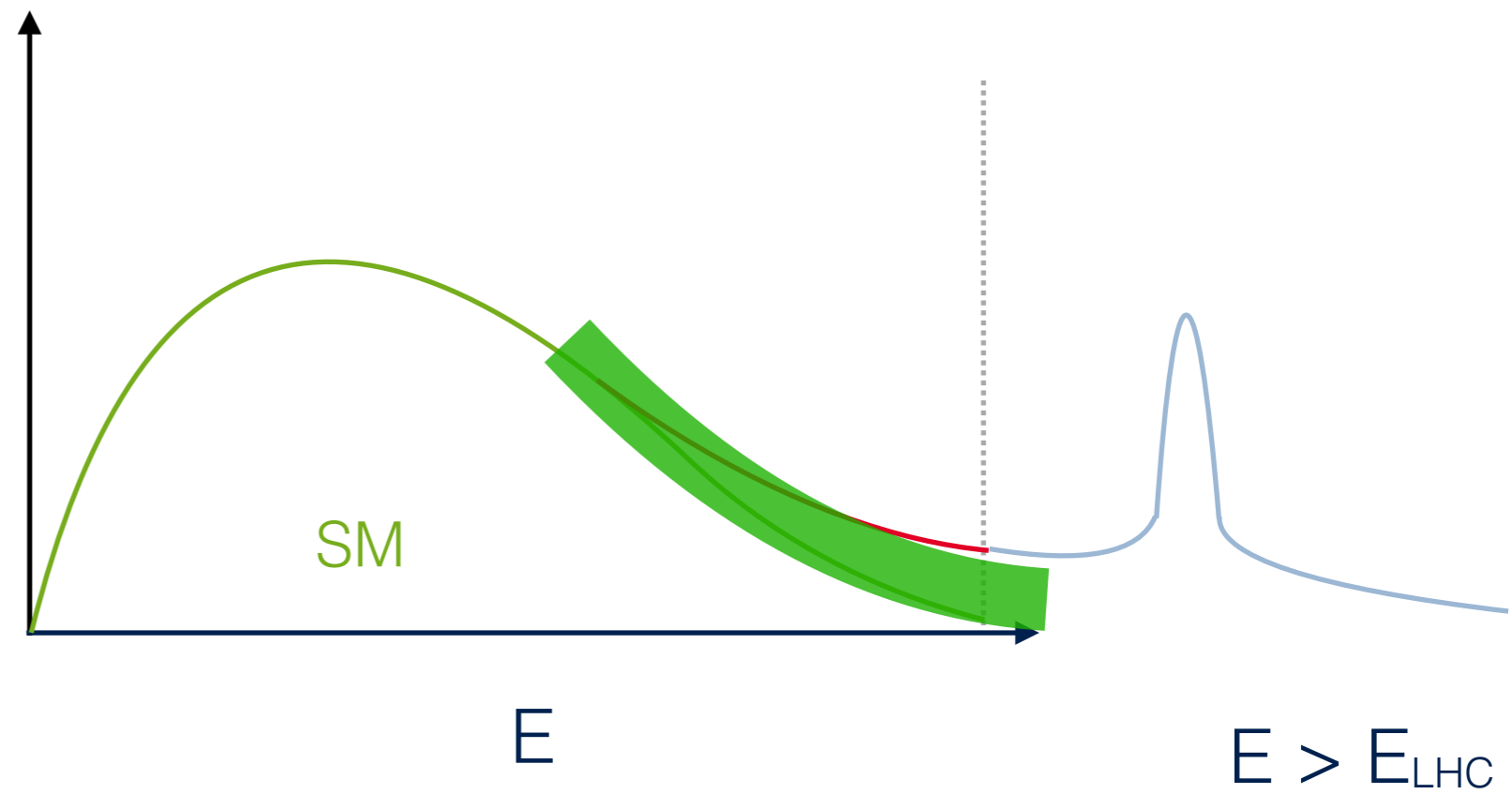
⇒ New physics is heavy



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Indirect (scouting tails)

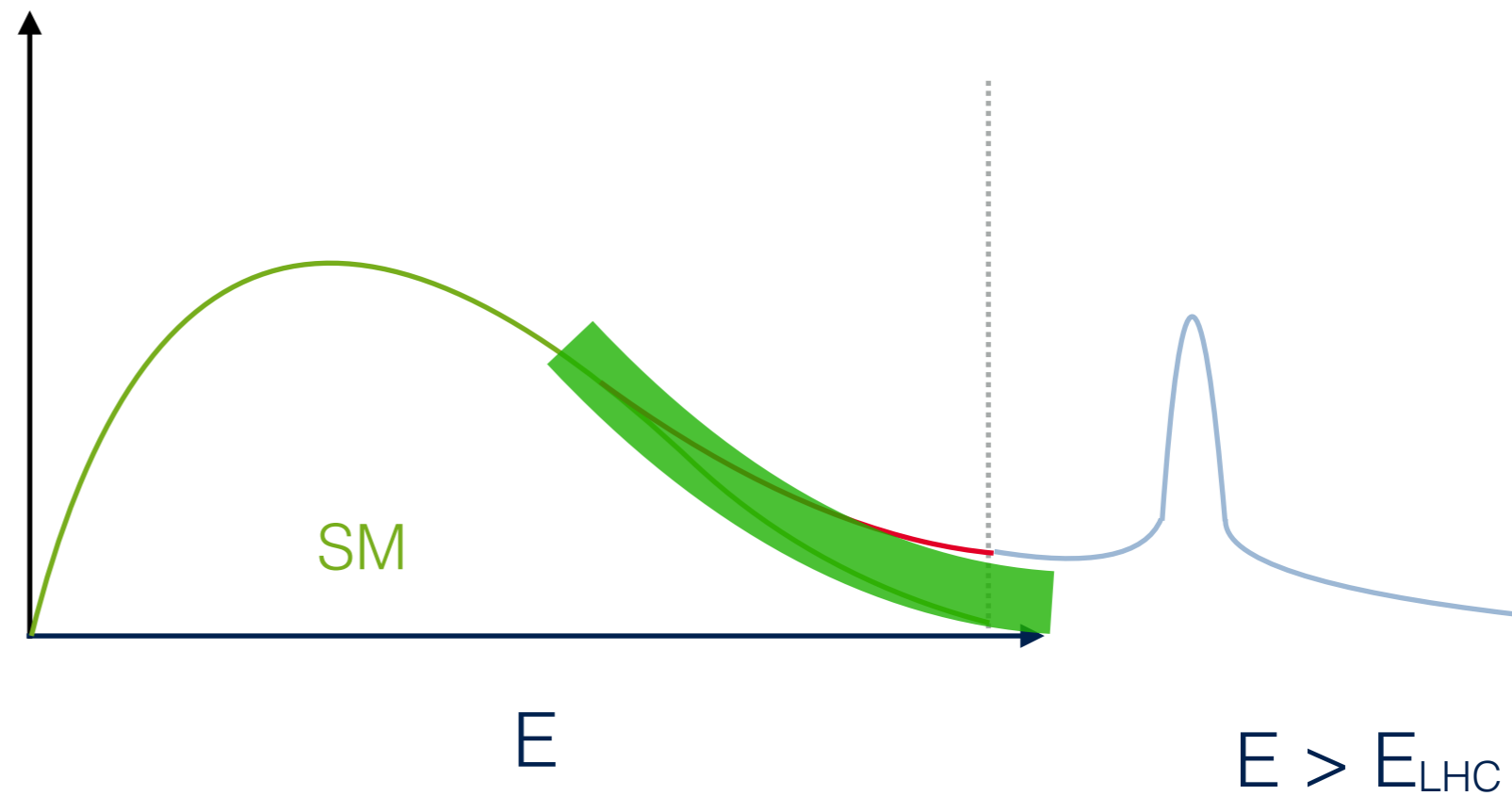
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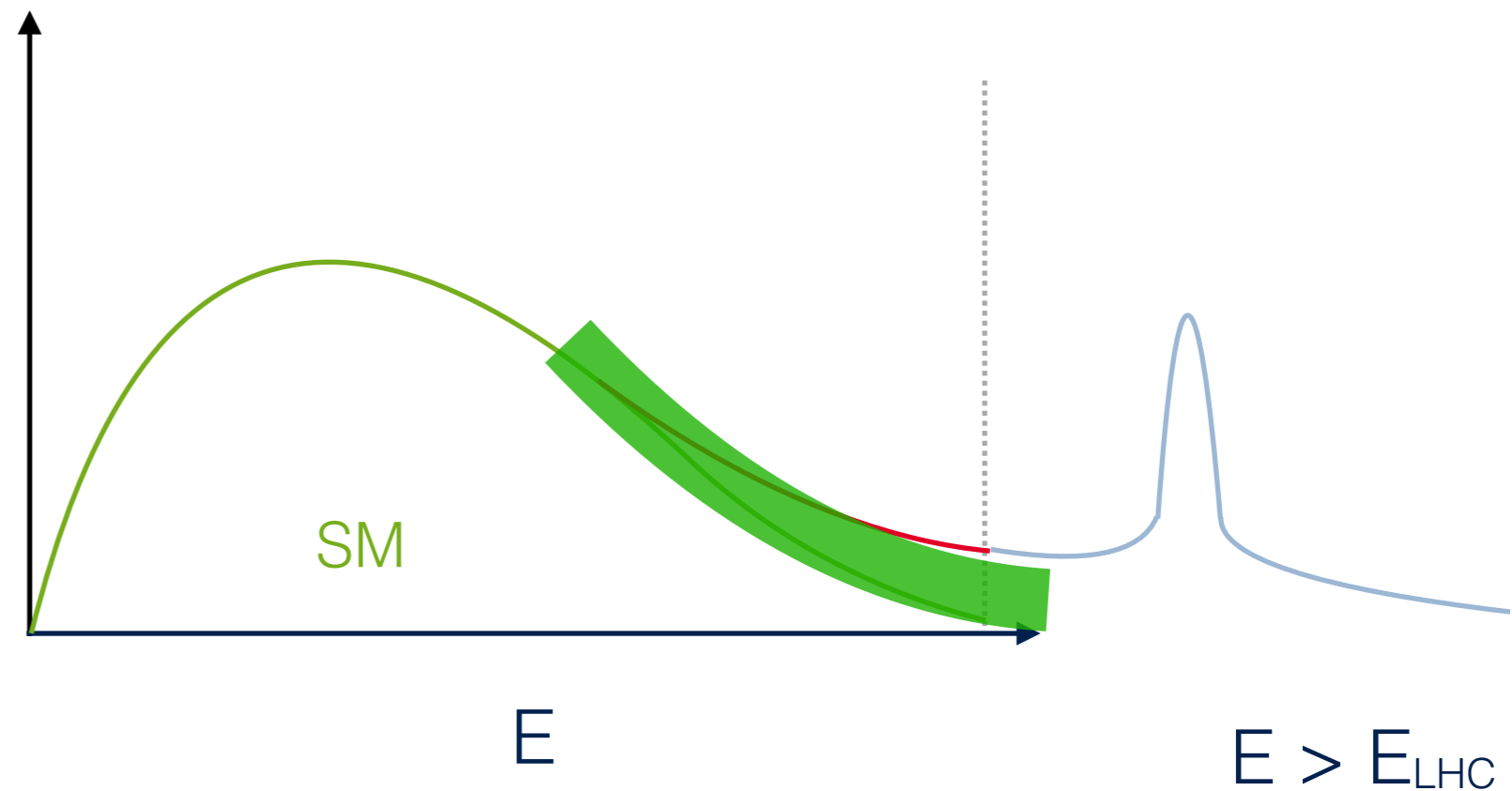


Framework to describe both **precision physics** and **Heavy New Physics**.

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Framework to describe both **precision physics** and **Heavy New Physics**.

Standard Model Effective Field Theory (SMEFT)

$$\mathcal{L} = \mathcal{L}_{SM} + \sum_i \frac{1}{\Lambda} \mathcal{O}_i^5 + \sum_i \frac{1}{\Lambda^2} \mathcal{O}_i^6 + \dots$$

- ❖ **Modified interactions among SM particles**
- ❖ **Higher dimensional operators preserve SM symmetries.**
- ❖ **Mappable to a large class of BSM models.**
- ❖ **Truncate at dim 6: leading corrections**

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Scale of NP

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EFT to-do list

- ❖ **Define target operators: e.g. top-philic EFT** [\[arXiv:1802.07237\]](#)
- ❖ **Find optimal observables to probe them**
- ❖ **Compute with precision theoretical predictions (both SM and EFT)**
- ❖ **Make accurate measurements**

59 operators flavour universal

2499 operators flavour general

X^3		φ^6 and $\varphi^4 D^2$		$\psi^2 \varphi^3$	
Q_G	$f^{ABC} G_\mu^{A\nu} G_\nu^{B\rho} G_\rho^{C\mu}$	Q_φ	$(\varphi^\dagger \varphi)^3$	$Q_{e\varphi}$	$(\varphi^\dagger \varphi)(\bar{l}_p e_r \varphi)$
$Q_{\tilde{G}}$	$f^{ABC} \tilde{G}_\mu^{A\nu} G_\nu^{B\rho} G_\rho^{C\mu}$	$Q_{\varphi\Box}$	$(\varphi^\dagger \varphi)\Box(\varphi^\dagger \varphi)$	$Q_{u\varphi}$	$(\varphi^\dagger \varphi)(\bar{q}_p u_r \tilde{\varphi})$
Q_W	$\varepsilon^{IJK} W_\mu^{I\nu} W_\nu^{J\rho} W_\rho^{K\mu}$	$Q_{\varphi D}$	$(\varphi^\dagger D^\mu \varphi)^* (\varphi^\dagger D_\mu \varphi)$	$Q_{d\varphi}$	$(\varphi^\dagger \varphi)(\bar{q}_p d_r \varphi)$
$Q_{\tilde{W}}$	$\varepsilon^{IJK} \tilde{W}_\mu^{I\nu} W_\nu^{J\rho} W_\rho^{K\mu}$				
$X^2 \varphi^2$		$\psi^2 X \varphi$		$\psi^2 \varphi^2 D$	
$Q_{\varphi G}$	$\varphi^\dagger \varphi G_{\mu\nu}^A G^{A\mu\nu}$	Q_{eW}	$(\bar{l}_p \sigma^{\mu\nu} e_r) \tau^I \varphi W_{\mu\nu}^I$	$Q_{\varphi l}^{(1)}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{l}_p \gamma^\mu l_r)$
$Q_{\varphi \tilde{G}}$	$\varphi^\dagger \varphi \tilde{G}_{\mu\nu}^A G^{A\mu\nu}$	Q_{eB}	$(\bar{l}_p \sigma^{\mu\nu} e_r) \varphi B_{\mu\nu}$	$Q_{\varphi l}^{(3)}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu^I \varphi)(\bar{l}_p \tau^I \gamma^\mu l_r)$
$Q_{\varphi W}$	$\varphi^\dagger \varphi W_{\mu\nu}^I W^{I\mu\nu}$	Q_{uG}	$(\bar{q}_p \sigma^{\mu\nu} T^A u_r) \tilde{\varphi} G_{\mu\nu}^A$	$Q_{\varphi e}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{e}_p \gamma^\mu e_r)$
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$Q_{\varphi B}$	$\varphi^\dagger \varphi B_{\mu\nu} B^{\mu\nu}$	Q_{uB}	$(\bar{q}_p \sigma^{\mu\nu} u_r) \tilde{\varphi} B_{\mu\nu}$	$Q_{\varphi q}^{(3)}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu^I \varphi)(\bar{q}_p \tau^I \gamma^\mu q_r)$
$Q_{\varphi \tilde{B}}$	$\varphi^\dagger \varphi \tilde{B}_{\mu\nu} B^{\mu\nu}$	Q_{dG}	$(\bar{q}_p \sigma^{\mu\nu} T^A d_r) \varphi G_{\mu\nu}^A$	$Q_{\varphi u}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{u}_p \gamma^\mu u_r)$
$Q_{\varphi WB}$	$\varphi^\dagger \tau^I \varphi W_{\mu\nu}^I B^{\mu\nu}$	Q_{dW}	$(\bar{q}_p \sigma^{\mu\nu} d_r) \tau^I \varphi W_{\mu\nu}^I$	$Q_{\varphi d}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{d}_p \gamma^\mu d_r)$
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$(\bar{L}L)(\bar{L}L)$		$(\bar{R}R)(\bar{R}R)$		$(\bar{L}L)(\bar{R}R)$	
Q_{ll}	$(\bar{l}_p \gamma_\mu l_r)(\bar{l}_s \gamma^\mu l_t)$	Q_{ee}	$(\bar{e}_p \gamma_\mu e_r)(\bar{e}_s \gamma^\mu e_t)$	Q_{le}	$(\bar{l}_p \gamma_\mu l_r)(\bar{e}_s \gamma^\mu e_t)$
$Q_{qq}^{(1)}$	$(\bar{q}_p \gamma_\mu q_r)(\bar{q}_s \gamma^\mu q_t)$	Q_{uu}	$(\bar{u}_p \gamma_\mu u_r)(\bar{u}_s \gamma^\mu u_t)$	Q_{lu}	$(\bar{l}_p \gamma_\mu l_r)(\bar{u}_s \gamma^\mu u_t)$
$Q_{qq}^{(3)}$	$(\bar{q}_p \gamma_\mu \tau^I q_r)(\bar{q}_s \gamma^\mu \tau^I q_t)$	Q_{dd}	$(\bar{d}_p \gamma_\mu d_r)(\bar{d}_s \gamma^\mu d_t)$	Q_{ld}	$(\bar{l}_p \gamma_\mu l_r)(\bar{d}_s \gamma^\mu d_t)$
$Q_{lq}^{(1)}$	$(\bar{l}_p \gamma_\mu l_r)(\bar{q}_s \gamma^\mu q_t)$	Q_{eu}	$(\bar{e}_p \gamma_\mu e_r)(\bar{u}_s \gamma^\mu u_t)$	Q_{qe}	$(\bar{q}_p \gamma_\mu q_r)(\bar{e}_s \gamma^\mu e_t)$
$Q_{lq}^{(3)}$	$(\bar{l}_p \gamma_\mu \tau^I l_r)(\bar{q}_s \gamma^\mu \tau^I q_t)$	Q_{ed}	$(\bar{e}_p \gamma_\mu e_r)(\bar{d}_s \gamma^\mu d_t)$	$Q_{qu}^{(1)}$	$(\bar{q}_p \gamma_\mu q_r)(\bar{u}_s \gamma^\mu u_t)$
		$Q_{ud}^{(1)}$	$(\bar{u}_p \gamma_\mu u_r)(\bar{d}_s \gamma^\mu d_t)$	$Q_{qu}^{(8)}$	$(\bar{q}_p \gamma_\mu T^A q_r)(\bar{u}_s \gamma^\mu T^A u_t)$
		$Q_{ud}^{(8)}$	$(\bar{u}_p \gamma_\mu T^A u_r)(\bar{d}_s \gamma^\mu T^A d_t)$	$Q_{qd}^{(1)}$	$(\bar{q}_p \gamma_\mu q_r)(\bar{d}_s \gamma^\mu d_t)$
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Many observables
and final states



Break degeneracies
in parameter space

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NLO-QCD
with **SMEFT@NLO**

Degrande et al,
arXiv:2008.11743

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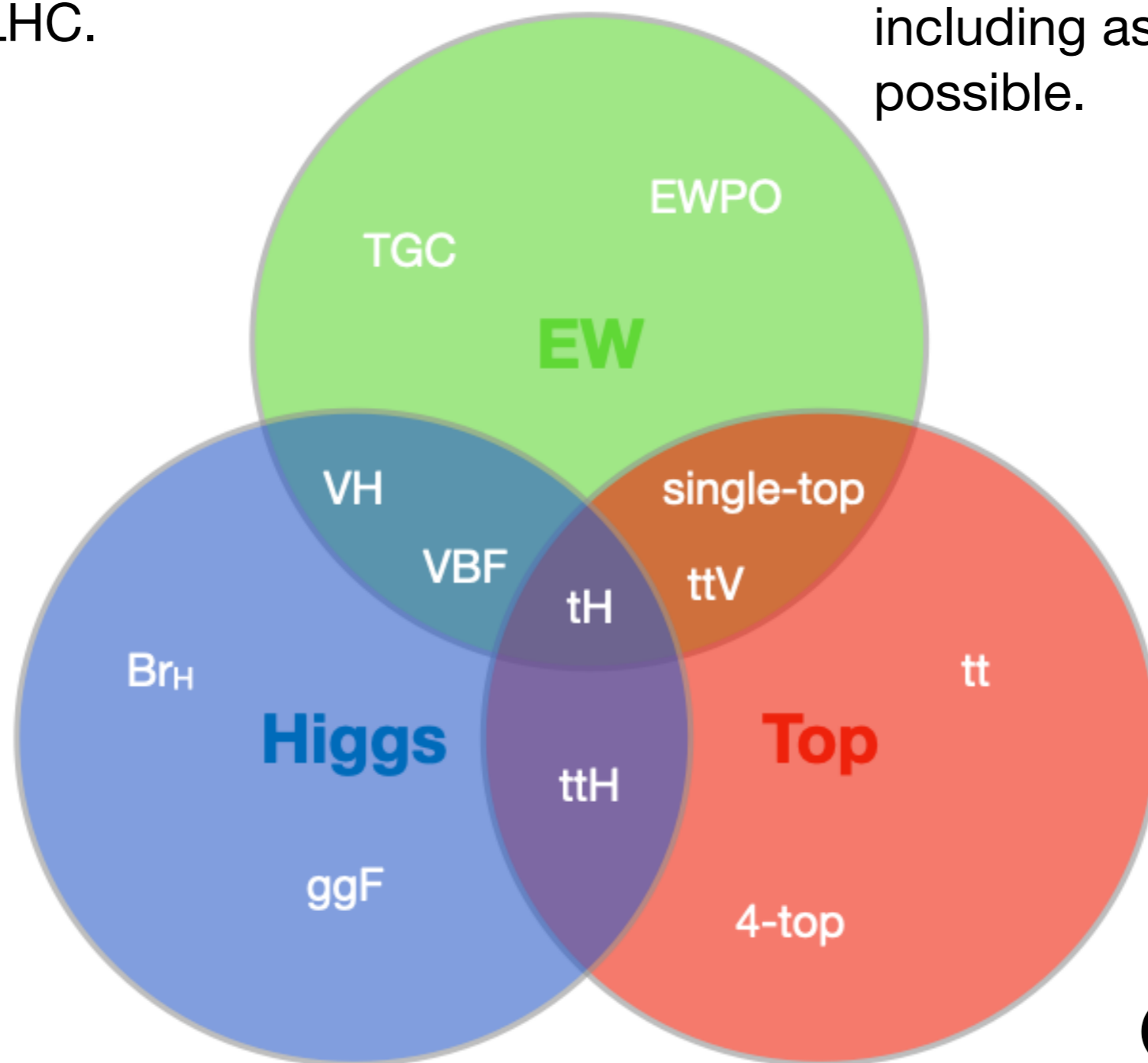
Degrande et al,
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Linear contribution: leading correction

Quadratic contribution: useful information in many instances

The SMEFT framework connects different sectors of observables measured at the LHC.

We can probe the SMEFT by taking a **global approach**, including as many datasets as possible.



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Global SMEFT fits

Higgs, diboson and electroweak precision data

J. Ellis et. al, 1803.03252

E. da Silva Almeida et. al, 1812.01009

A. Biekötter et. al, 1812.07587

A. Falkowski et. al, 1911.07866

I. Brivio et. al, 1910.03606:

N. Hartland et. al, 1901.05965:

+ many others....

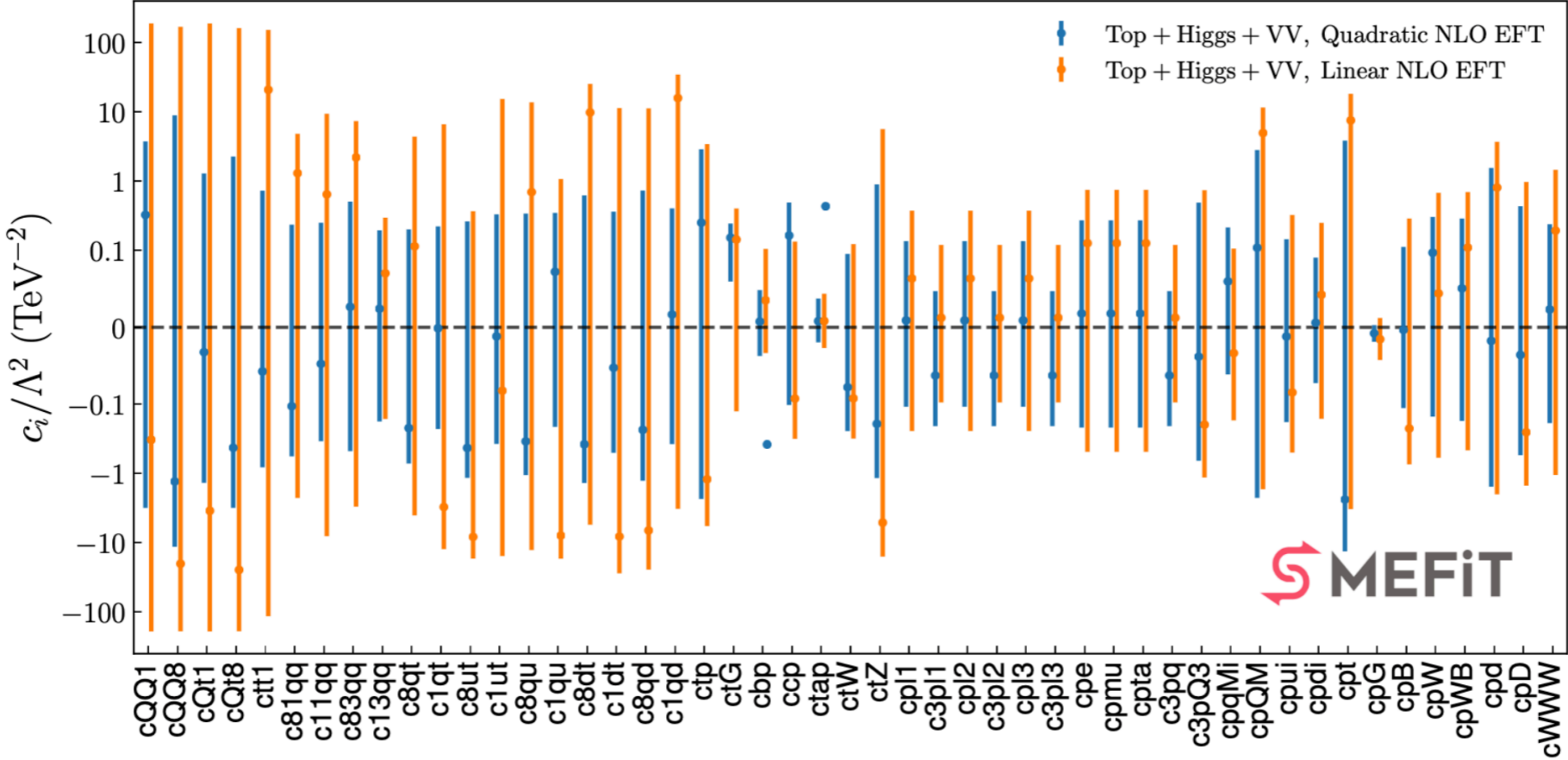
Top data

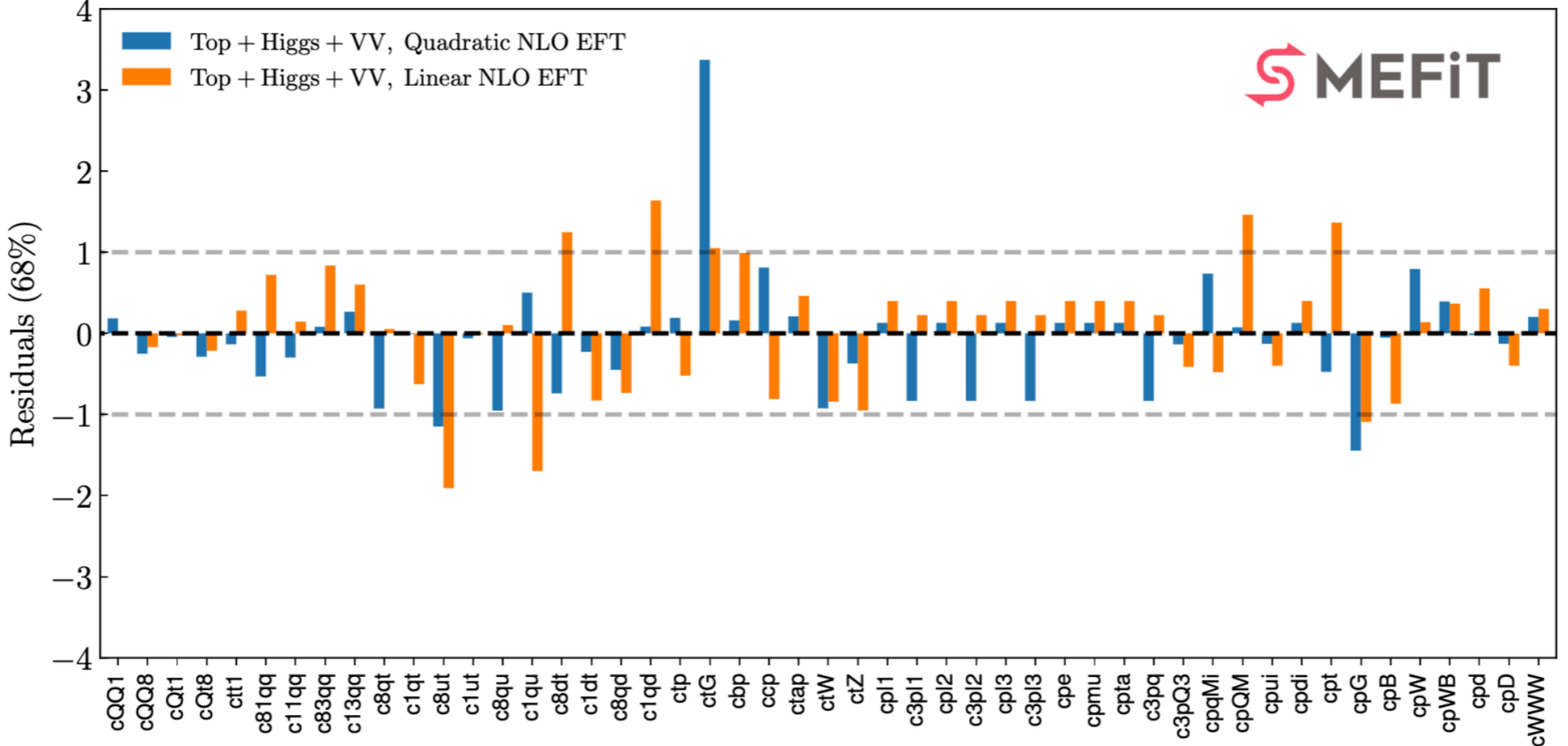
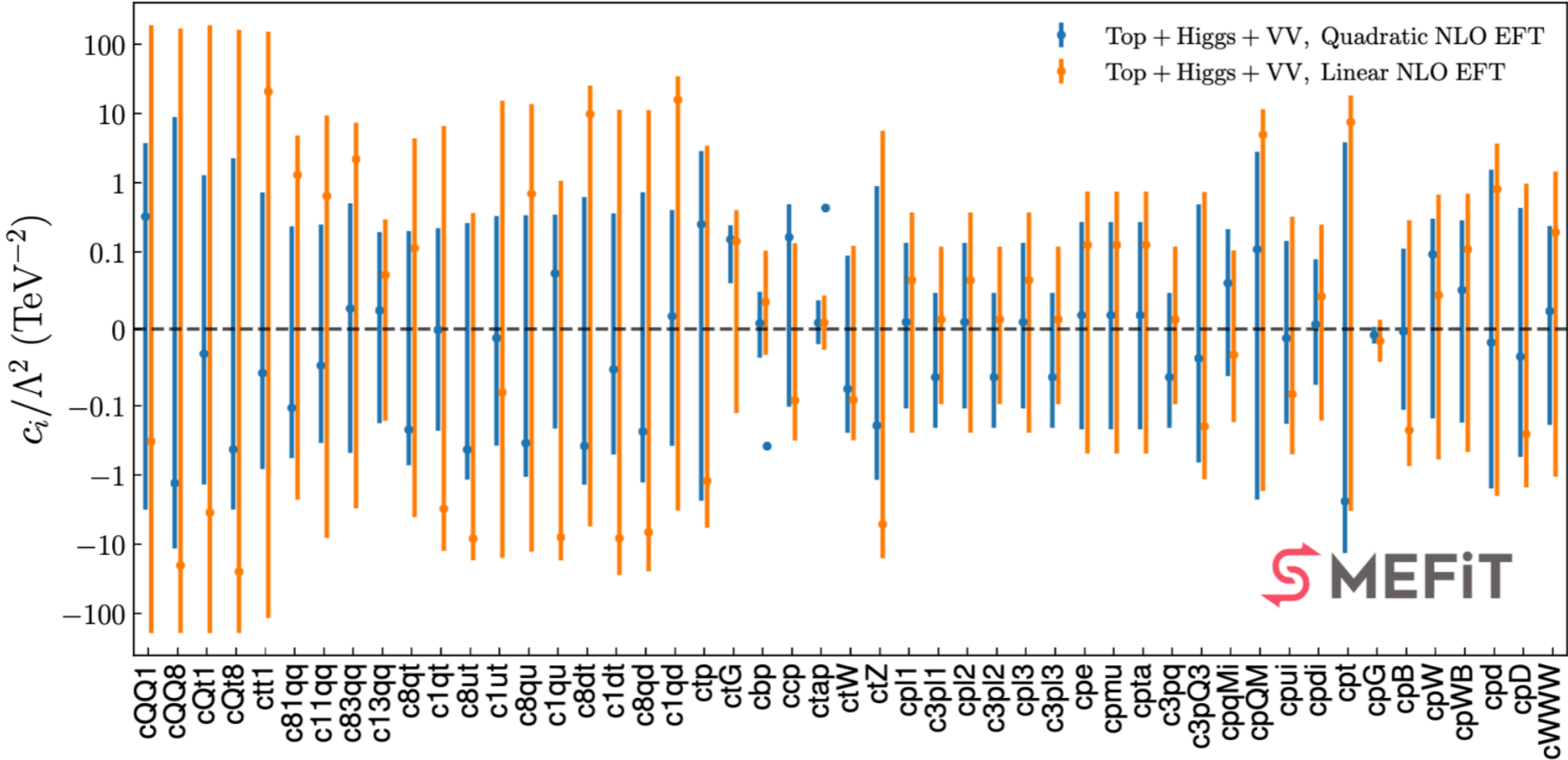
Higgs, diboson and top data

J. Ethier et. al, 2105.00006

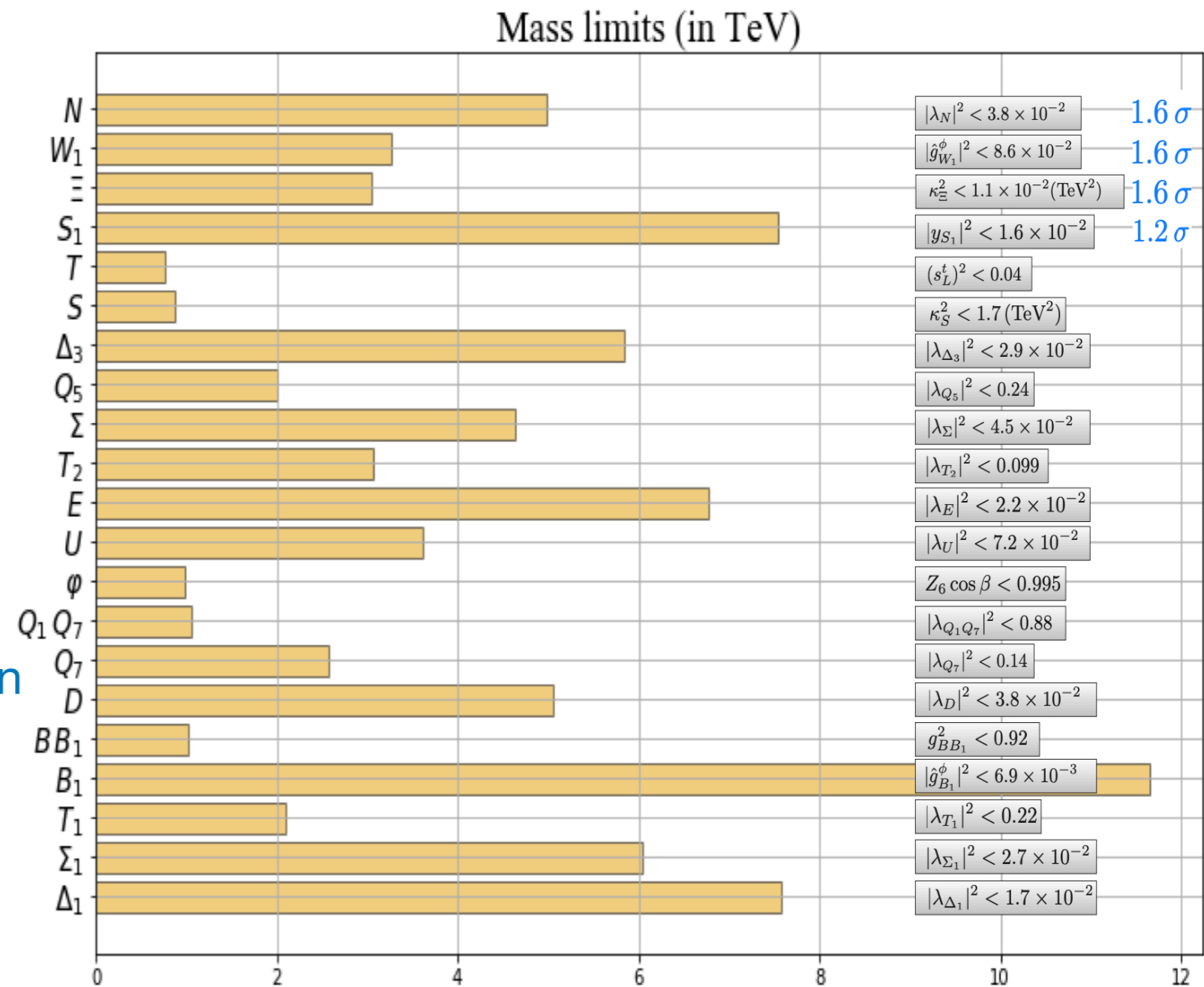
Higgs, diboson, top and electroweak precision data

J. Ellis et. al, 2012.02779





- ❖ Fits can be interpreted in **UV completion** models
- ❖ Bounds on coefficient translate on bounds on **mass or couplings**
- ❖ Simple case: **single field extension**



Ellis et al: arXiv:2012.02779

The SMEFT proton



Process	n_{dat}	$\chi_{\text{exp+th}}^2$ [SM]	$\chi_{\text{exp+th}}^2$ [SMEFT $\mathcal{O}(\Lambda^{-2})$]	$\chi_{\text{exp+th}}^2$ [SMEFT $\mathcal{O}(\Lambda^{-4})$]
$t\bar{t}$	86	1.71	1.11	1.69
$t\bar{t}$ AC	18	0.58	0.50	0.60
W helicities	4	0.71	0.45	0.47
$t\bar{t}Z$	12	1.19	1.17	0.94
$t\bar{t}W$	4	1.71	0.46	1.66
$t\bar{t}\gamma$	2	0.47	0.03	0.59
$t\bar{t}t\bar{t}$ & $t\bar{t}b\bar{b}$	8	1.32	1.06	0.49
single top	30	0.504	0.33	0.37
tW	6	1.00	0.82	0.82
tZ	5	0.45	0.30	0.31
Total	175	1.24	0.84	1.14

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For a quadratic fit, χ^2 improves only mildly

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**Model is less flexible and
unable to accomodate
deviations**

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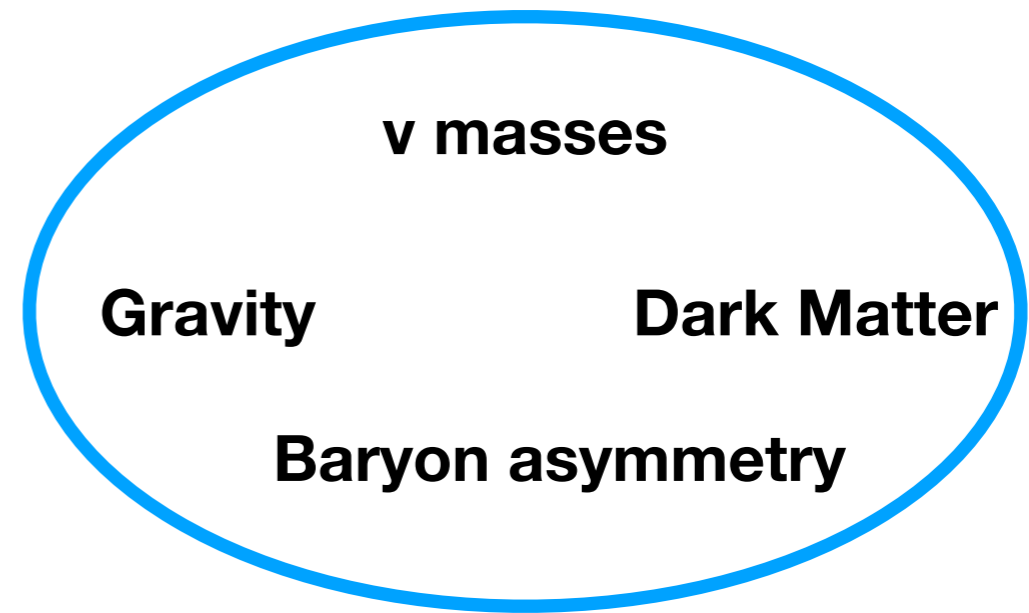
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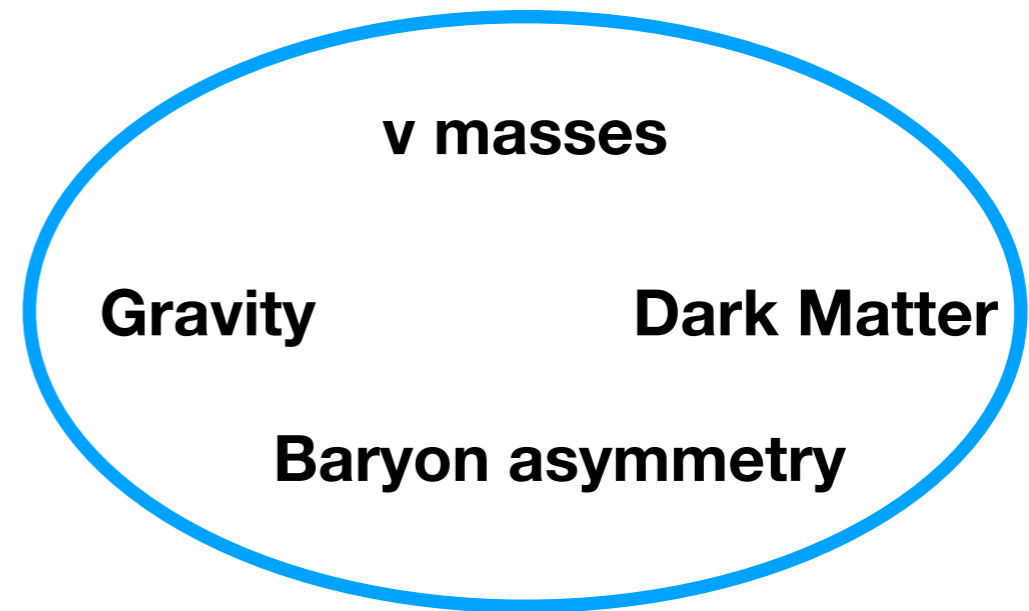
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Could we be absorbing signs of new physics into the PDFs?

The SM does not explain everything.

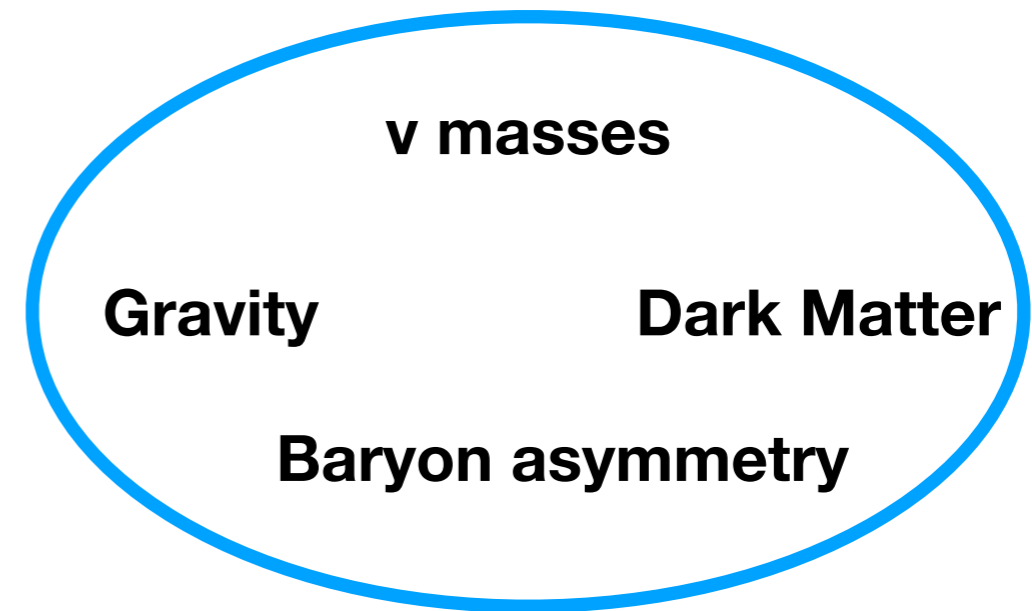


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v masses
Gravity **Dark Matter**
Baryon asymmetry

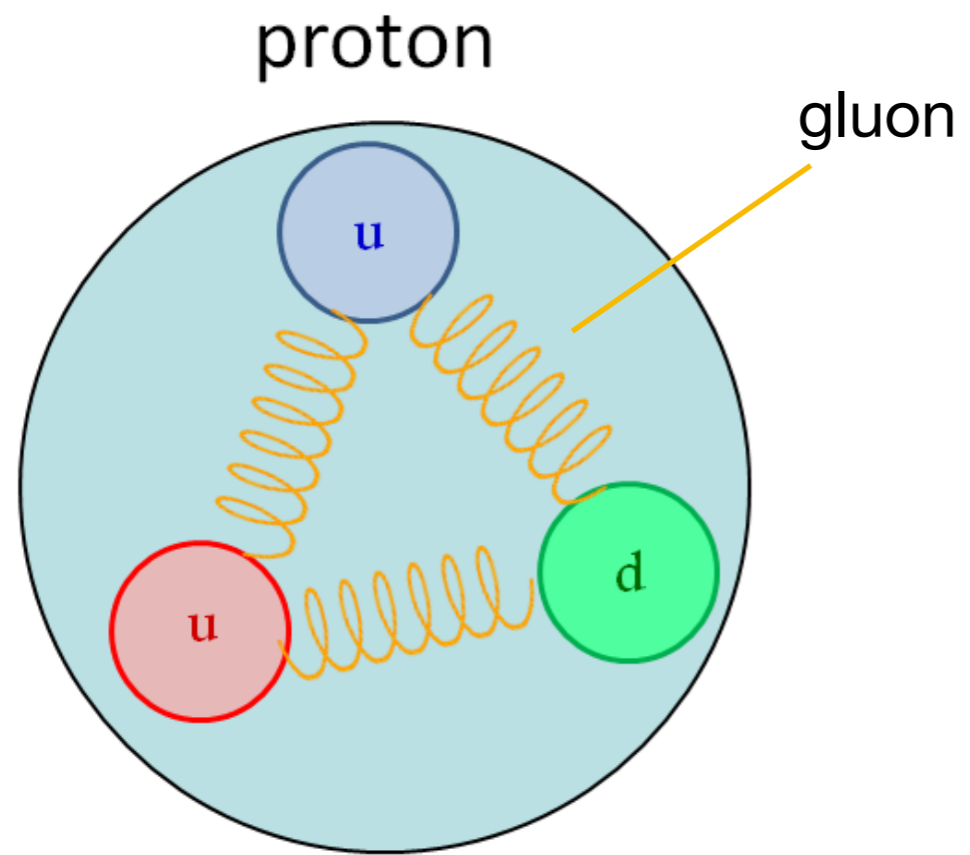
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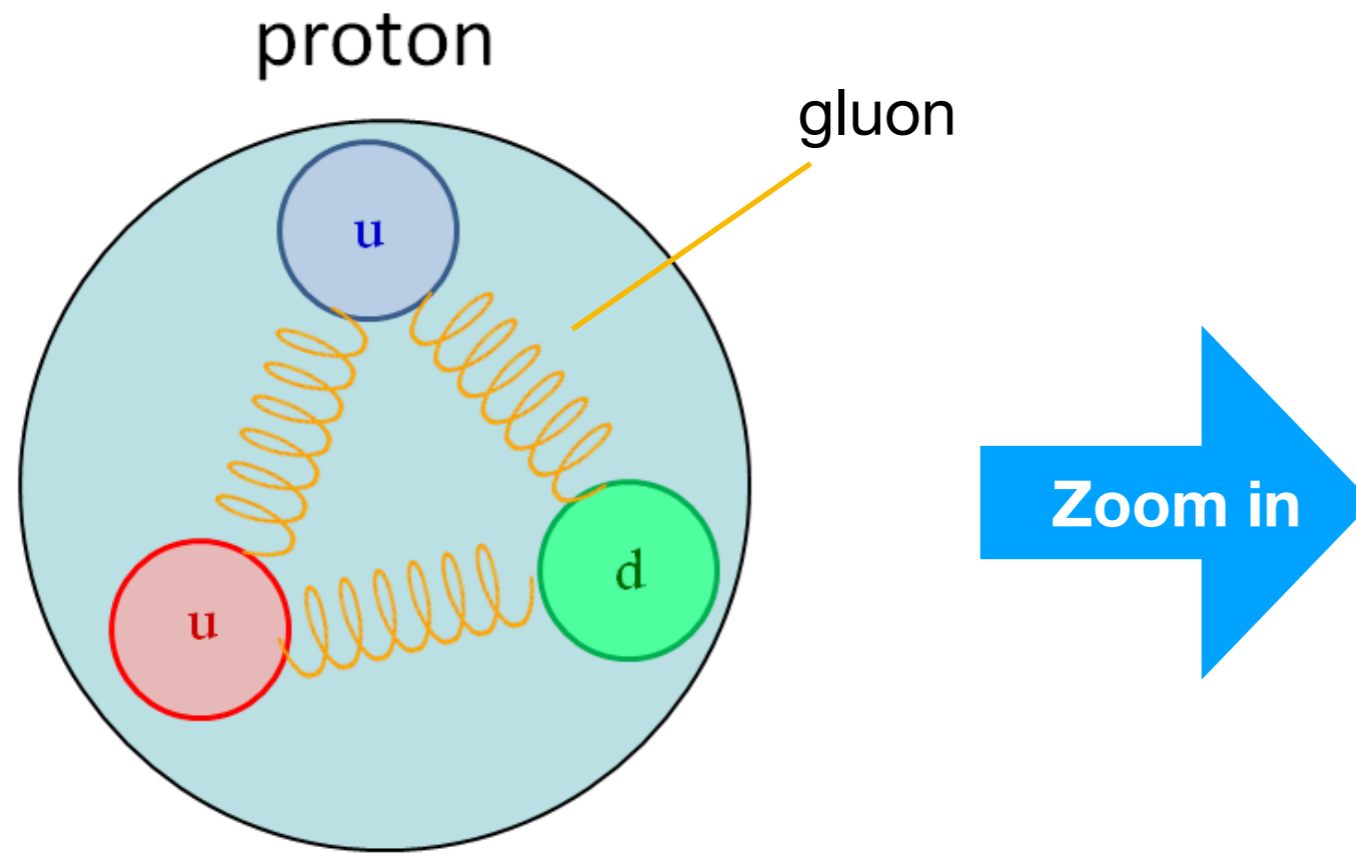
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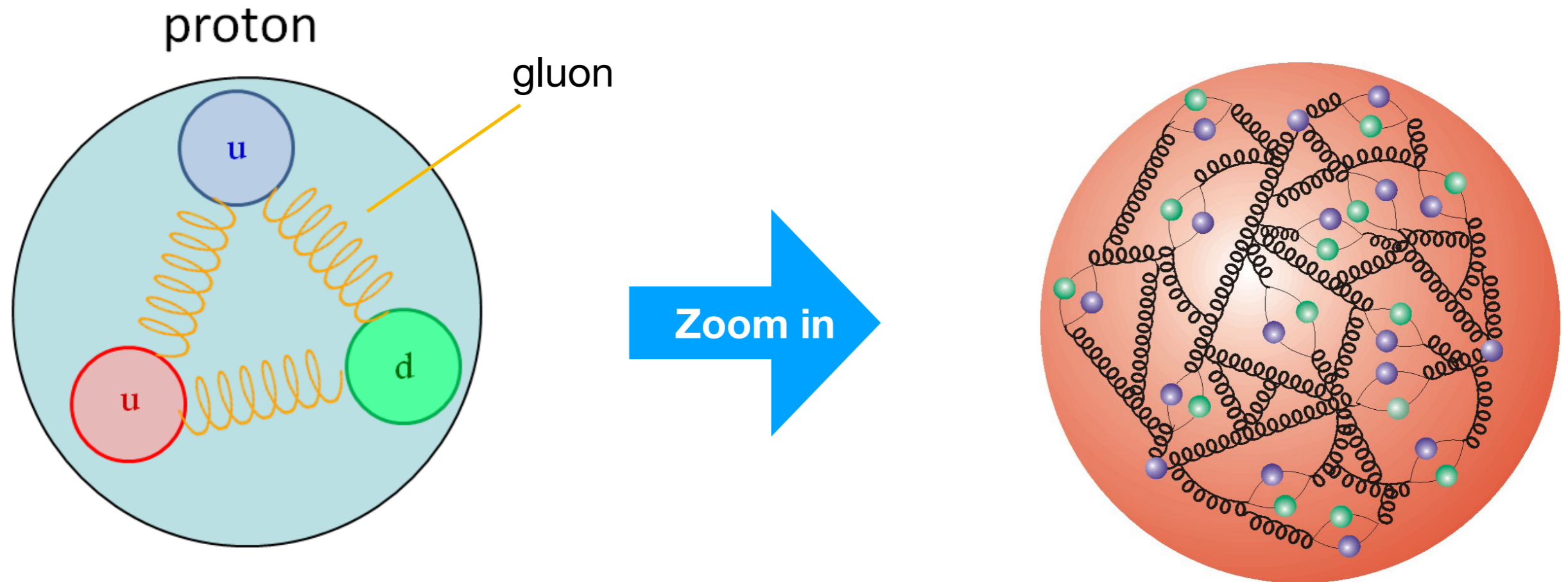


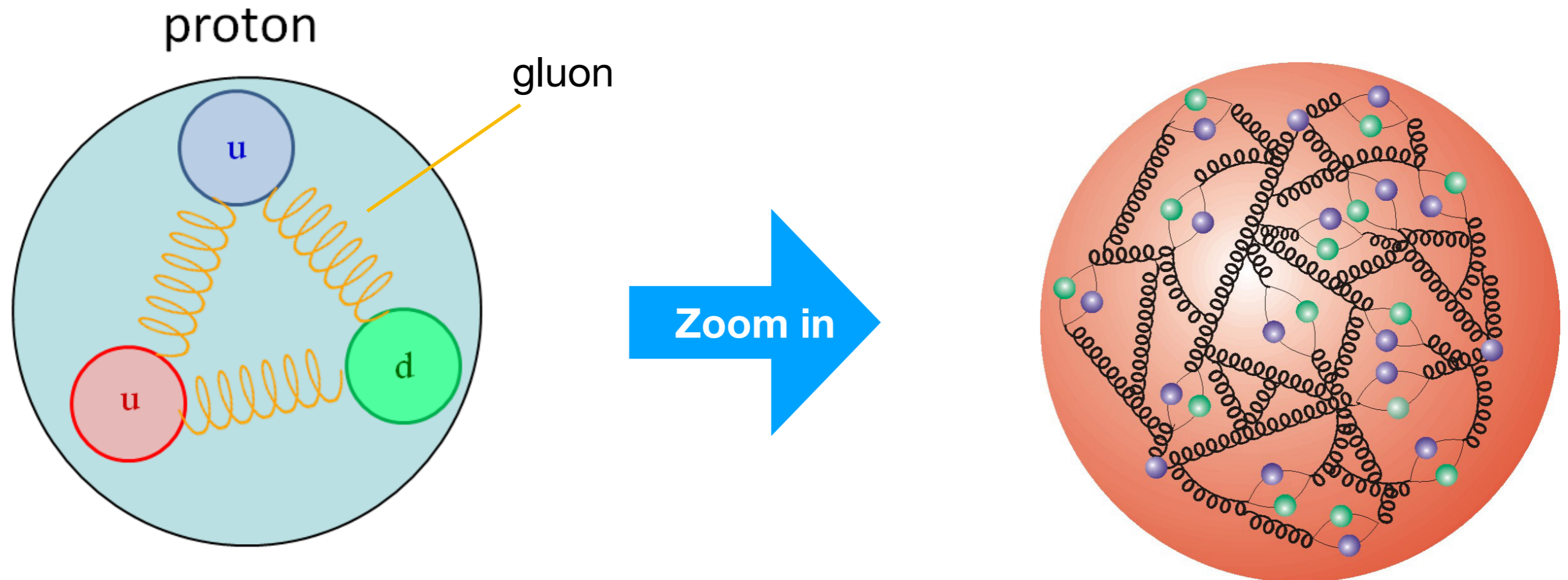
Where do we go from here?





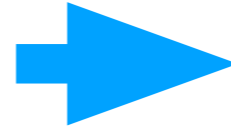




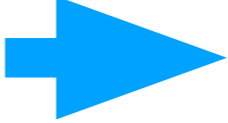


There is **A LOT** of dynamics inside a proton!

LHC operations started around 2010

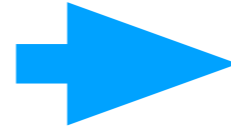


(16 zeros)
1000000000000000000 proton collisions!!

LHC operations started around 2010  (16 zeros)
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No clear sign of new particles so far...

LHC operations started around 2010

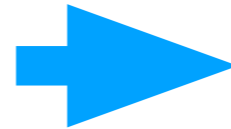


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Not enough **energy?**

LHC operations started around 2010

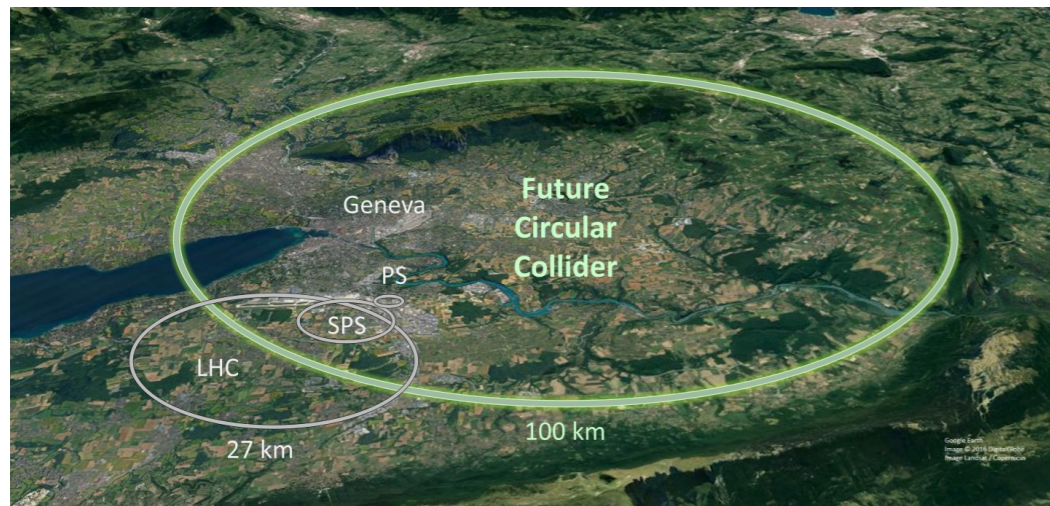


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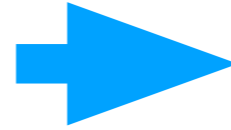
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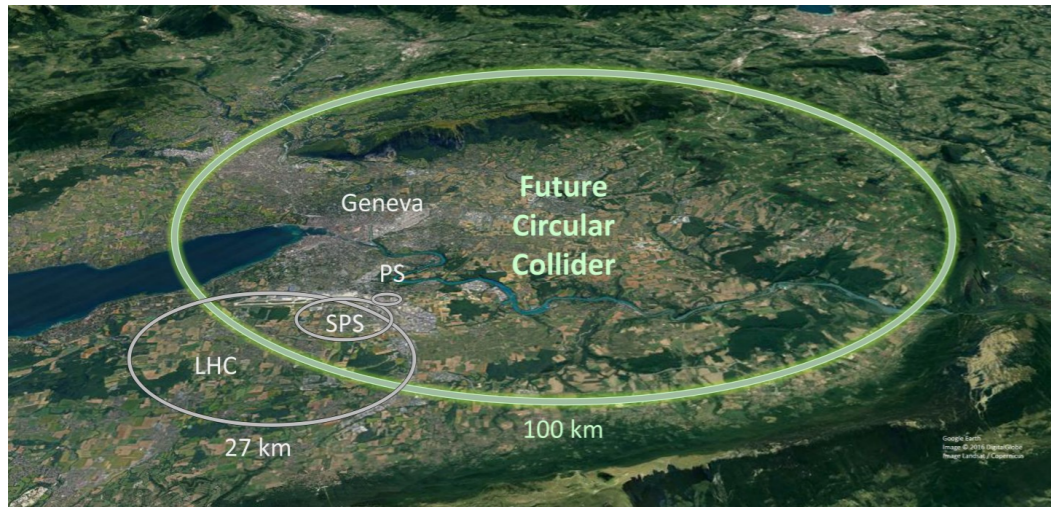


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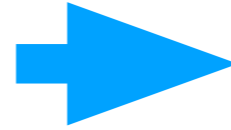
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Many years to wait...
We are impatient

LHC operations started around 2010



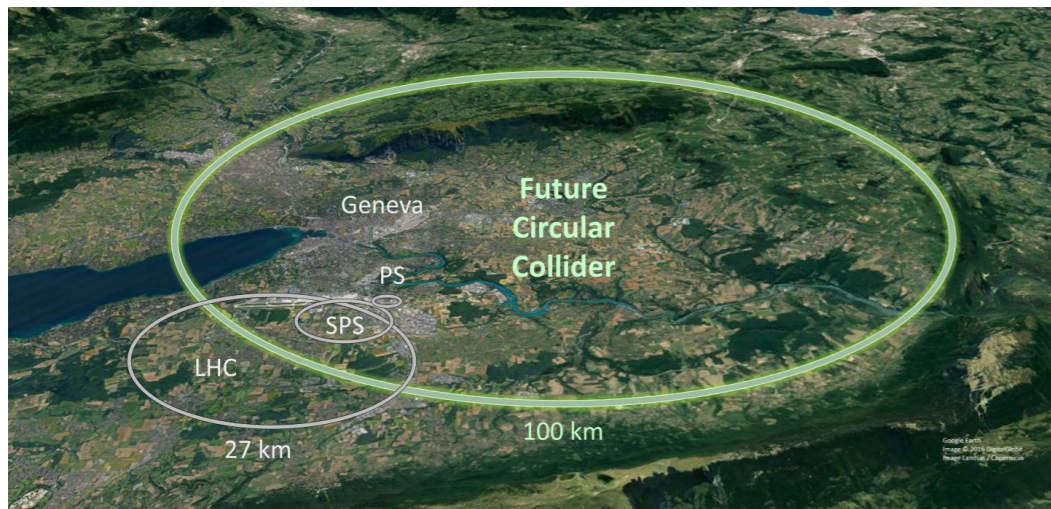
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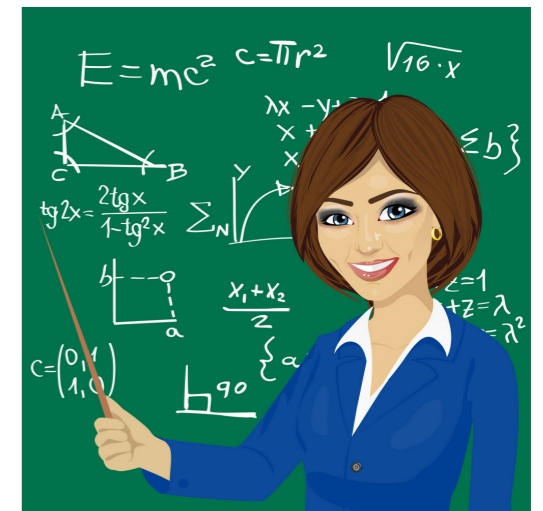
Precision



**Many years to wait...
We are impatient**

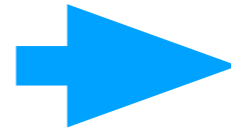


Precise measurements



Accurate calculations

LHC operations started around 2010



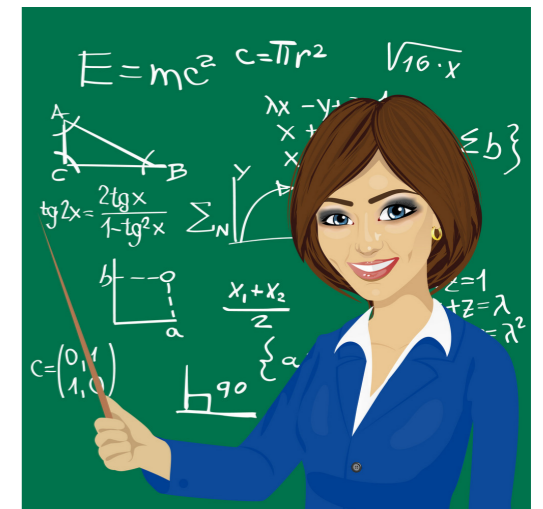
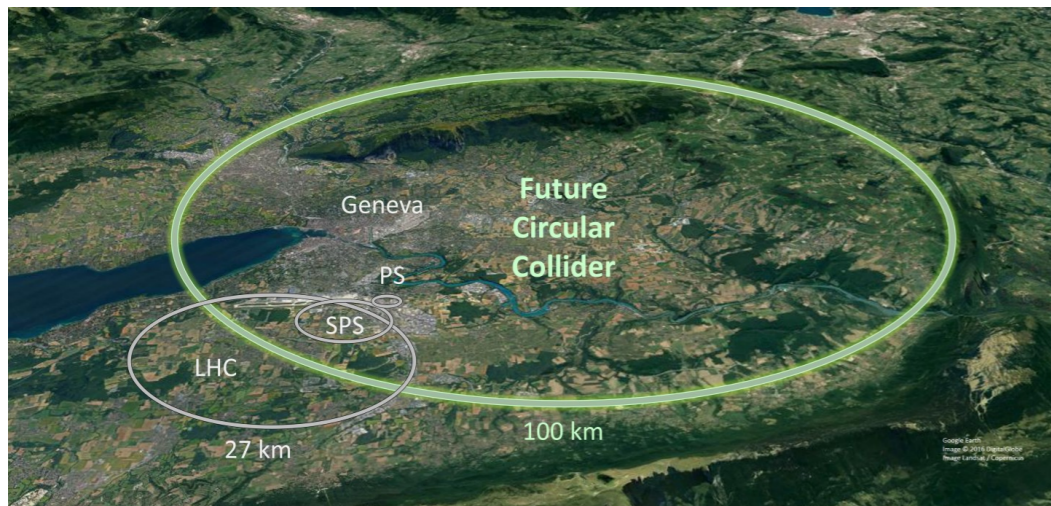
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Precision



Precise measurements

Accurate calculations

**Many years to wait...
We are impatient**

Indirect discovery!

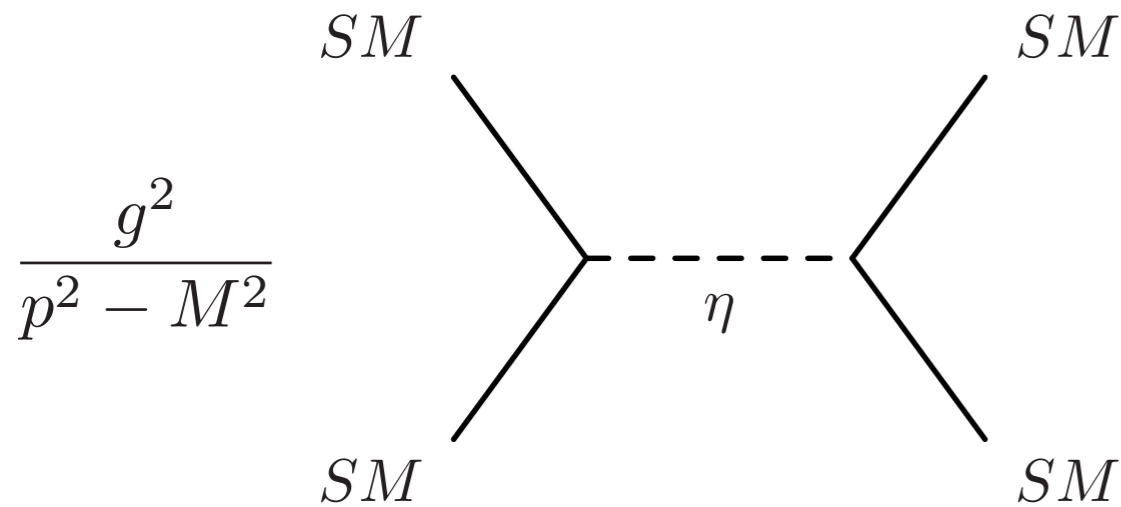
How can we describe the presence of **new interactions**?

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New particles being exchanged in collisions

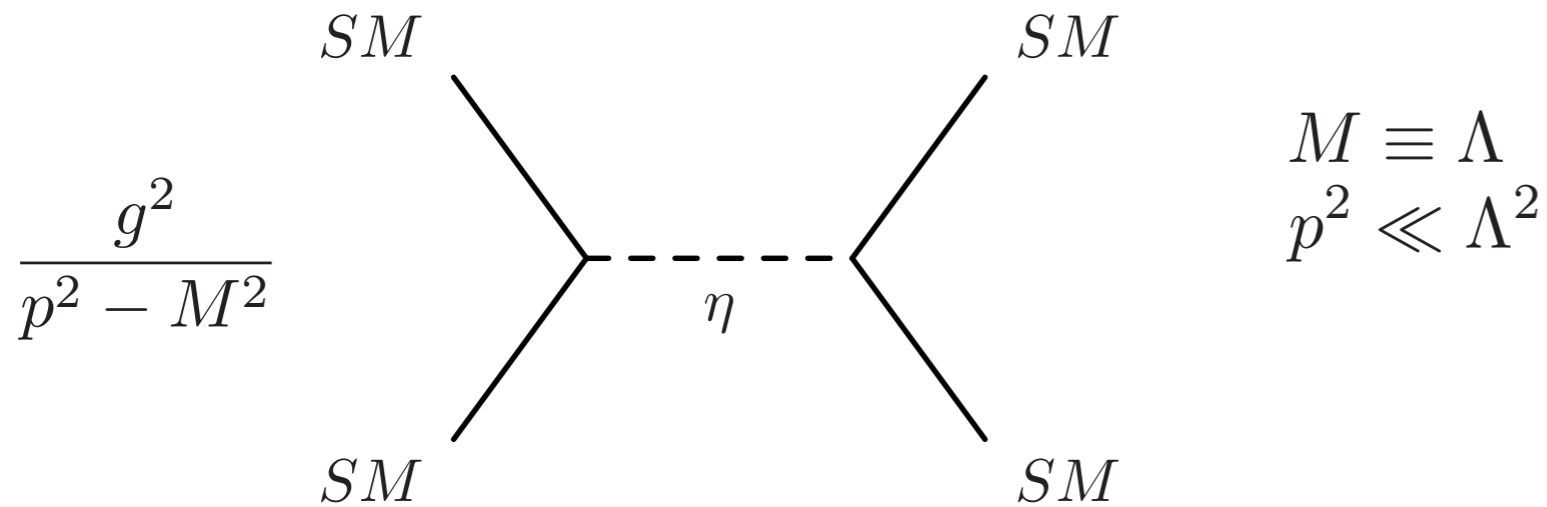
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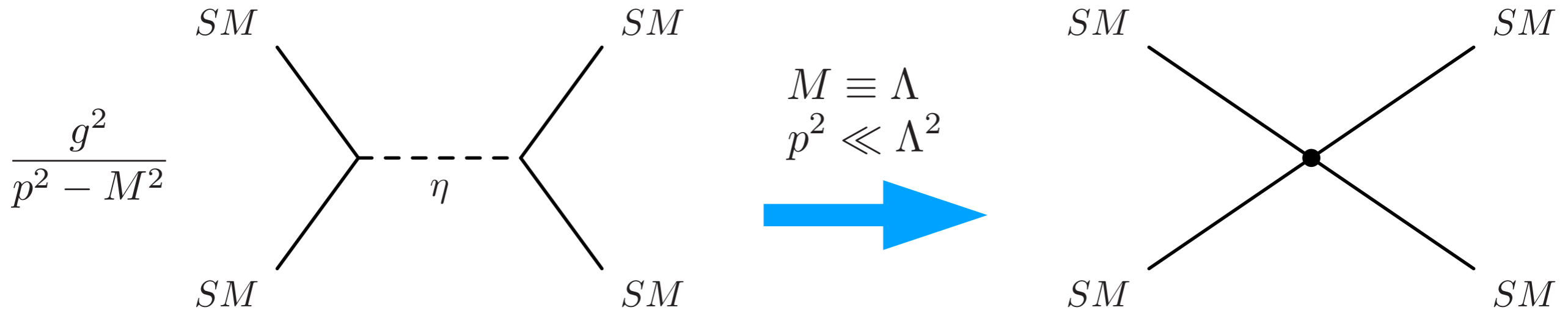
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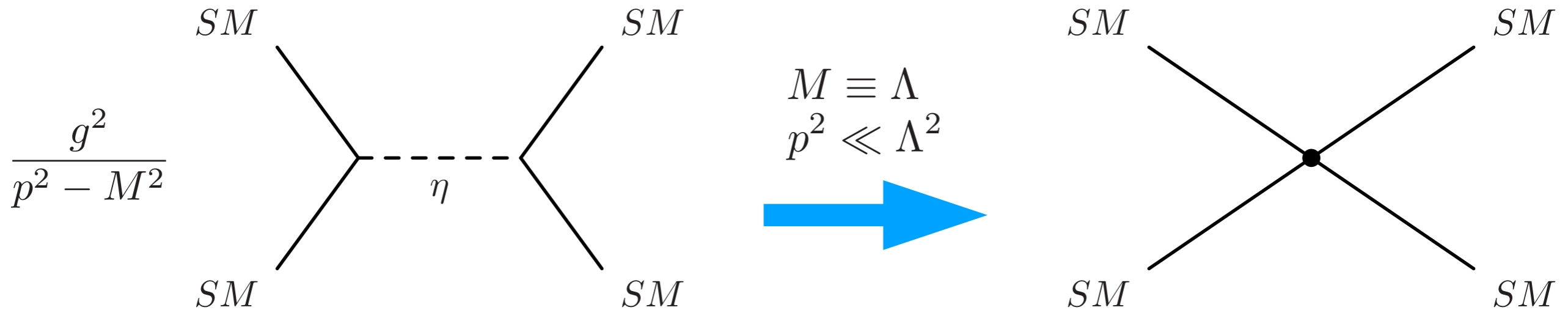
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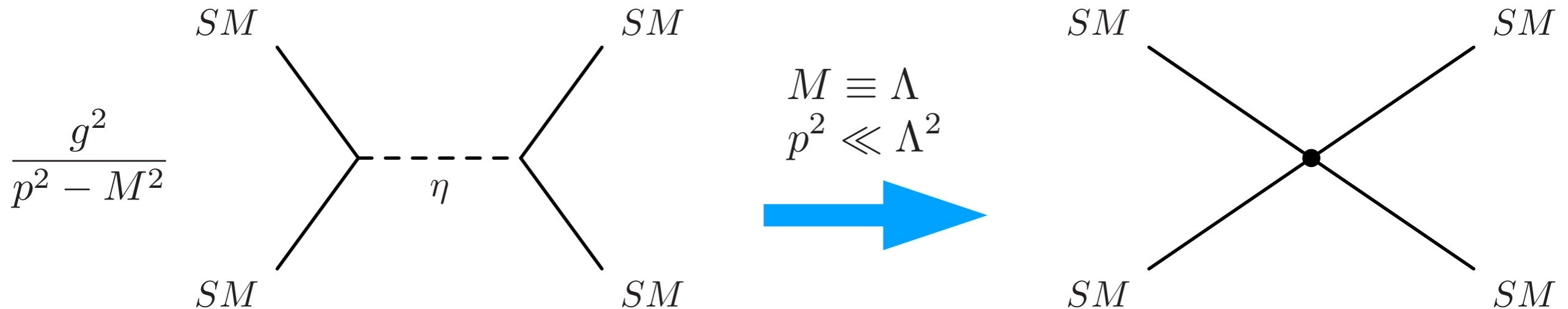
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Interaction can be described without explicit presence of new states!

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Interaction can be described without explicit presence of new states!

New framework



Effective Field Theory

SMEFT fits are highly dependent on several input assumptions

Flavour assumptions

EW input scheme

EFT truncation

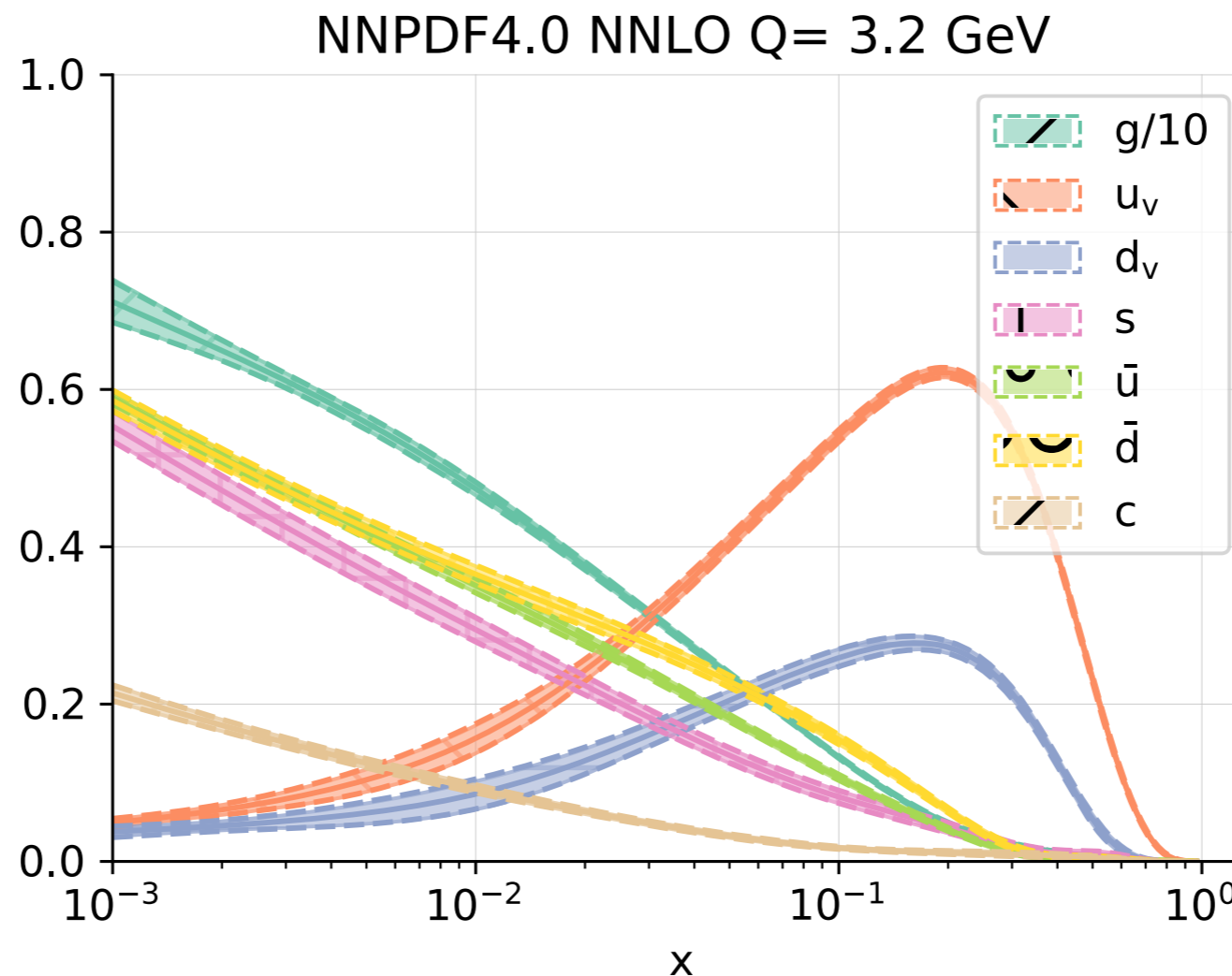
SMEFT fits are highly dependent on several input assumptions

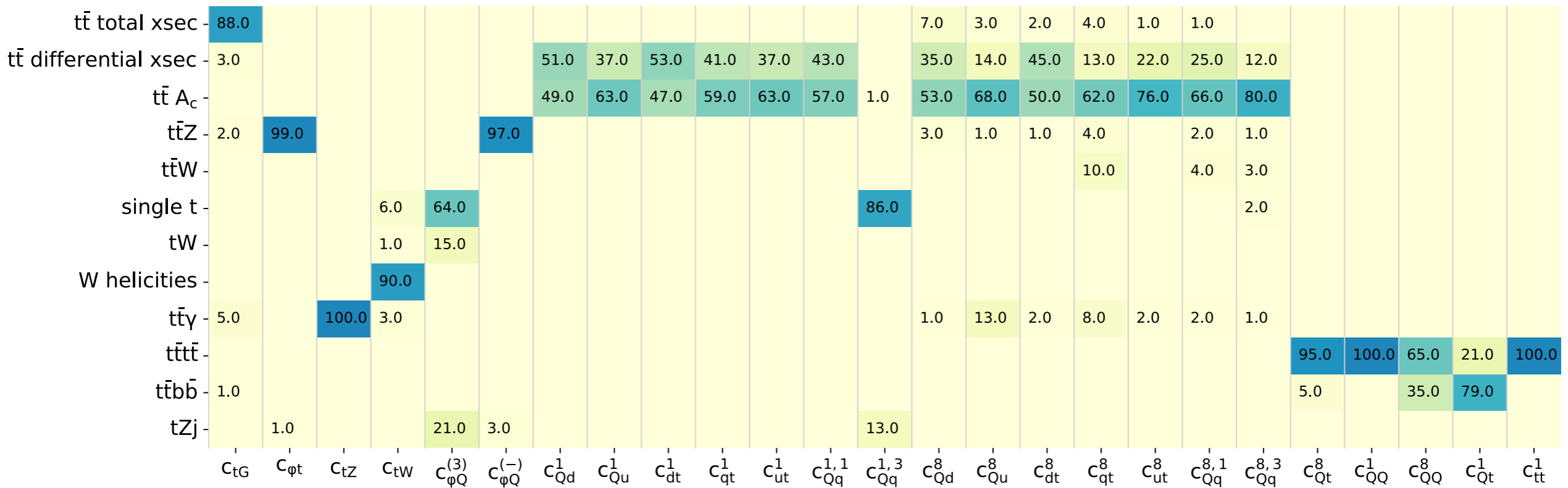
Flavour assumptions

EW input scheme

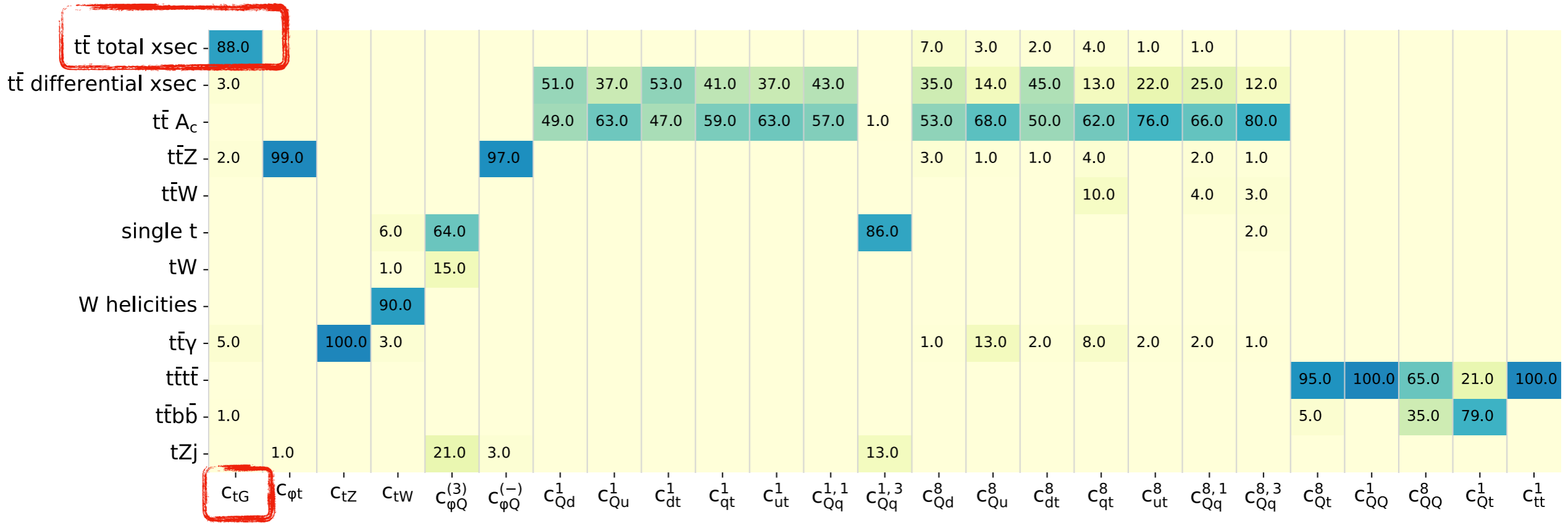
EFT truncation

Parton distribution functions

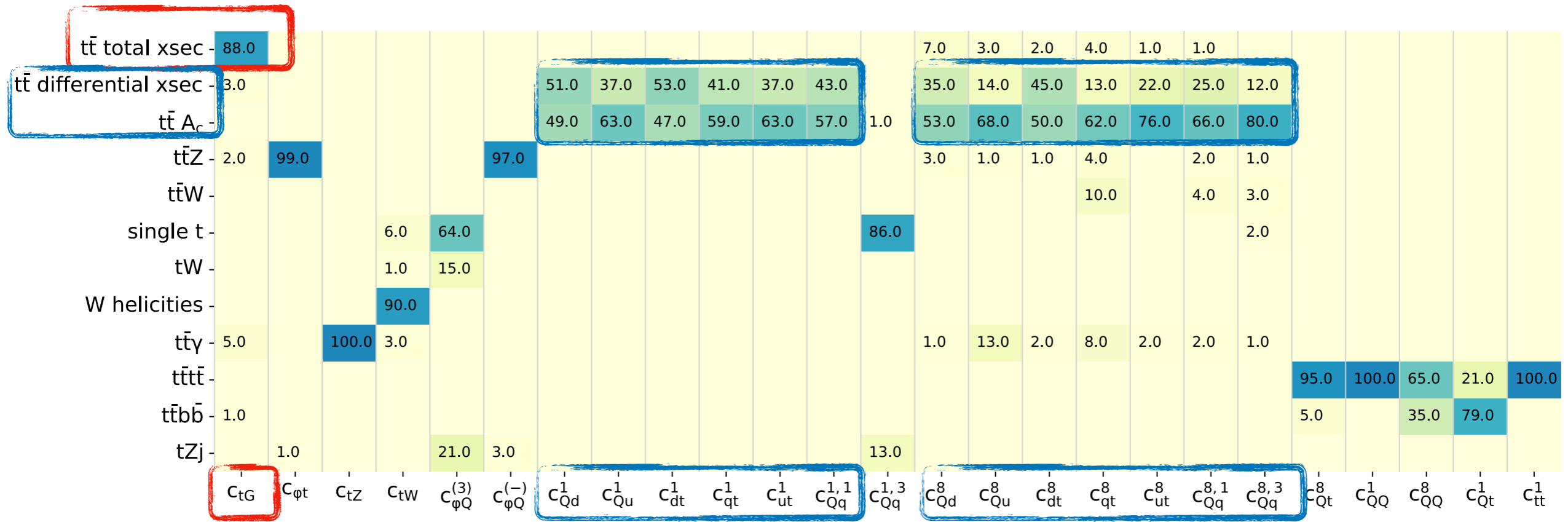




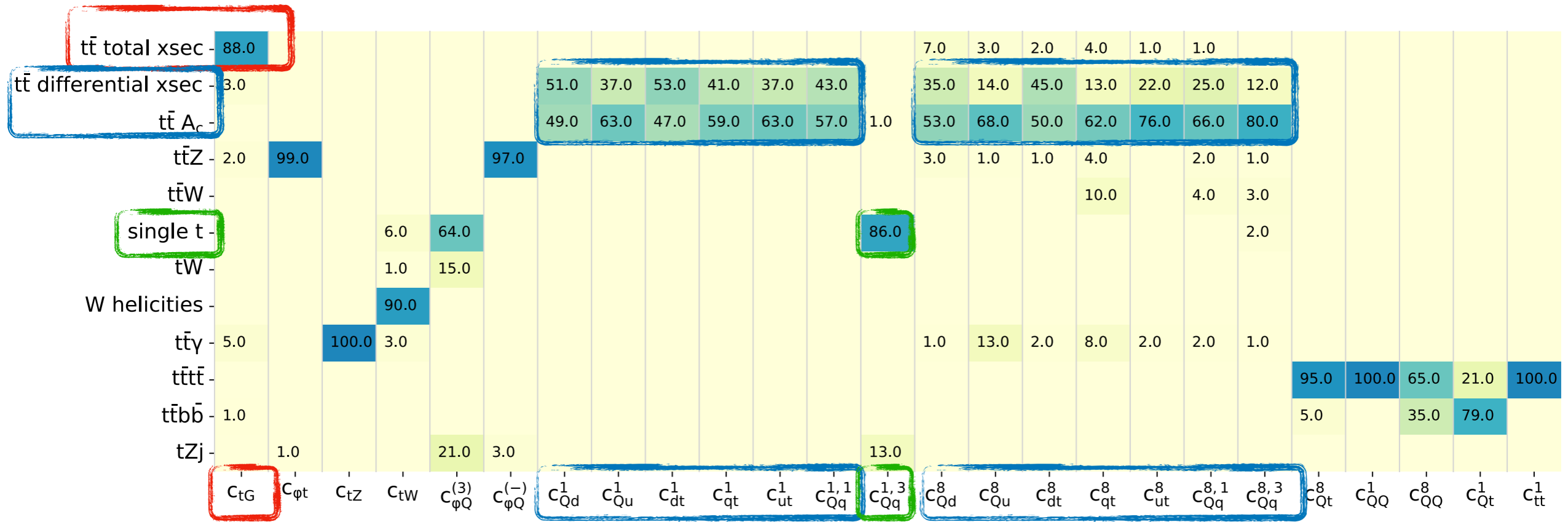
$$F_{ii}(D) / \sum_{\text{sectors } D'} F_{ii}(D')$$



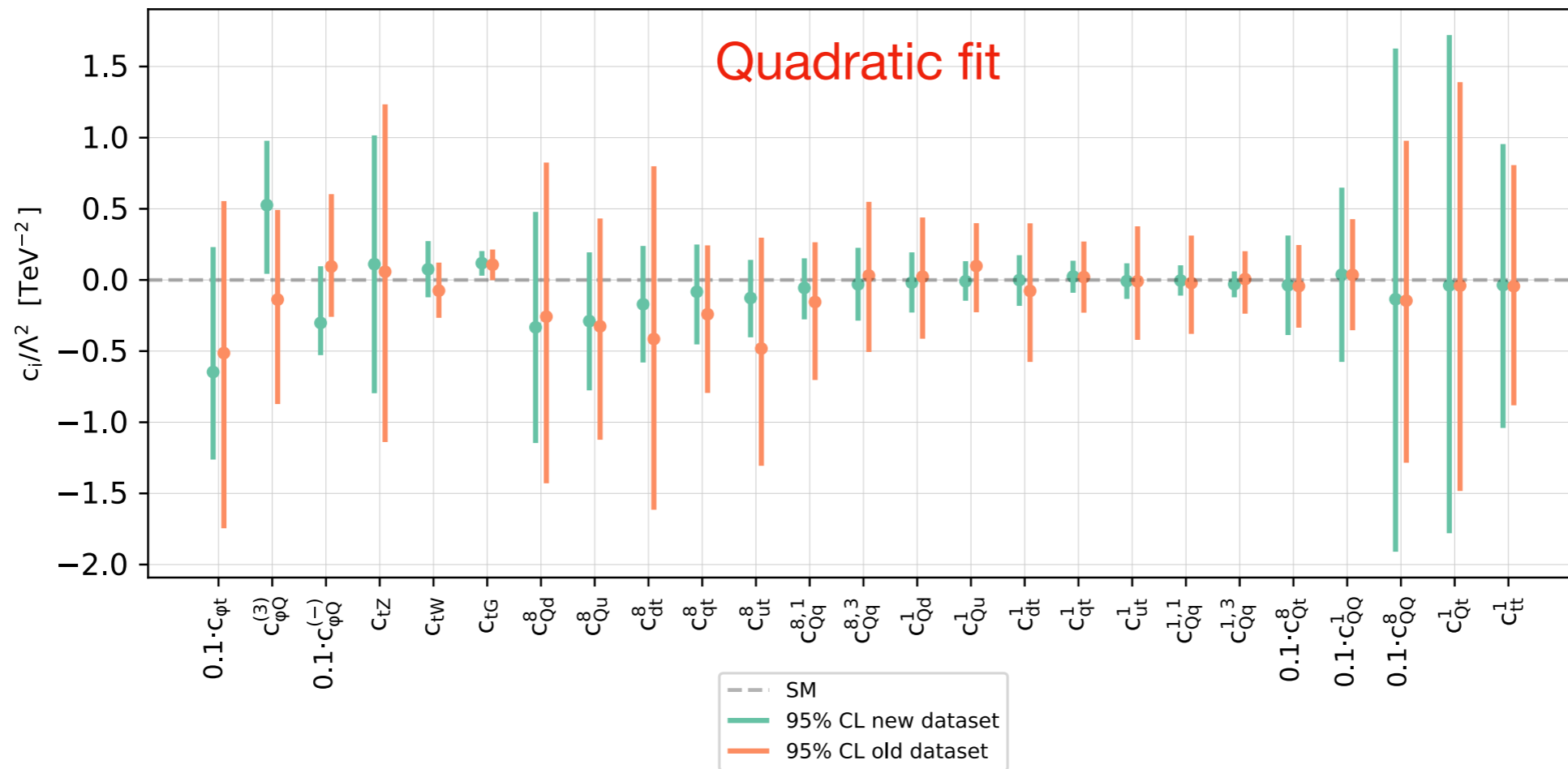
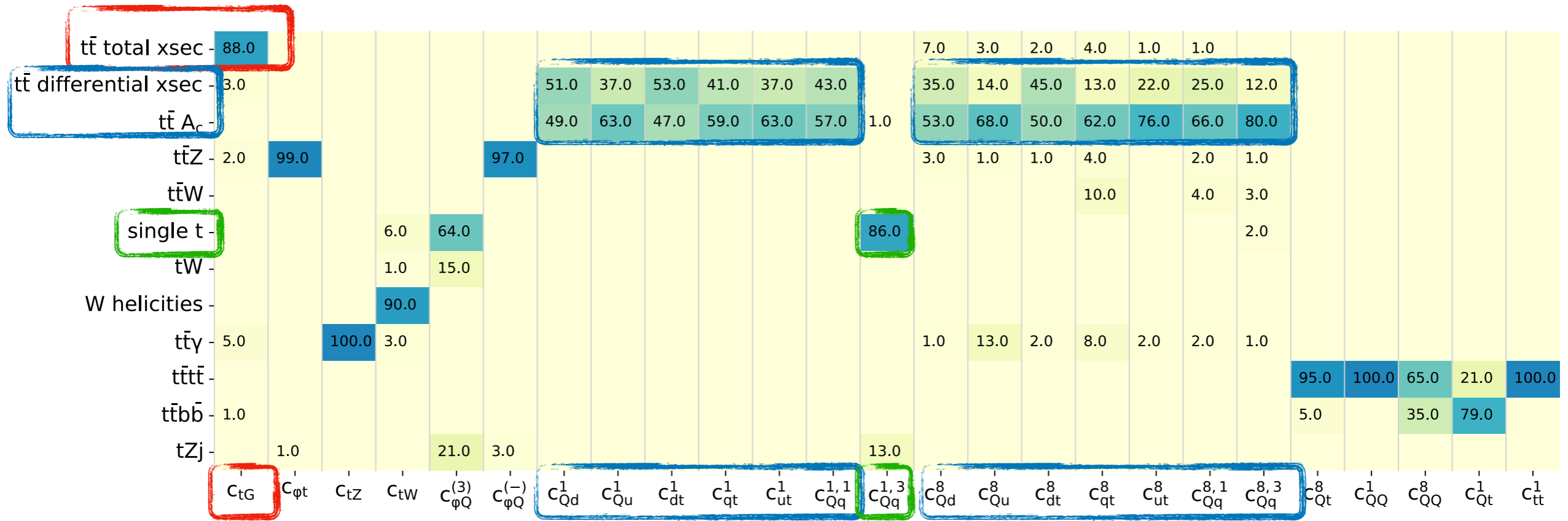
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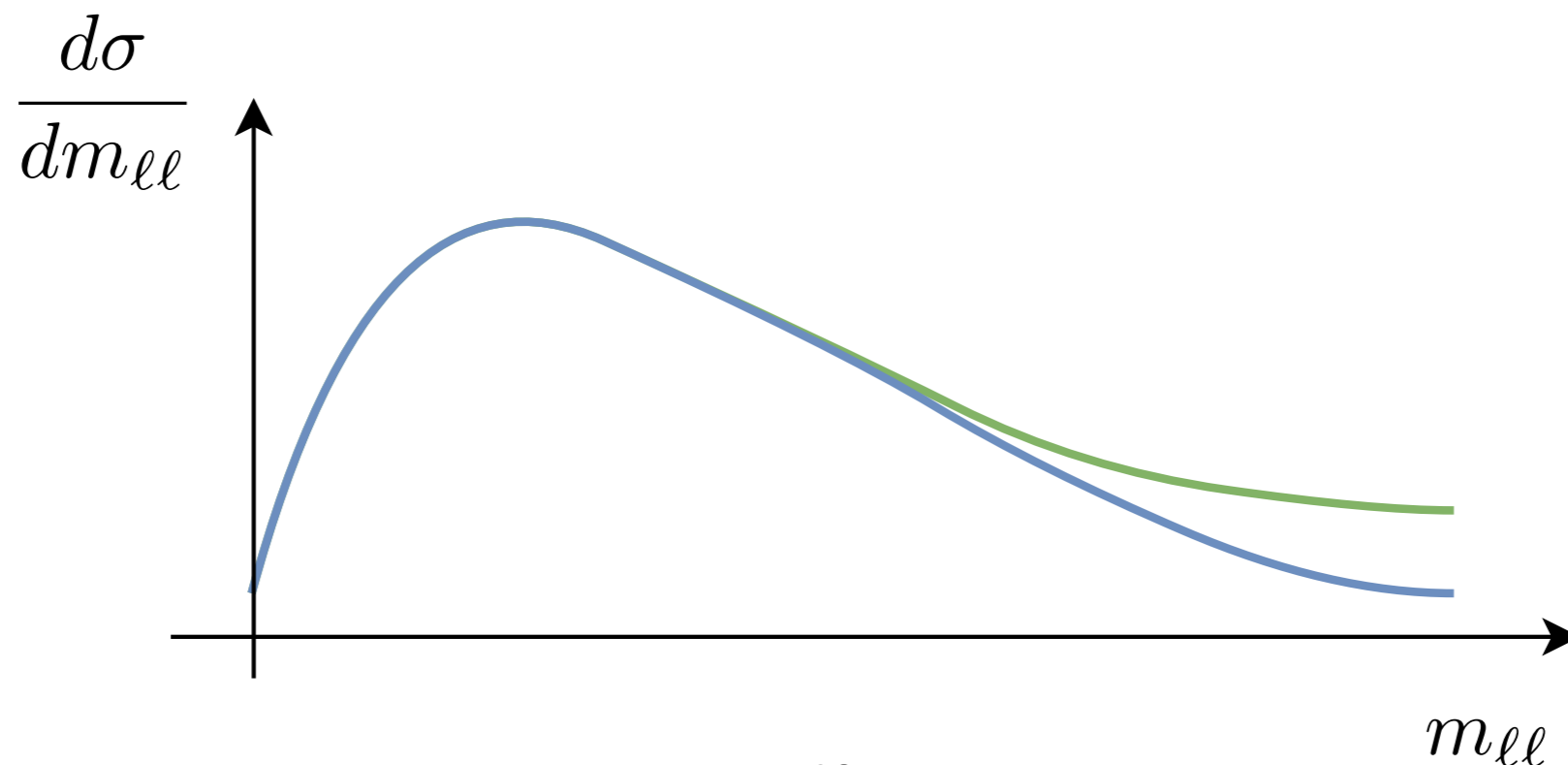
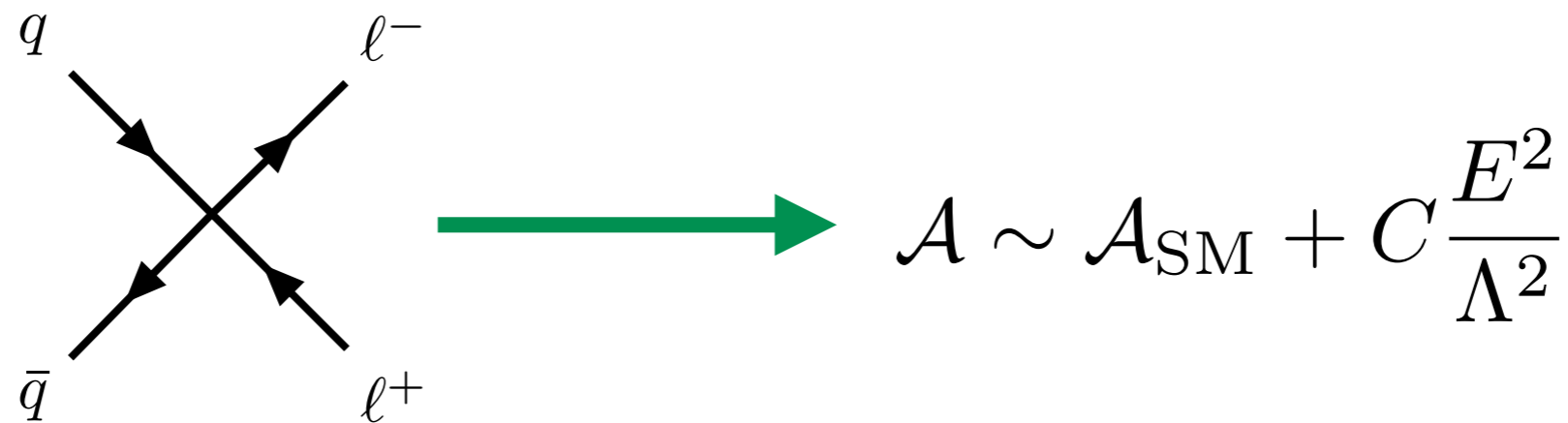
Performed with NS in SMEFiT

Particularly interesting sector: **Drell-Yan**

- Used in PDFs to extract information on high- x valence quarks
- Used in SMEFT interpretations to constrain 4F operators

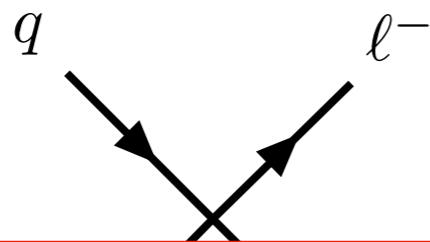
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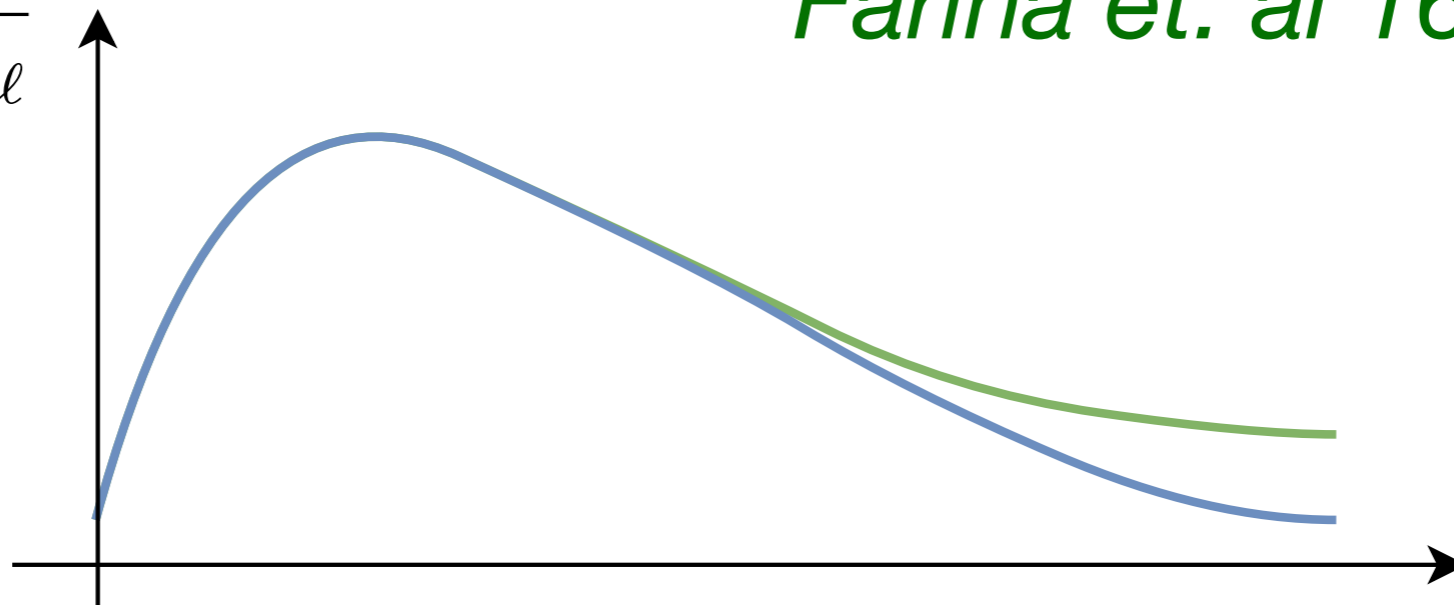
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$$A_{\text{SM}} + A_{\text{SMEFT}} \propto E^2$$

Energy helps accuracy

$$\frac{d\sigma}{dm_{\ell\ell}}$$



Farina et. al 1609.08157

Let's consider a simple scenario: 1 operator, 1 datapoint

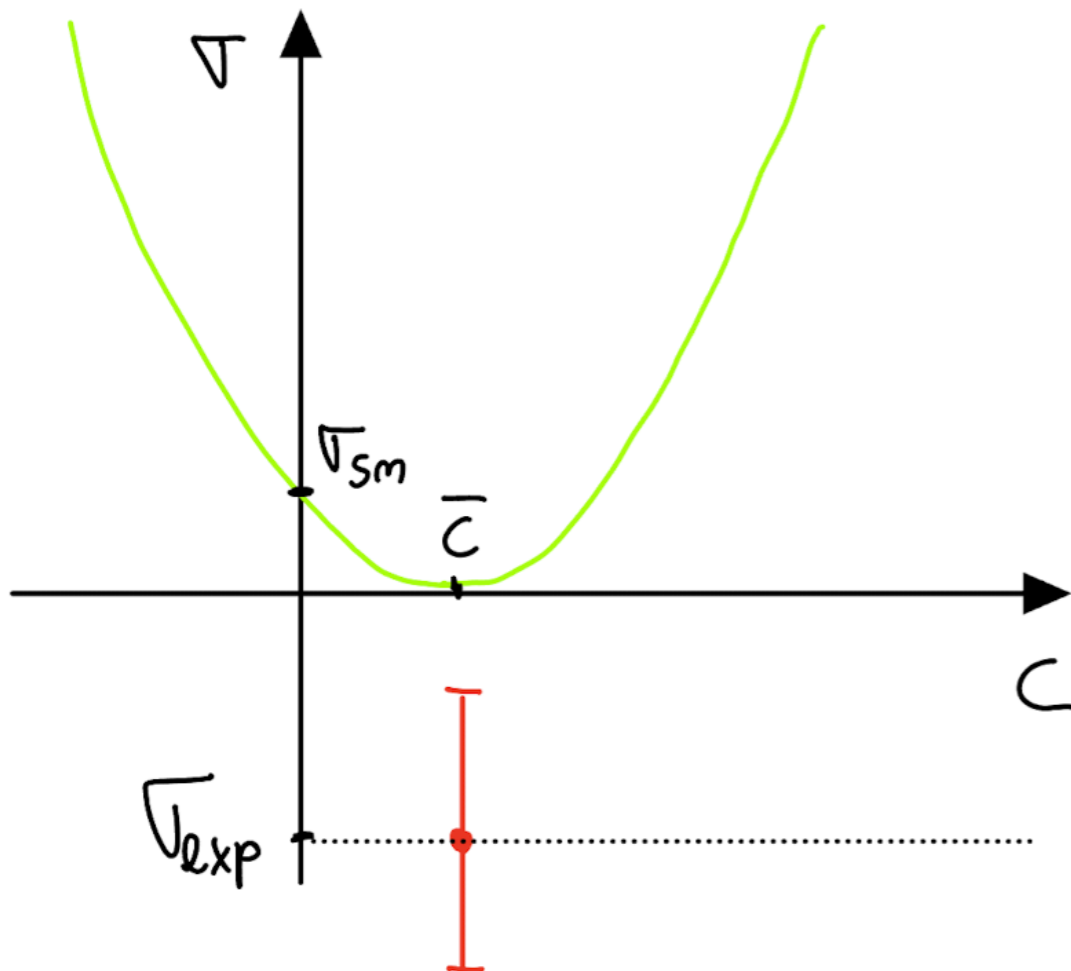
$$\chi^2 = \frac{(\sigma(c) - \sigma_{exp})^2}{\delta\sigma^2} \quad \Delta\chi^2 = \chi^2 - \chi_{min} = 1$$

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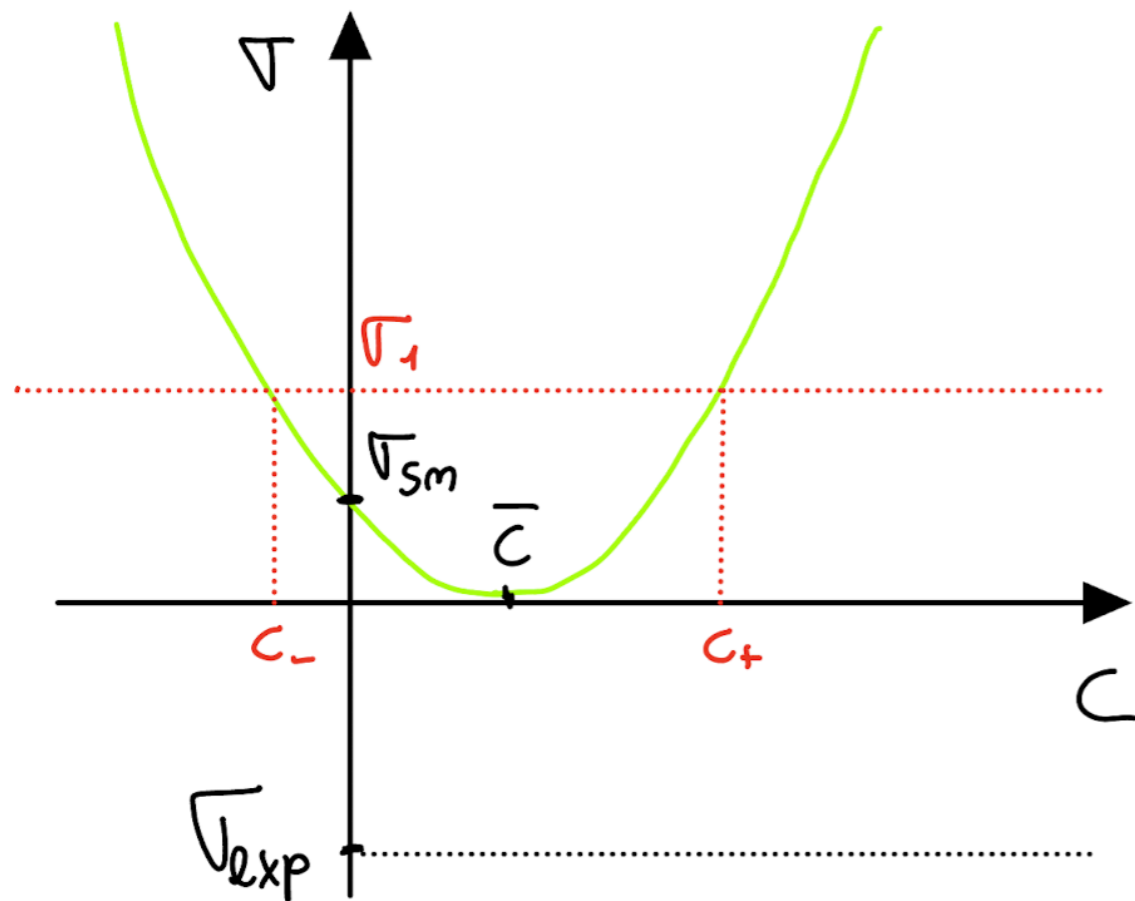
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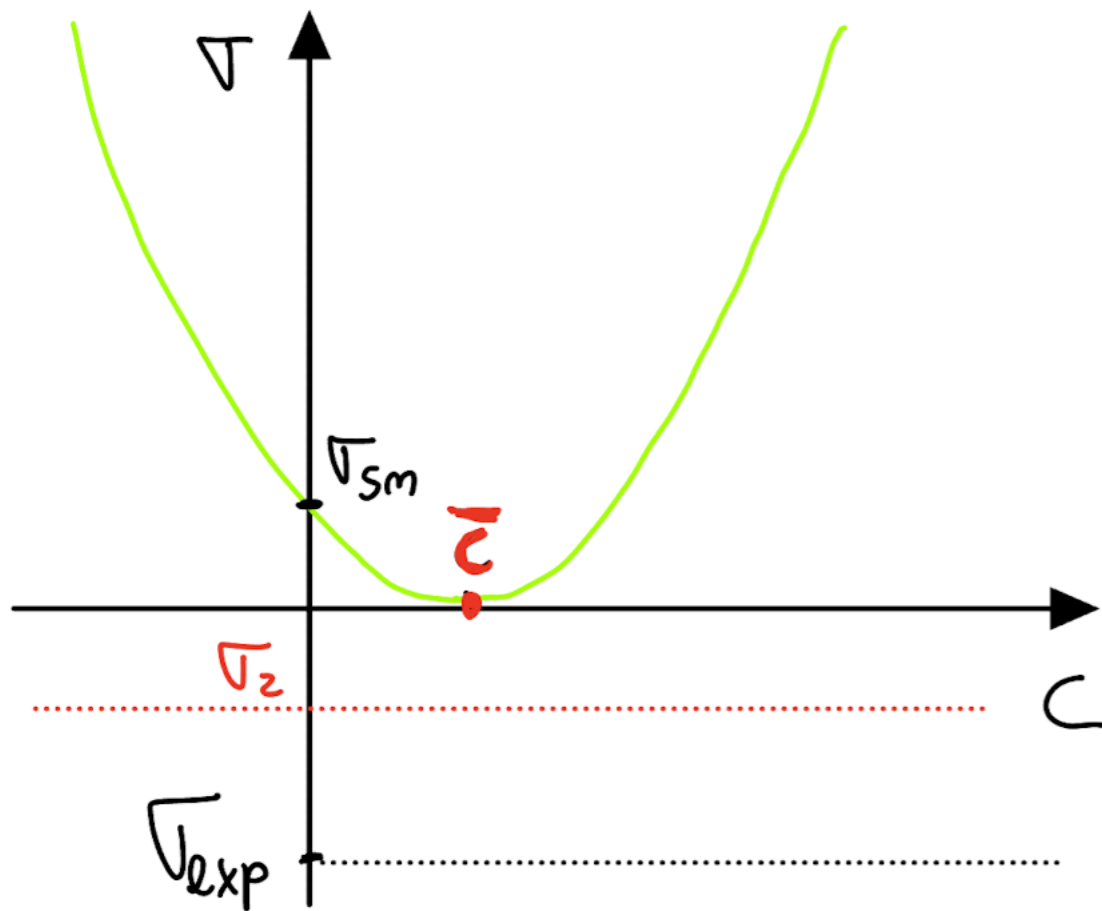
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Monte Carlo replica 1



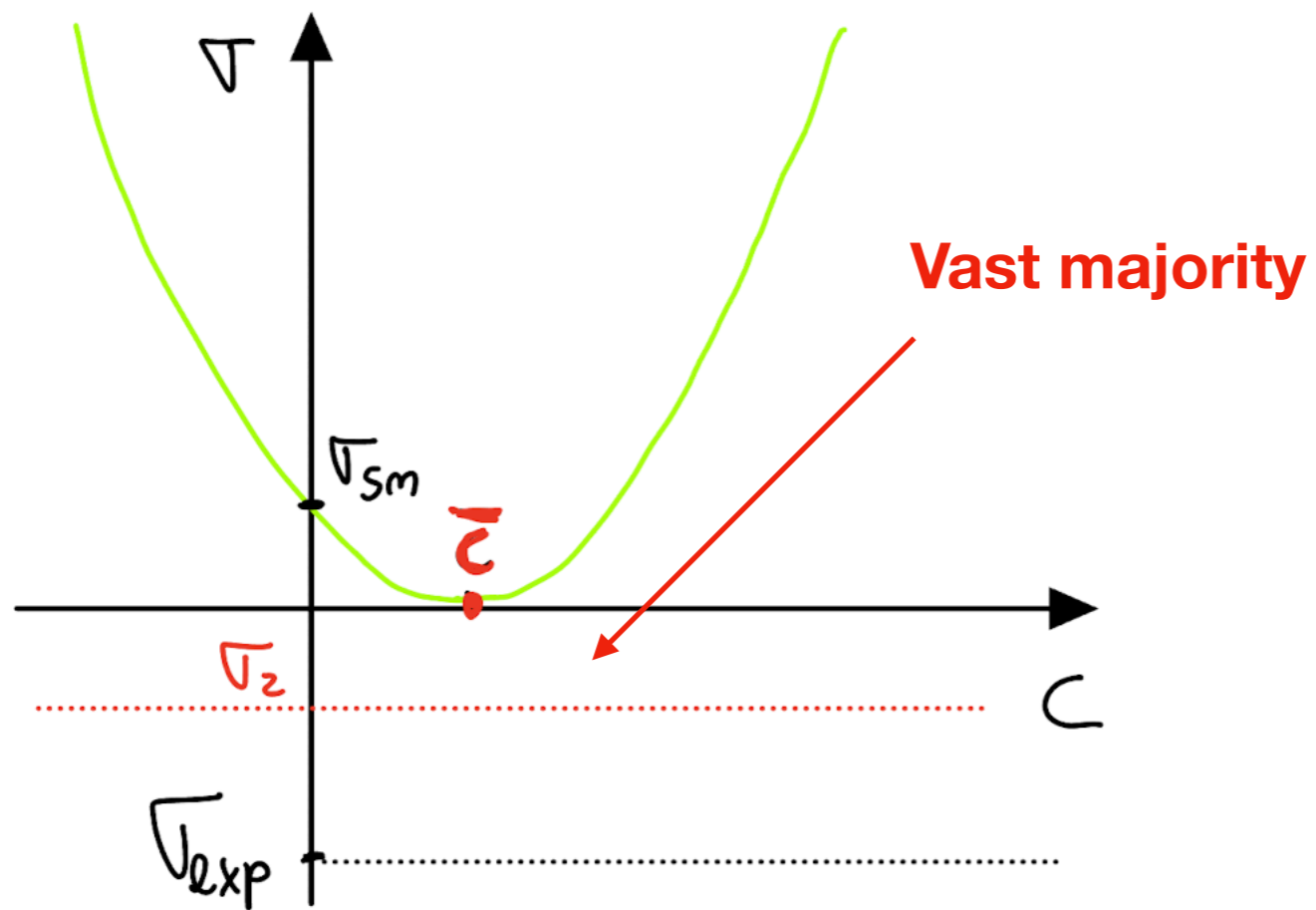
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Monte Carlo replica 2



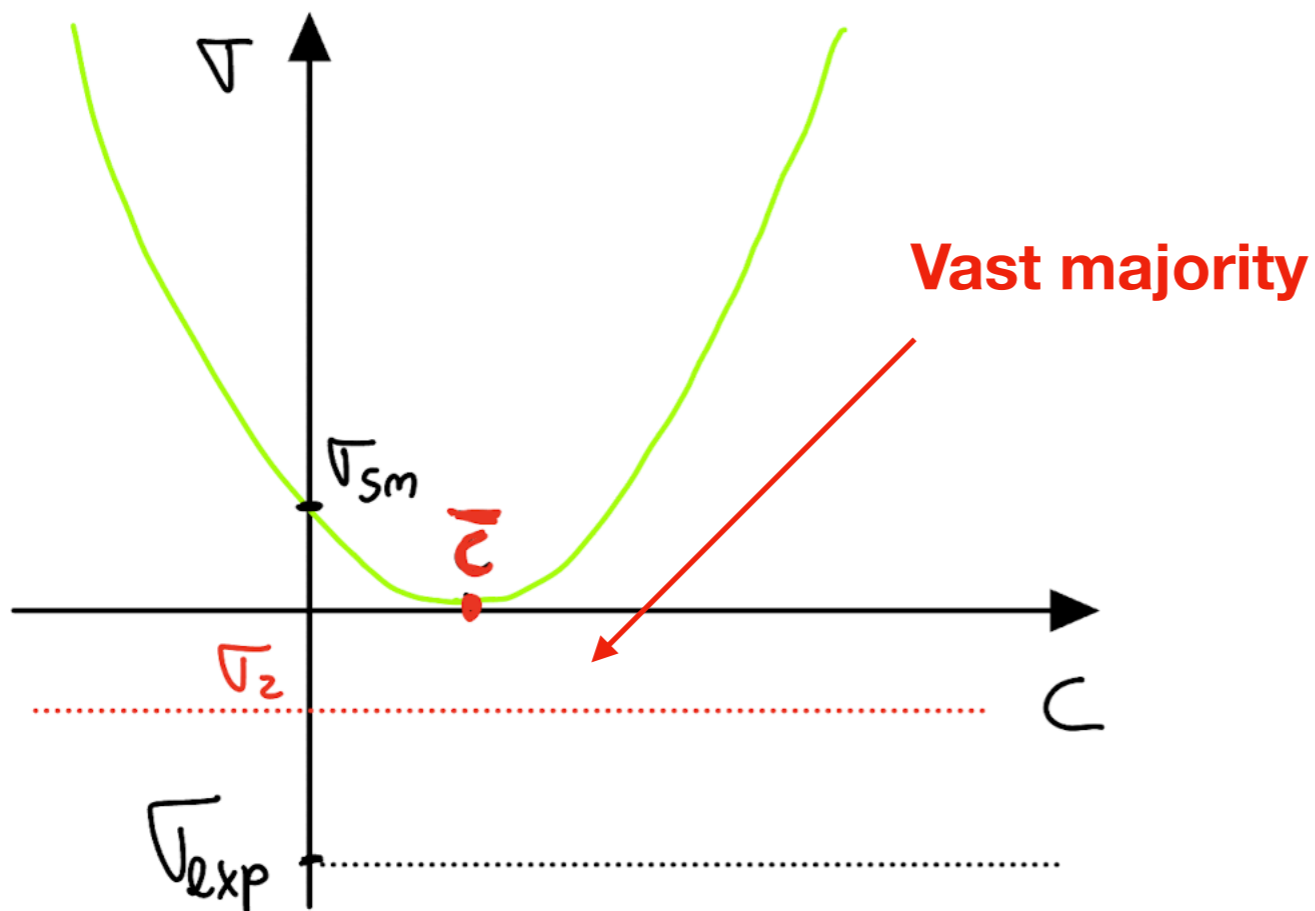
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Monte Carlo replica 2

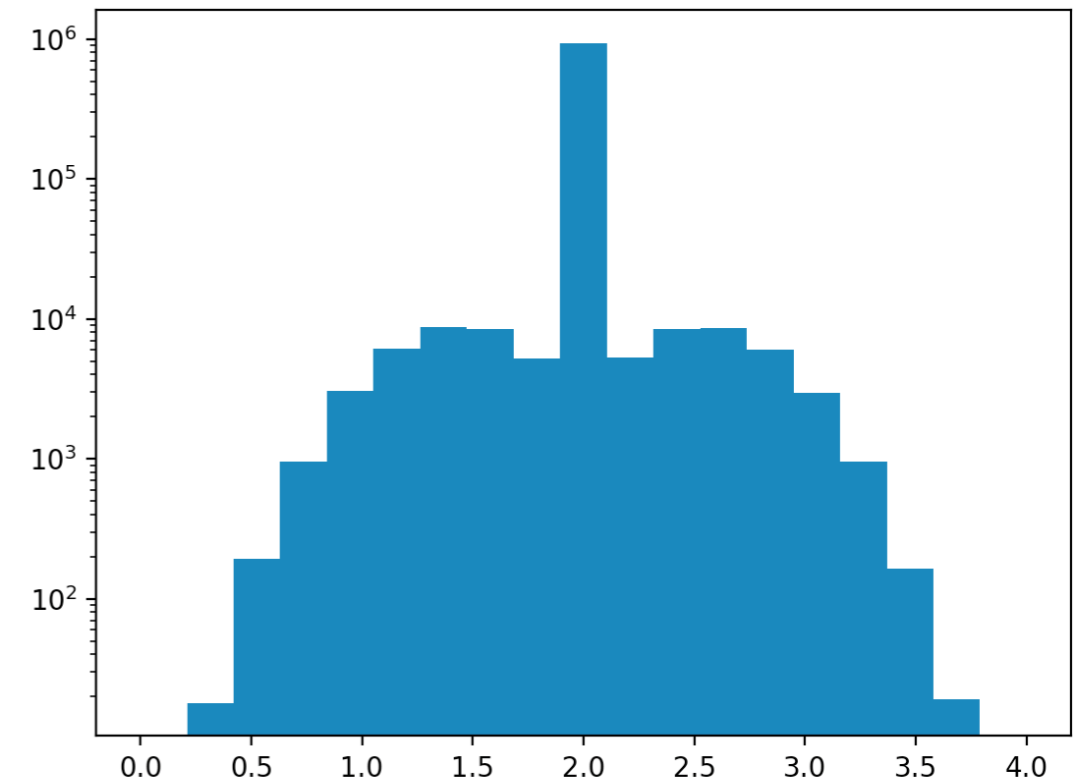


Let's consider a simple scenario: 1 operator, 1 datapoint

Monte Carlo replica 2

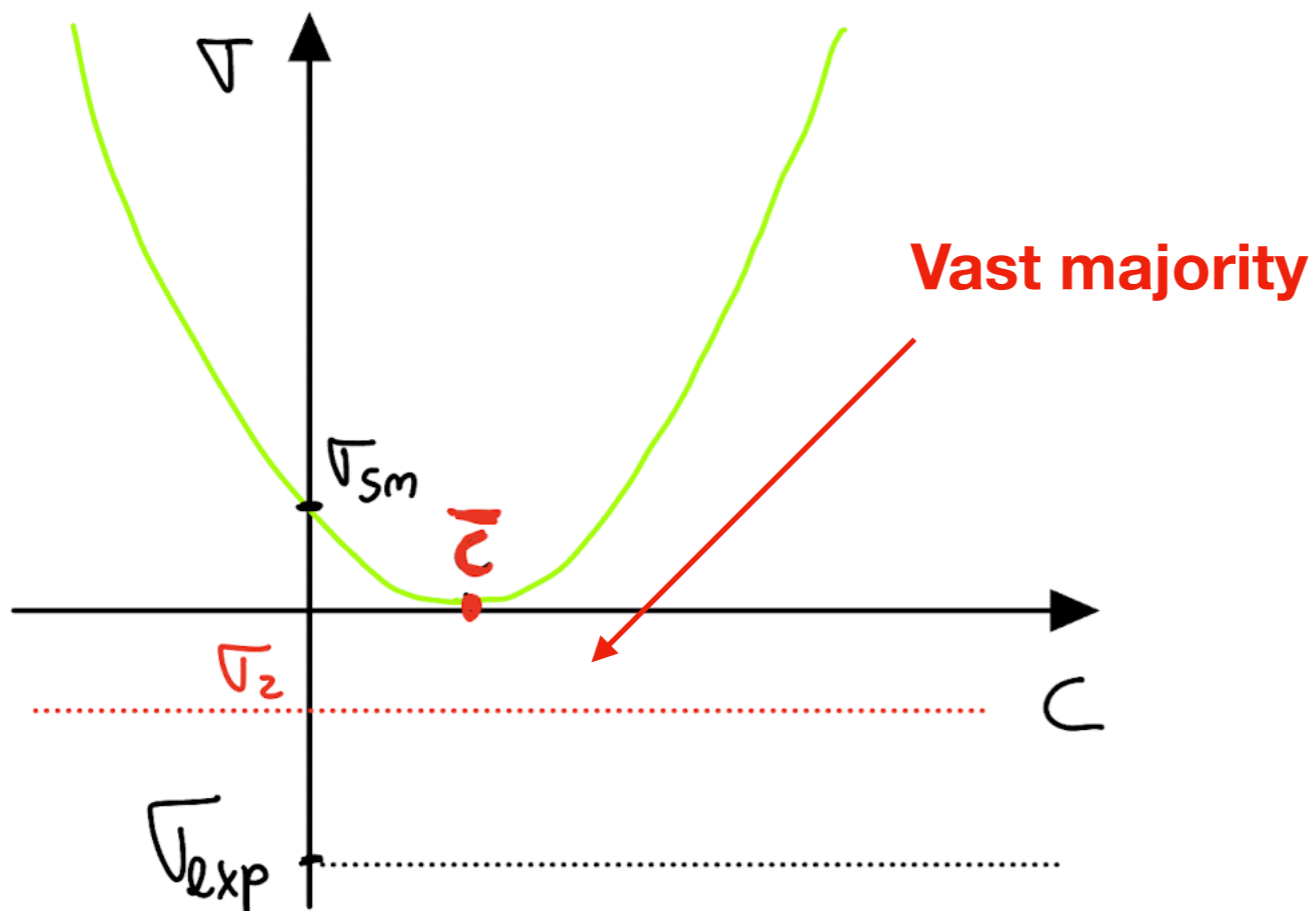


Computed bounds completely wrong:
the spike dominates

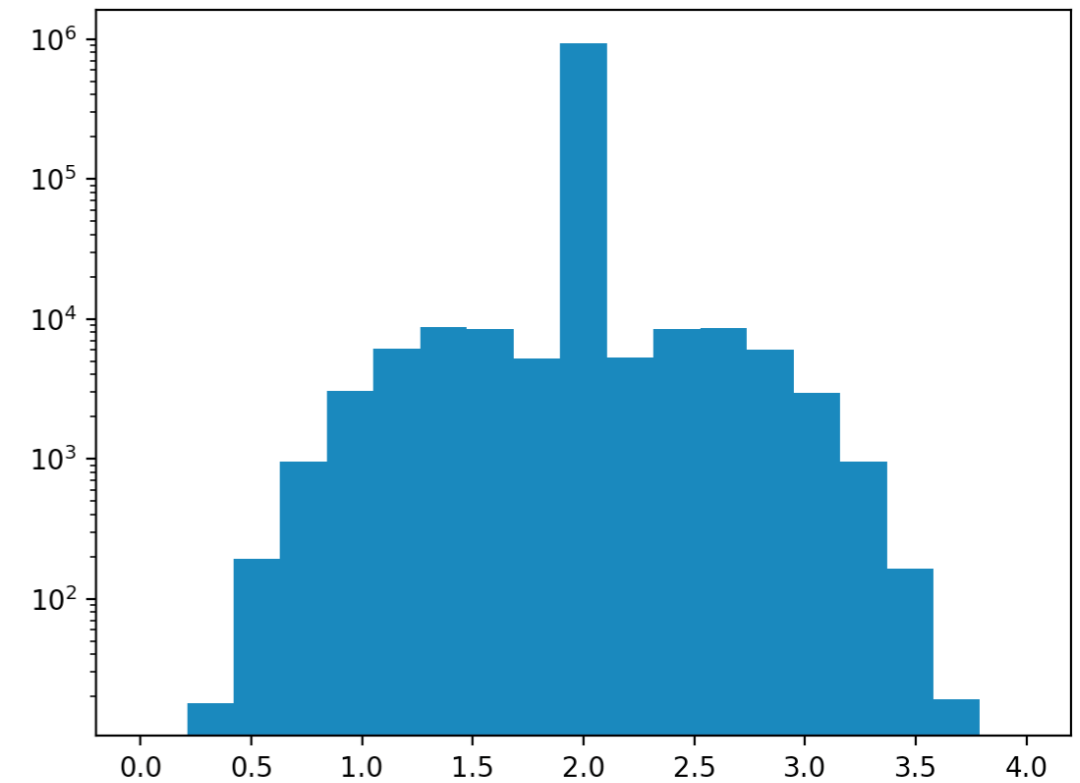


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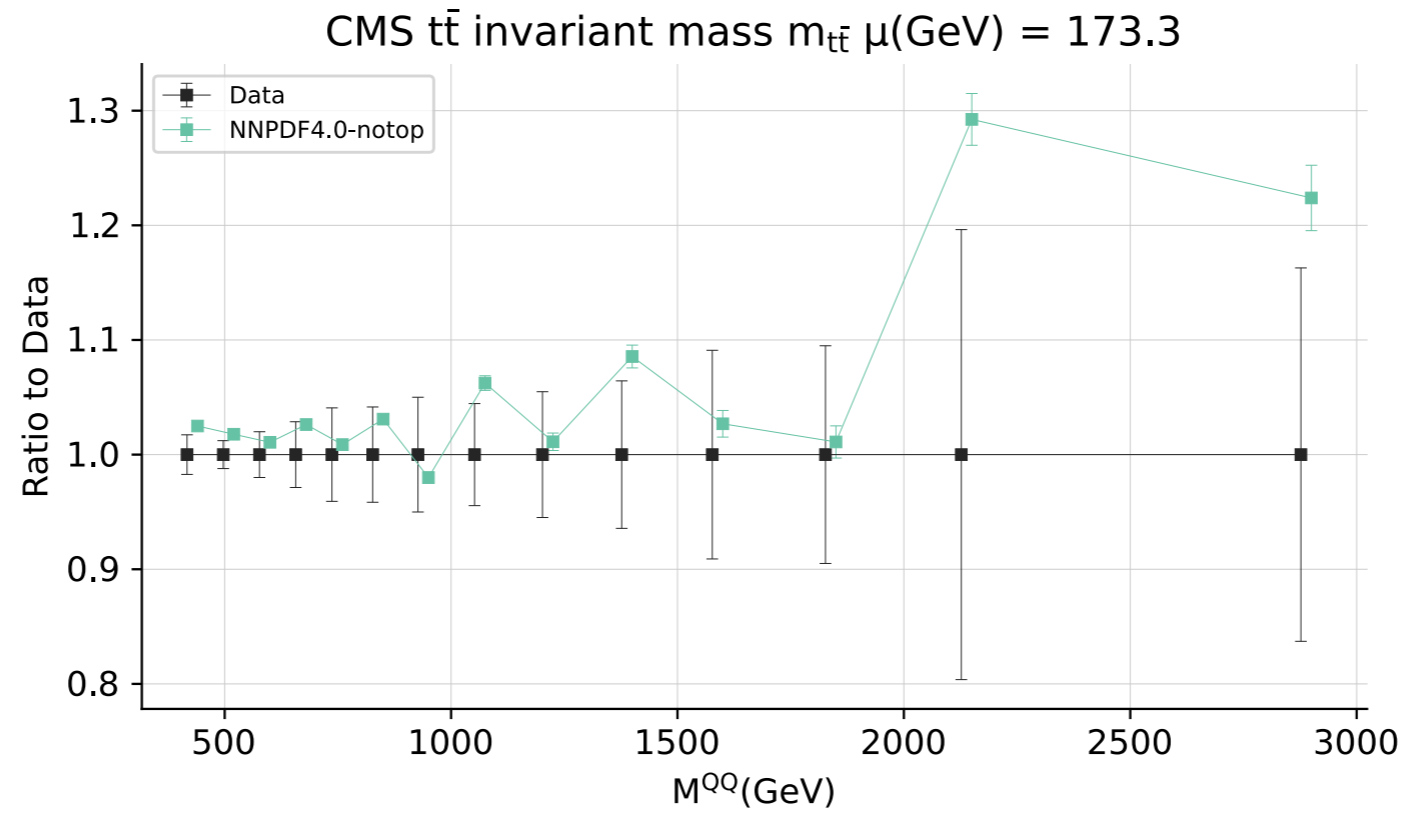
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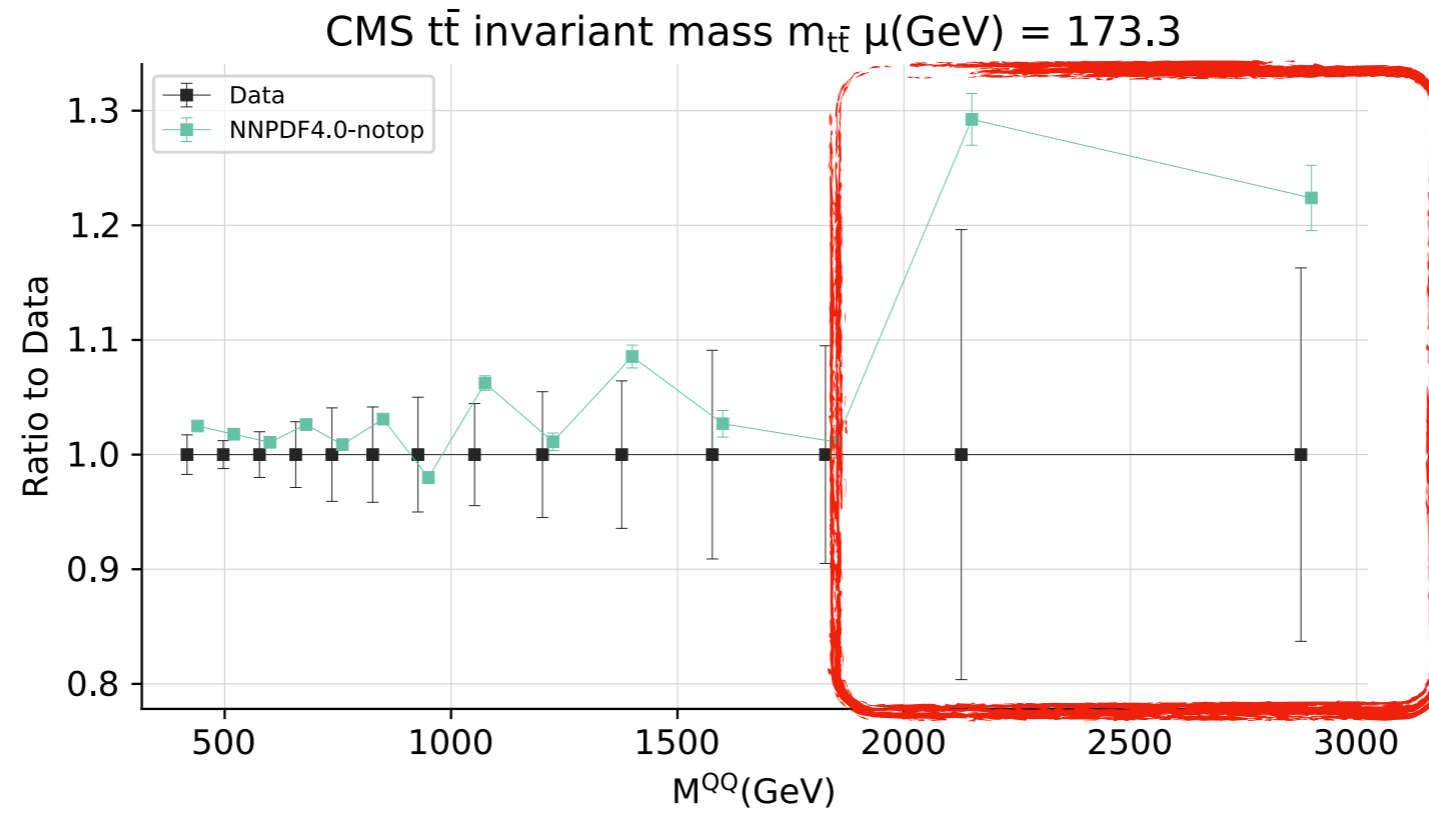


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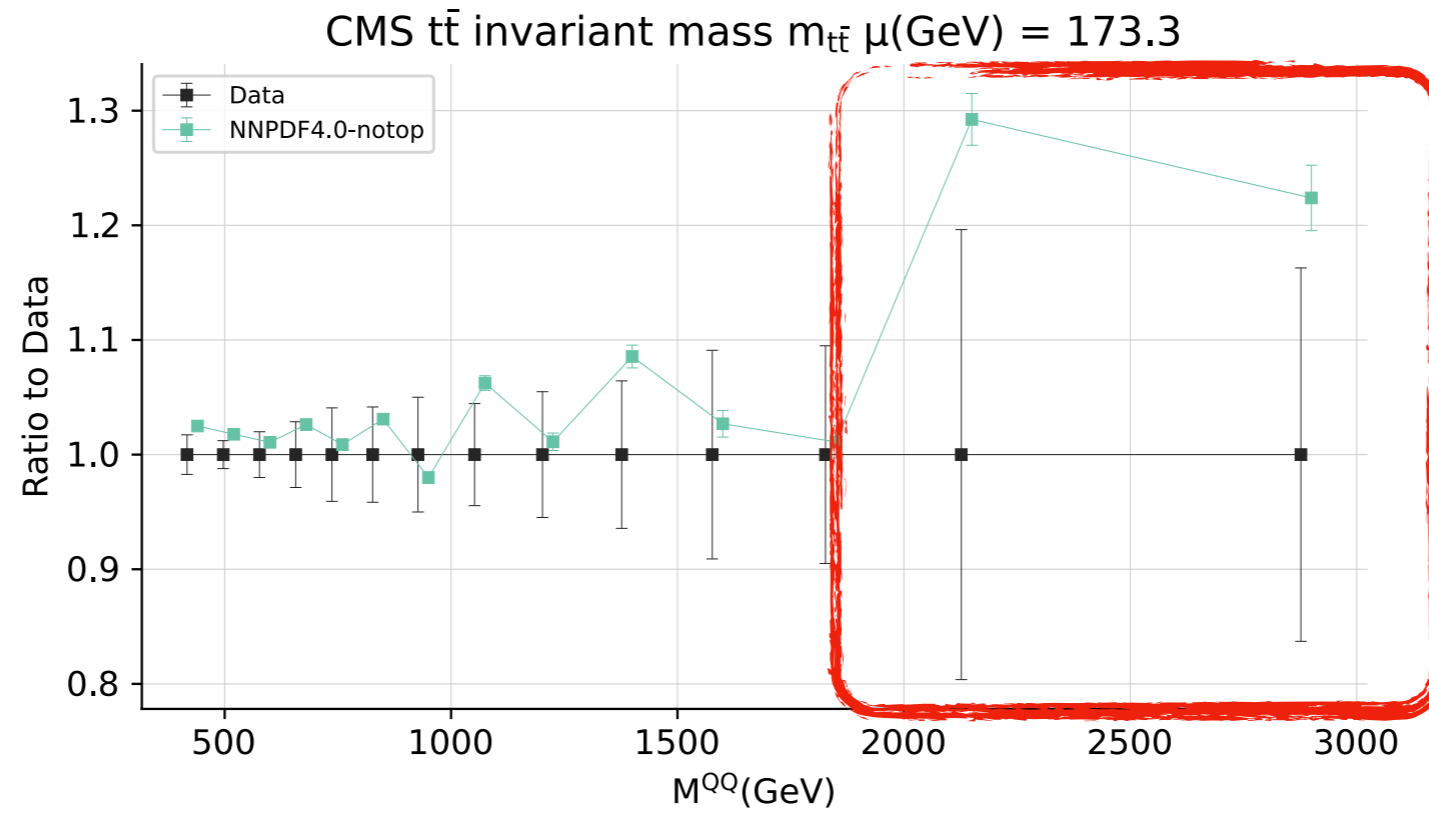


Different approach is needed





SM overshoots

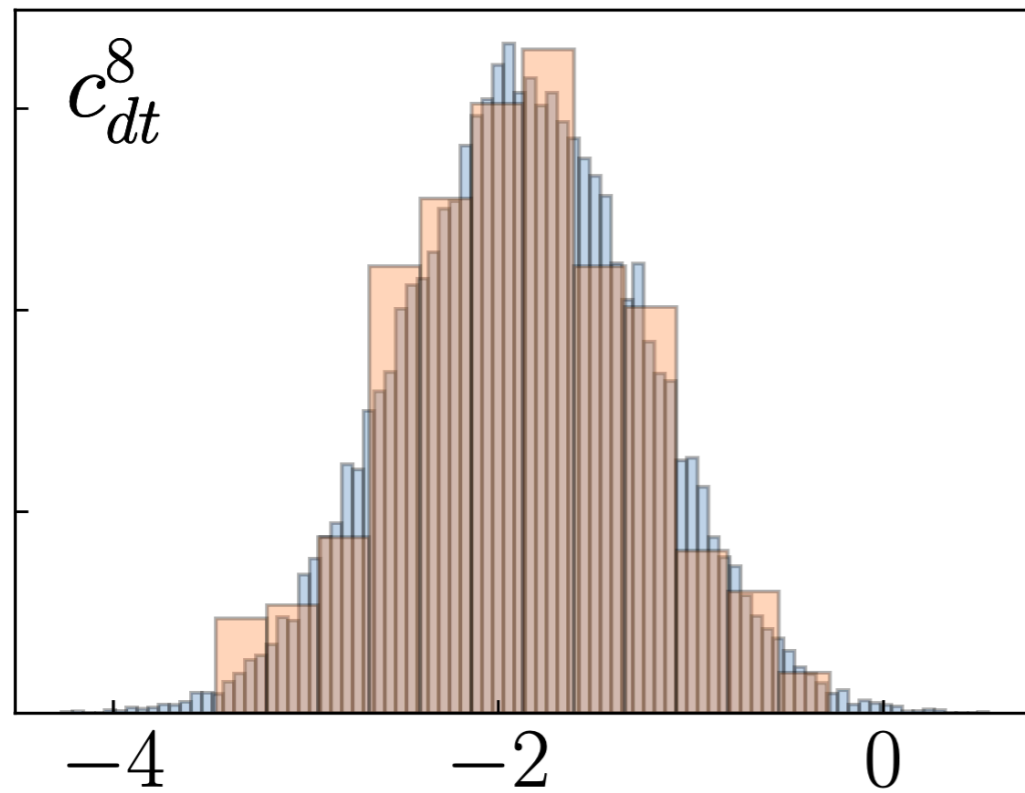


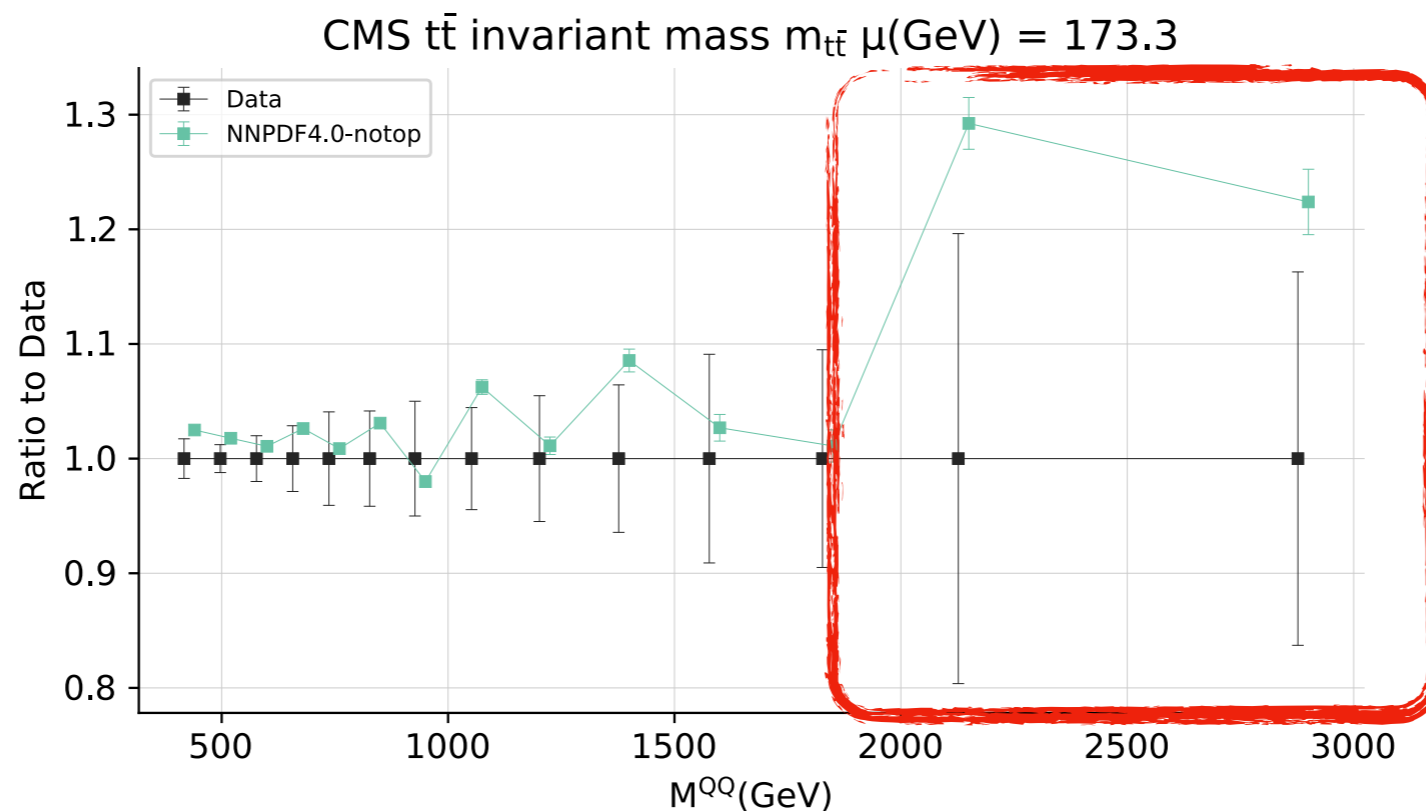
SM overshoots

MC fit

NS fit

Linear fit





SM overshoots

MC fit

NS fit

Linear fit

Quadratic fit

